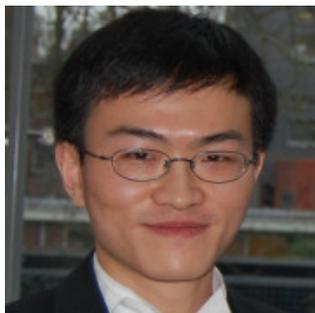


# *Decoherence in quantum spin systems*

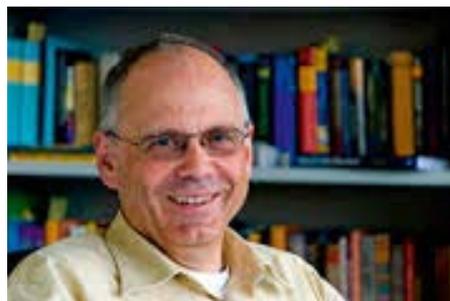
Mikhail Katsnelson

Main collaborators:

Shengjun Yuan,  
Nijmegen (now  
Wuhan)



Hans de Raedt,  
Groningen



Hylke Donker,  
Nijmegen



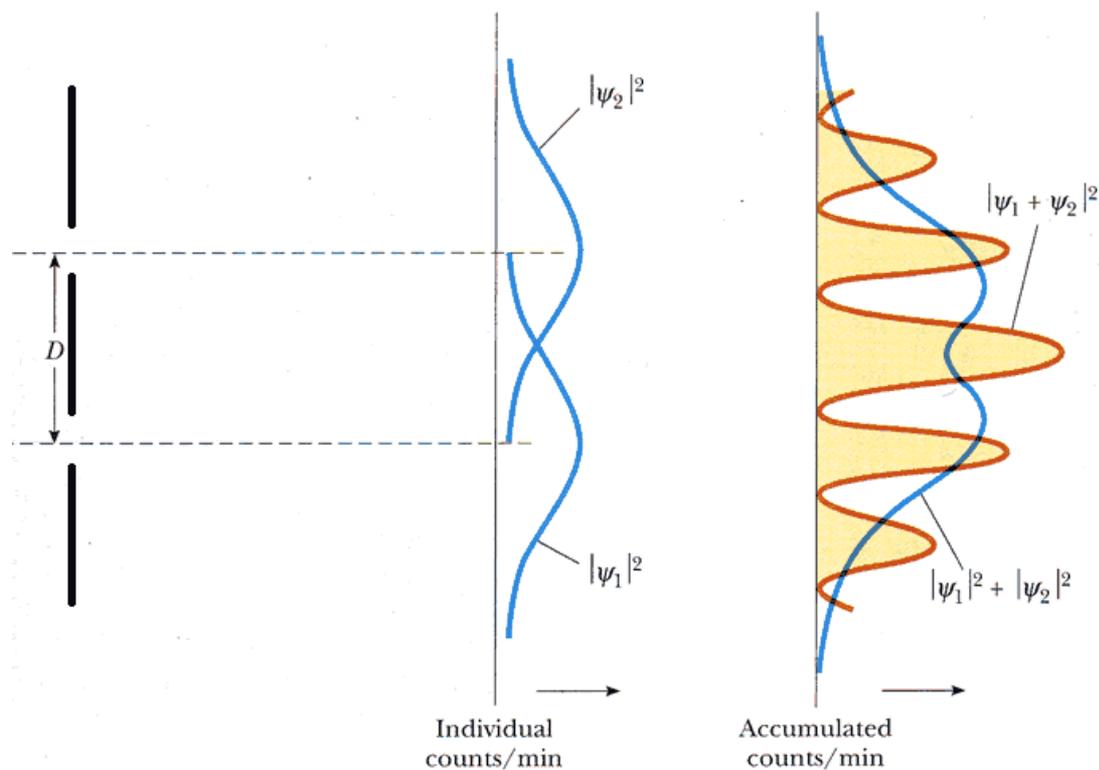
Slava Dobrovitski,  
Ames Iowa (now Delft)



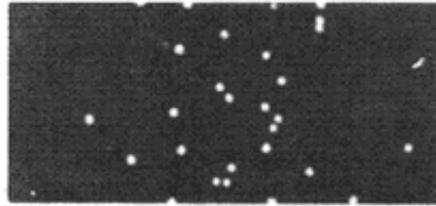
# Microworld: waves are corpuscles, corpuscles are waves

Einstein, 1905 – for light (photons)

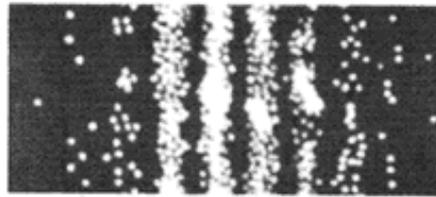
L. de Broglie, 1924 – electrons and other microparticles



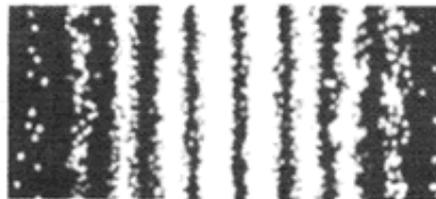
Electrons are particles (you cannot see half of electron)  
but moves along **all** possible directions (interference)



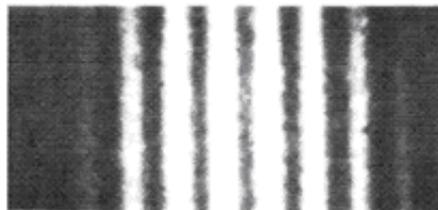
(a) After 28 electrons



(b) After 1000 electrons

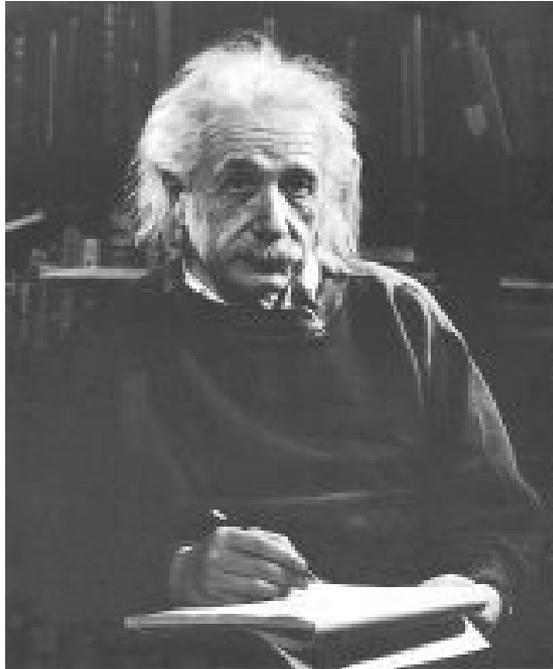


(c) After 10000 electrons



# Interference phenomena: superposition principle

Does it work in the macroworld?! Seems to be - **no**



God does not play dice with the universe.  
- Albert Einstein



Anyone who is not shocked by Quantum  
Theory has not understood it. - Niels Bohr

- A. Einstein: Quantum mechanics is **incomplete**; superposition principle does not work in the macroworld
- N. Bohr: **Classical** measurement devices is an important part of **quantum** reality

*What is the origin of classical in the quantum world?*

Complementary principle: we live in classical world, our language is classical, we know nothing on the electron itself, we deal only with the results of its interaction with classical measuring devices

Classical physics is not just a limit of quantum physics at  $\hbar \rightarrow 0$ : we need **classical** objects!

(cf relativity theory:  $c \rightarrow \infty$ )

Used to be mainstream but now: quantum cosmology (no classical objects in early Universe)... quantum informatics (“as you can buy wavefunction in a supermarket”)... Many-world interpretation...

I will be talking on quantum description of world around us

# Von Neumann theory of measurement (1932)

Density matrix for subsystem A of a total system A + B

$$\rho(\alpha, \alpha') = \text{Tr}_\beta \Psi^*(\alpha', \beta) \Psi(\alpha, \beta)$$

$$\rho = \sum_a W_a |a\rangle\langle a|$$

Pure state  $\rho = |a\rangle\langle a|$

$$\rho^2 = \rho$$

Mixed state  $\text{Tr} \rho^2 < \text{Tr} \rho$

## Two ways of evolution

1. Unitary evolution

$$i\hbar \frac{\partial \rho}{\partial t} = [H, \rho]$$

$$\rho(t) = \exp(iHt/\hbar) \rho(0) \exp(-iHt/\hbar)$$

Entropy is conserved

$$S = -\text{Tr} \rho \ln \rho$$

2. Nonequilibrium evolution by the measurement

$$\rho_{\text{after}} = \sum_n P_n \rho_{\text{before}} P_n$$

$$P_n = |n\rangle\langle n|$$

$$S_{\text{after}} > S_{\text{before}}$$

Density matrix after the measurement is diagonal in  $n$ -representation

# Application: decoherence wave

PHYSICAL REVIEW A, VOLUME 62, 022118

PHYSICAL REVIEW B, VOLUME 63, 212404

Propagation of local decohering action in distributed quantum systems Néel state of an antiferromagnet as a result of a local measurement in the distributed quantum system

M. I. Katsnelson,\* V. V. Dobrovitski, and B. N. Harmon

M. I. Katsnelson,\* V. V. Dobrovitski, and B. N. Harmon

Example: Bose-Einstein condensation in ideal and almost ideal gases

$$H = \sum_{\mu} E_{\mu} \alpha_{\mu}^{\dagger} \alpha_{\mu} \quad |\Psi\rangle = \frac{1}{\sqrt{M!}} (\alpha_0^{\dagger})^M |0\rangle \quad 0 \text{ is the state with minimal energy}$$

We measure at  $t = 0$  number of bosons at a given lattice site

Projection operator:

$$W_n = \delta_{n,N} = \int_0^{2\pi} \frac{d\phi}{2\pi} \exp[i\phi(n-N)]$$

Von Neumann prescription:

$$U(t) = \sum_{n=0}^{\infty} \exp(-iHt) W_n U_{\text{in}} W_n^{\dagger} \exp(iHt)$$

$U_{\text{in}} = |\Psi\rangle\langle\Psi|$  is the density matrix before measurement

# Decoherence wave in BEC

Single-particle density matrix  $\rho(\mathbf{r}, \mathbf{r}', t) = \text{Tr}[U(t)a^\dagger(\mathbf{r}')a(\mathbf{r})]$

*Explicit calculations*

Poisson statistics for the measurement outcomes

$$p_n = e^{-n_0} n_0^n / (n!) \quad n_0 = n_B(0)$$

$$S = -\text{Tr}[U(t) \ln U(t)] = -\sum_{n=0}^{\infty} p_n \ln p_n > 0$$

$$\begin{aligned} \rho(\mathbf{r}, \mathbf{r}', t) = & \sqrt{n_B(\mathbf{r})n_B(\mathbf{r}')} - G^*(\mathbf{r}', t)\sqrt{n_B(\mathbf{r})n_0} \\ & - G(\mathbf{r}, t)\sqrt{n_B(\mathbf{r}')n_0} + 2n_0 G^*(\mathbf{r}', t)G(\mathbf{r}, t) \end{aligned}$$

$$G(\mathbf{r}, t) = V_0 \left( \frac{m}{2\pi i \hbar t} \right)^{3/2} \exp\left( \frac{im\mathbf{r}^2}{2\pi \hbar t} \right)$$

$$\begin{aligned} \rho(\mathbf{r}, \mathbf{r}, t) = & n_B + 2n_B V_0^2 \left( \frac{m}{2\pi \hbar t} \right)^3 \\ & - 2n_B V_0 \left( \frac{m}{2\pi \hbar t} \right)^{3/2} \cos\left( \frac{m\mathbf{r}^2}{2\pi \hbar t} \right) \end{aligned}$$

## Decoherence wave in BEC II

Weakly nonideal gas: Bogoliubov transformation

$$H = \sum_{\mathbf{k}} E_{\mathbf{k}} \alpha_{\mathbf{k}}^{\dagger} \alpha_{\mathbf{k}} + \frac{1}{2V} \sum_{\mathbf{k}_1 + \mathbf{k}_2 = \mathbf{k}'_1 + \mathbf{k}'_2} v(\mathbf{k}_1 - \mathbf{k}'_1) \alpha_{\mathbf{k}'_1}^{\dagger} \alpha_{\mathbf{k}'_2}^{\dagger} \alpha_{\mathbf{k}_2} \alpha_{\mathbf{k}_1}$$

$$\alpha_{\mathbf{k}} = \xi_{\mathbf{k}} \cosh \chi_{\mathbf{k}} + \xi_{-\mathbf{k}}^{\dagger} \sinh \chi_{\mathbf{k}},$$

$$\alpha_{-\mathbf{k}}^{\dagger} = \xi_{\mathbf{k}} \sinh \chi_{\mathbf{k}} + \xi_{-\mathbf{k}}^{\dagger} \cosh \chi_{\mathbf{k}},$$

$$\tanh 2\chi_{\mathbf{k}} = -\frac{v(\mathbf{k})n_B}{E_{\mathbf{k}} + v(\mathbf{k})n_B}$$

Excitation spectrum  $\omega_{\mathbf{k}} = \sqrt{E_{\mathbf{k}}^2 + 2E_{\mathbf{k}}v(\mathbf{k})n_B}$ .

Acoustic for small  $k$

$$\rho_n(\mathbf{r}, \mathbf{r}', t) = \frac{n_B}{(n!)^2} \frac{\partial^{2n}}{\partial z^n \partial z'^n} \{ [1 + (z-1)G(\mathbf{r}, t)] \times [1 + (z'-1)G^*(\mathbf{r}', t)] \times \exp[n_B X(z, z')] \}_{z=z'=0},$$

$$X(z, z') = B(zz' - 1) + (1-B)(z + z' - 2) + A[(z-1)^2 + (z'-1)^2],$$

$$A = \frac{V_0}{2V} \sum_{\mathbf{k}} \frac{v(\mathbf{k})n_B}{\omega_{\mathbf{k}}},$$

$$B = \frac{V_0}{2V} \sum_{\mathbf{k}} \left[ 1 + \frac{E_{\mathbf{k}} + v(\mathbf{k})n_B}{\omega_{\mathbf{k}}} \right],$$

$$G(\mathbf{r}, t) = \sum_{\mathbf{k}} \exp(i\mathbf{k} \cdot \mathbf{r}) \left\{ \cos \omega_{\mathbf{k}} t - i \frac{E_{\mathbf{k}} + v(\mathbf{k})n_B}{\omega_{\mathbf{k}}} \sin \omega_{\mathbf{k}} t \right\}$$

## Decoherence wave in BEC III

In this case, decoherent action propagates with sound velocity, nothing is “superluminal”, etc – a **smooth** “wave function collapse”

Can be experimentally verified! But, in a sense...

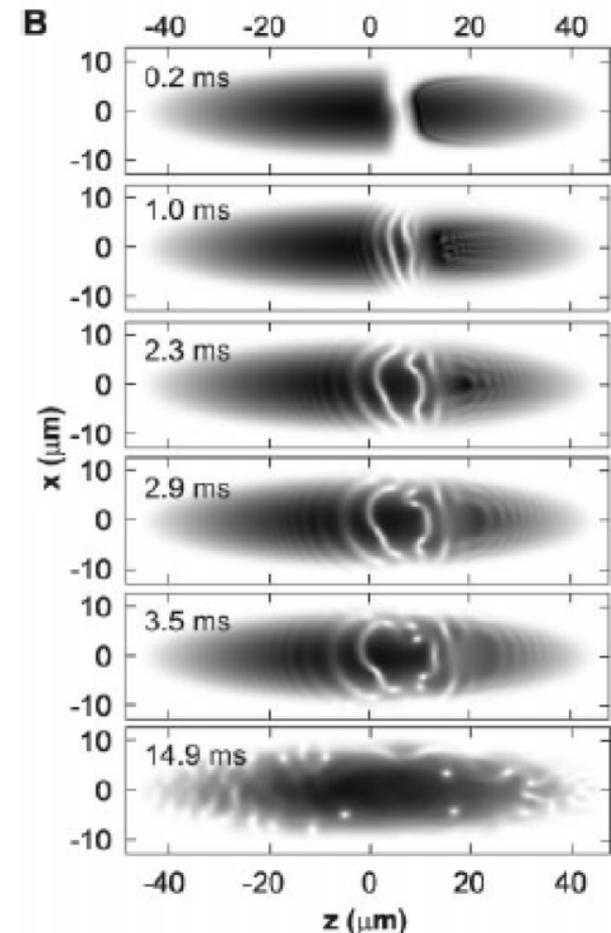
### Observation of Quantum Shock Waves Created with Ultra-Compressed Slow Light Pulses in a Bose-Einstein Condensate

Zachary Dutton,<sup>1,2</sup> Michael Budde,<sup>1,3</sup> Christopher Slowe,<sup>1,2</sup>  
Lene Vestergaard Hau<sup>1,2,3</sup>

SCIENCE VOL 293 27 JULY 2001

663

Interaction with light **is** a measurement!



# Neel state of AFM: The role of entanglement

$$\mathcal{H}_0 = \sum_{\mathbf{q}} J_{\mathbf{q}} (S_{\mathbf{q}}^+ S_{\mathbf{q}}^- + S_{\mathbf{q}}^z S_{\mathbf{q}}^z) \quad \text{Ground state is singlet, no sublattices!}$$

$$\sum_{\mathbf{q}} J_{\mathbf{q}} = 0, \quad \min_{\mathbf{q}} J_{\mathbf{q}} = J_{\kappa}$$

Anomalous averages:

$$H \rightarrow H - hA$$

$$\lim_{h \rightarrow 0} \lim_{N \rightarrow \infty} \langle A \rangle \neq \lim_{N \rightarrow \infty} \lim_{h \rightarrow 0} \langle A \rangle$$

In the case of AFM (or superconductor) this field does not look physical!

## On the Description of the Antiferromagnetism without Anomalous Averages

V.Yu. Irkhin and M.I. Katsnelson

Z. Phys. B – Condensed Matter 62, 201–205 (1986)

$$|\Phi_M\rangle \equiv |M\rangle = (S_{-\kappa}^-)^M |F\rangle$$

$$|\Phi\rangle = \sum_{L=0}^{NS} \exp[\lambda(L)/2] |2L\rangle$$

$|F\rangle$  is the ferromagnetic state (all spins up)

In thermodynamic limit, this state (without anomalous averages!) gives the same results for observables as Neel state; can be used as starting point for local measurement and decoherence wave

ON THE GROUND-STATE WAVEFUNCTION OF A SUPERCONDUCTOR IN THE BCS MODEL

V.Yu. IRKHIN and M.I. KATSNELSON

# Neel state of AFM: The role of entanglement II

## Measuring local spin at site $n = 0$

Easy-axis anisotropy: in Ising limit, one single measurement leads to instantaneous wave function collapse: all even spins up, all odd down (or vice versa)

Easy plane anisotropy (or isotropic case) – broken **continuous** symmetry; Decoherence wave and of the order of  $N$  measurements to create Neel state

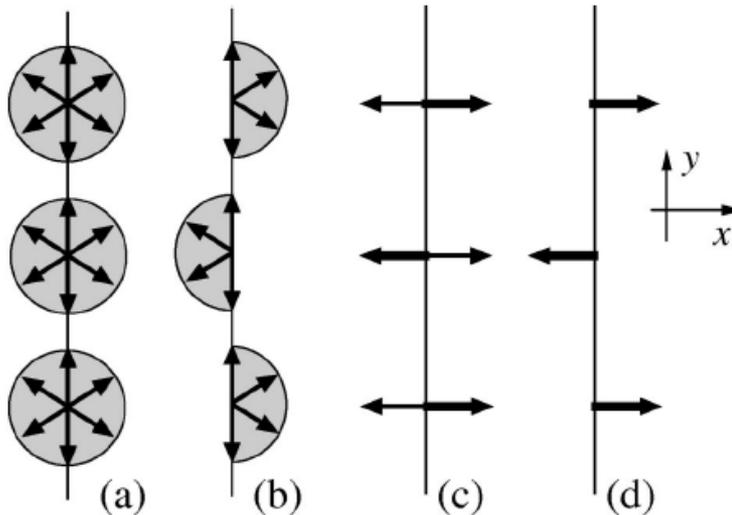


FIG. 1. Sketch of the spin arrangement. Easy plane case: (a) before measurement, sublattices are absent and the total AFM axis is not fixed; (b) after measurement, the “fan” sublattices emerge but an AFM axis is not fixed. Easy axis case: (c) before measurement, sublattices are absent; (d) after measurement, the Néel state appears.

## However... This is for classical spins!

In AFM, there are zero-point oscillations: nominal spin is less than in classical Neel picture. E.g., square lattice Heisenberg AFM, NN interactions only:

$$\overline{S_0} = S - 0.1971$$

It means that for  $S=1/2$  if a spin belongs to (nominally) spin-up sublattice in reality it is up with 80% probability and down with 20% probability (average spin is roughly 0.3)

Then, even in easy-axis case one single local measurement is not enough to establish sublattices – may be by accident it is done in a “wrong” instant

# Decoherence waves in AFM for quantum spins

PHYSICAL REVIEW B **93**, 184426 (2016)

## Decoherence wave in magnetic systems and creation of Néel antiferromagnetic state by measurement

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*Zernike Institute for Advanced Materials, University of Groningen, Nijenborgh 4, NL-9747AG Groningen, The Netherlands*

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*Radboud University, Institute for Molecules and Materials, Heyendaalseweg 135, NL-6525AJ Nijmegen, The Netherlands*

(Received 15 February 2016; published 20 May 2016)

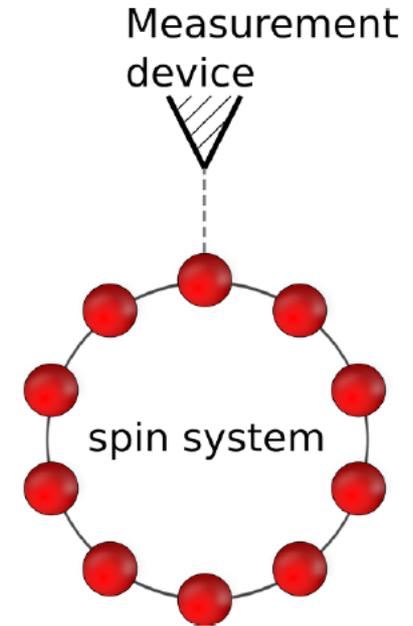
Simulations by numerically exact solution of  
time-dependent Schrödinger equation

$$\rho \rightarrow \rho' = \sum_i P_i \rho P_i \quad P_m^{\pm\alpha} = \frac{1 \pm 2S_m^\alpha}{2} \quad \langle S_l^\beta(t) \rangle = \text{Tr} \left[ S_l^\beta(t) \frac{P_m^{\pm\alpha} \rho_0 P_m^{\pm\alpha}}{N_0} \right]$$

Hamiltonian is the sum of Heisenberg and Ising parts:

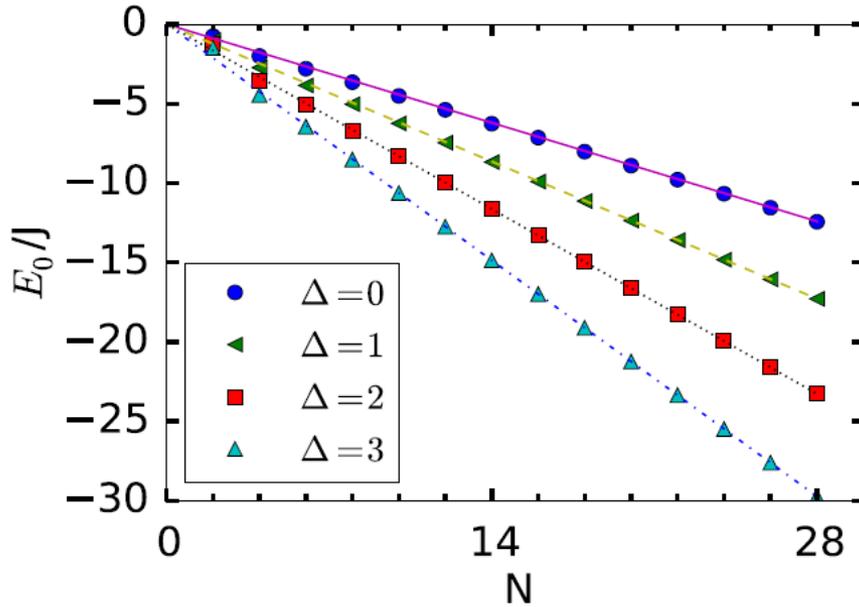
$$H_0 = J \sum_{\langle i,j \rangle} \mathbf{S}_i \cdot \mathbf{S}_j \quad H' = J \Delta \sum_{\langle i,j \rangle} S_i^z S_j^z$$

The larger  $\Delta$ , the weaker  
are quantum zero-point  
oscillations



# Decoherence waves in AFM for quantum spins II

To find ground state: Lanczos algorithm  $\rho = |\Psi\rangle\langle\Psi|$



Agrees very well with Bethe Ansatz Solution (funny – straight line already for small  $N$ )

Time evolution – Chebyshev polynomial expansion algorithm

# Chebyshev Polynomial Algorithm

**Chebyshev Polynomial Algorithm:** based on the numerically exact polynomial decomposition of the time evolution operator  $\tilde{U}$ . It is very efficient if  $H$  is a sparse matrix.

$$|\varphi(t)\rangle = \tilde{U}|\varphi(0)\rangle = e^{-itH}|\varphi(0)\rangle$$



$$e^{-izx} = J_0(z) + 2 \sum_{m=1}^{\infty} (-i)^m J_m(z) T_m(x)$$

$$T_m(x) = \cos[m \arccos(x)], x \in [-1, 1]$$

$$T_{m+1}(x) + T_{m-1}(x) = 2xT_m(x)$$

# Chebyshev Polynomial Algorithm II

- Introduce modified time and energy

$$|\phi(t)\rangle = \sum_{n=1}^N e^{-i\hat{t}\hat{E}_n} |E_n\rangle \langle E_n | \phi(0)\rangle, \quad \hat{E}_n = E / |E|_{\max}, \quad \hat{t} = t |E|_{\max}$$

$$|\phi(t)\rangle = \sum_{n=1}^N \left[ J_0(\hat{t}) + 2 \sum_{m=1}^{\infty} (-i)^m J_m(\hat{t}) T_m(\hat{E}_n) \right] |E_n\rangle \langle E_n | \phi(0)\rangle$$

- Cut off of the Bessel function

$$|J_m(\hat{t})| < \varepsilon, \quad \text{for } m \geq M = \hat{t} \exp[1 - (\ln \varepsilon) / \hat{t}] / 2$$

$$|\phi(t)\rangle \cong \left[ J_0(\hat{t}) \hat{T}_0(\hat{H}) + 2 \sum_{m=1}^M J_m(\hat{t}) \hat{T}_m(\hat{H}) \right] |\phi(0)\rangle$$

# Chebyshev Polynomial Algorithm III

- Definition of the modified Chebyshev Polynomial

$$\hat{T}_m(\hat{H}) = (-i)^m T_m(\hat{H}) = (-i)^m \sum_{n=1}^N T_m(\hat{E}_n) |E_n\rangle\langle E_n|$$

- Recurrence relations of the modified Chebyshev Polynomial

$$\hat{T}_0(\hat{H})|\phi\rangle = |\phi\rangle$$

$$\hat{T}_1(\hat{H})|\phi\rangle = -i\hat{H}|\phi\rangle$$

$$\hat{T}_{m+1}(\hat{H})|\phi\rangle = -2i\hat{H}\hat{T}_m(\hat{H})|\phi\rangle + \hat{T}_{m-1}(\hat{H})|\phi\rangle$$

$$|\phi(t)\rangle \cong \left[ J_0(\hat{t})\hat{T}_0(\hat{H}) + 2\sum_{m=1}^M J_m(\hat{t})\hat{T}_m(\hat{H}) \right] |\phi(0)\rangle$$

# Decoherence waves in AFM for quantum spins III

## Single measurement

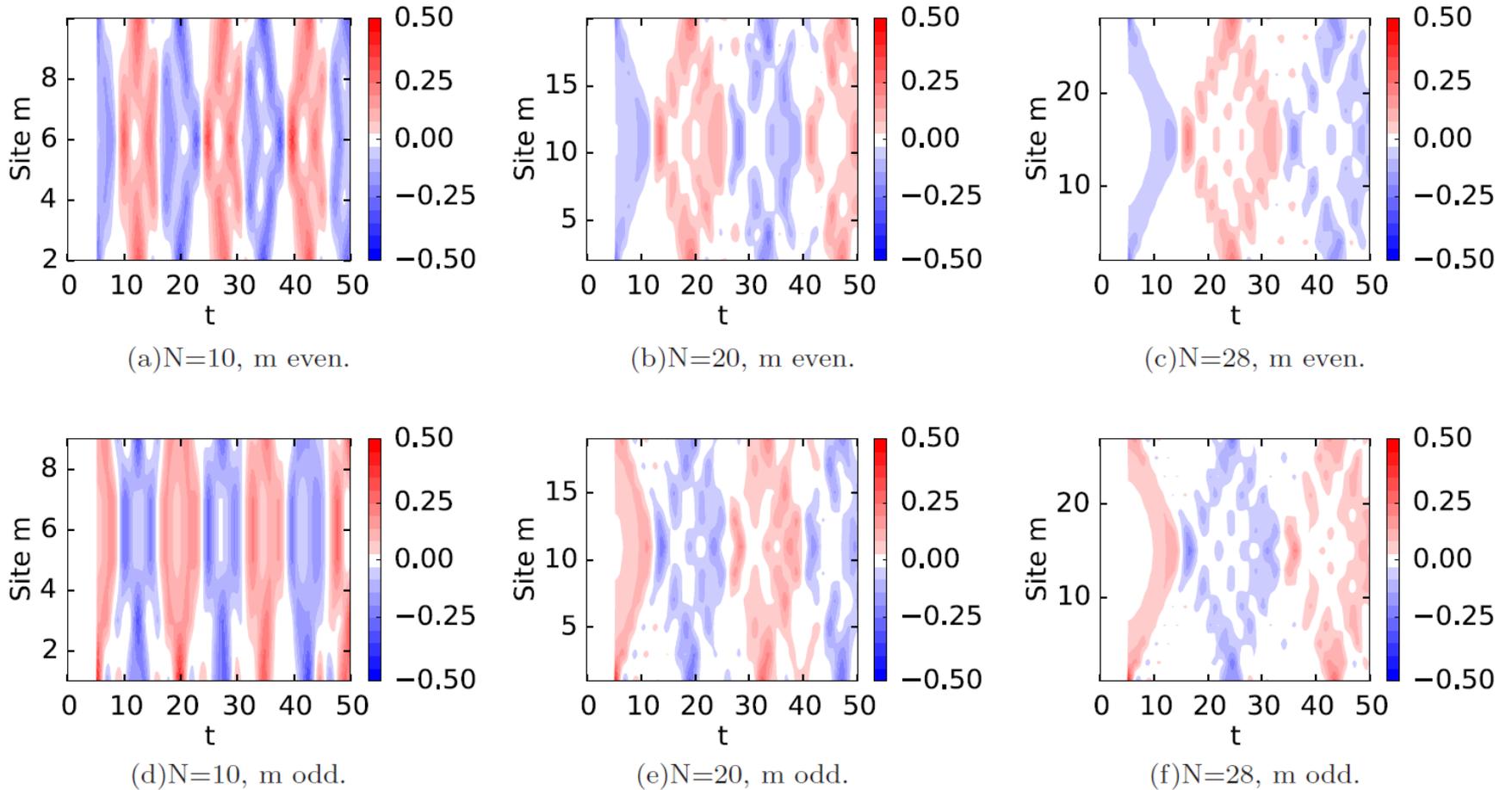


FIG. 3. Time evolution of the magnetization  $\langle S_m^z(t) \rangle$  for the isotropic (i.e., XXX) AFM Heisenberg spin chain of length  $N$ . The system at  $t = 0$  is prepared in the ground state after which at  $t = 5$  spin 1 is projected on the  $+z$  axis.

# Decoherence waves in AFM for quantum spins IV

The sign of anisotropy is not important if it is small

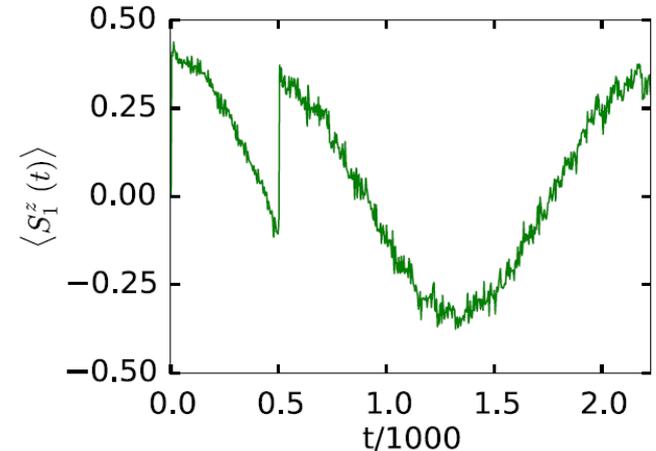
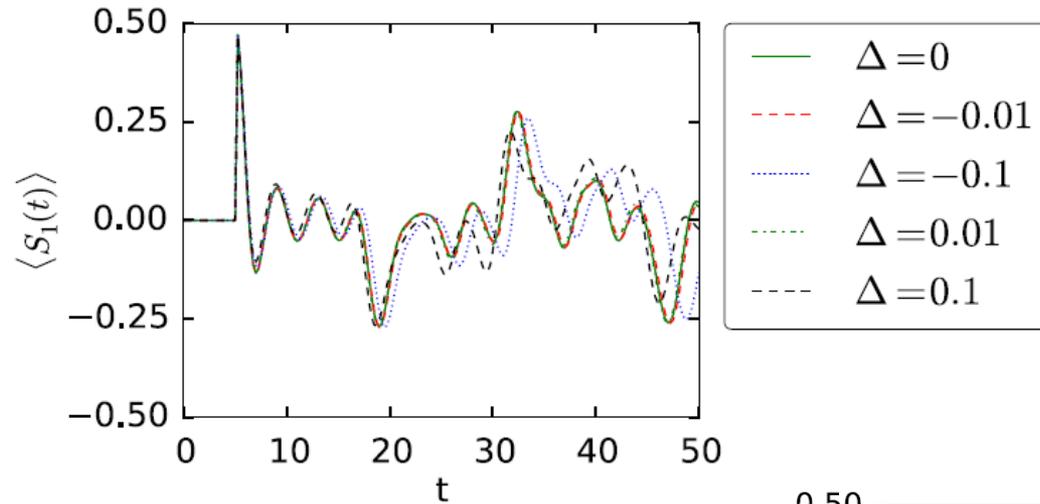


FIG. 7. Magnetization  $\langle S_1^z \rangle$  for  $N = 20$  and  $\Delta = 2$ , projections  $P_1^z$  are performed at  $t = 1$  and  $t = 500$ . The subsequent measurement (at  $t = 500$ ) restores the sublattice order (close) to the state after the first measurement.

Also, multiple measurements were studied

# Decoherence waves in AFM for quantum spins $V$

Oscillations of total magnetization after single local measurement

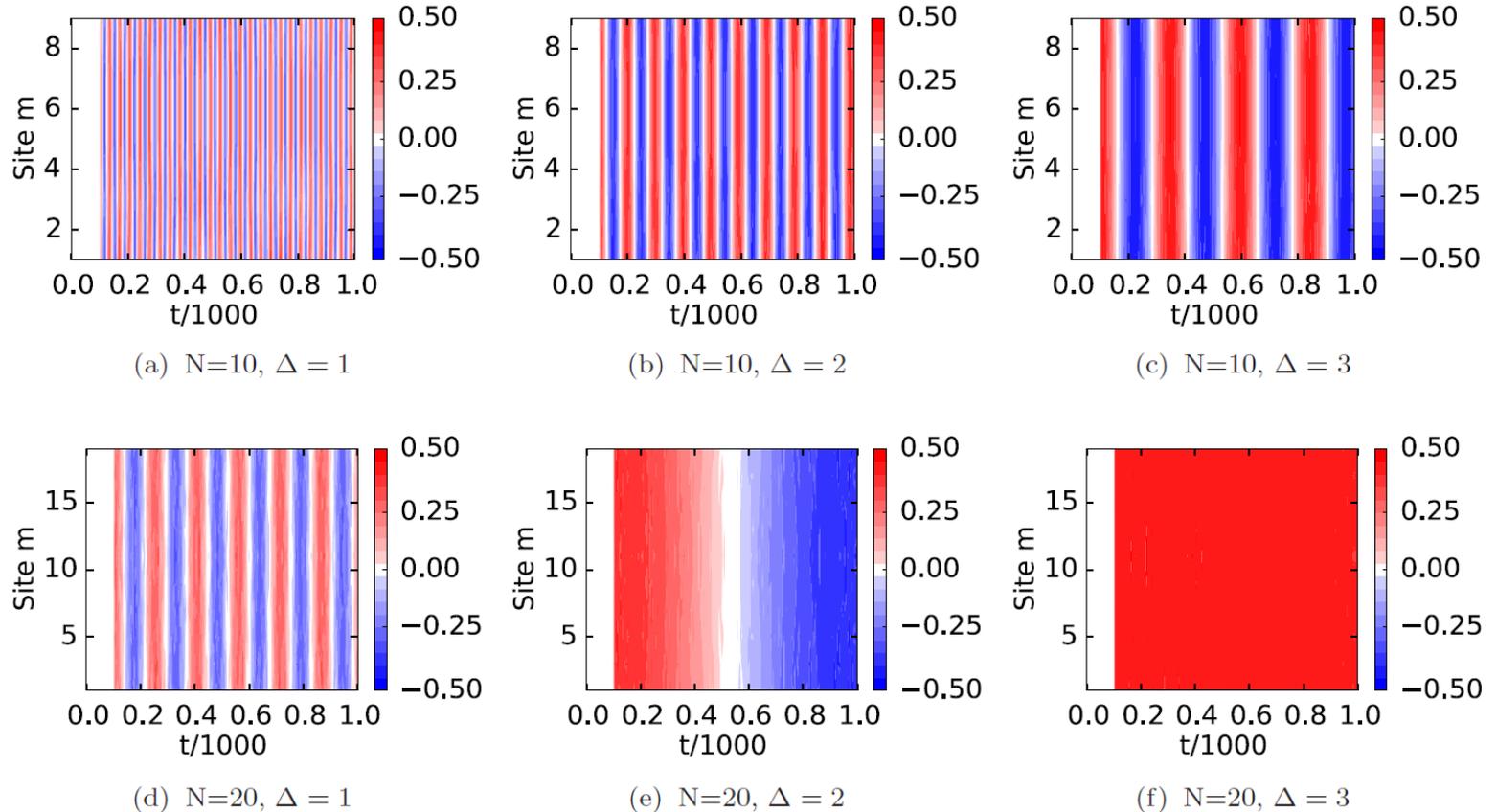


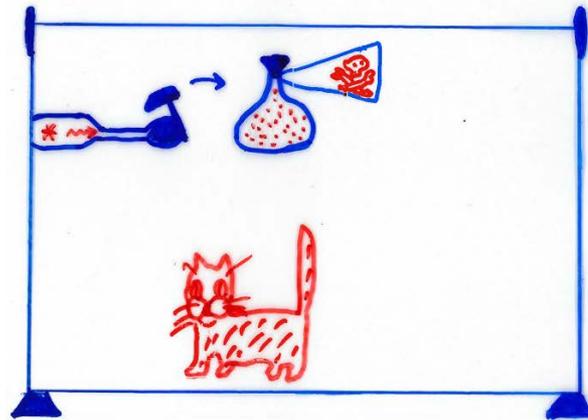
FIG. 9. Magnetization  $\langle S_m^z \rangle$  for odd values of  $m$  for different values of the anisotropy  $\Delta$  and chain length  $N$ . At  $t = 0$ , the system is prepared in the ground state, and at  $t = 100$  a single measurement is performed on spin 1 along the  $z$  direction.

# “Decoherence program”

Measurement eliminates off-diagonal elements of the density matrix, creates preferable basis (eigenstates of the operator corresponding to the measured Quantity) and therefore kills superposition principle. But why and how? (Von Neumann theory is pure phenomenology)

“Big” is not necessarily means “classical”

## 1. Schroedinger cat paradox

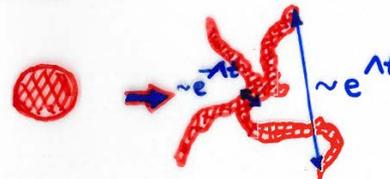


$$|cat\rangle = \alpha |alive\rangle + \beta |dead\rangle$$
$$|\alpha|^2 + |\beta|^2 = 1$$

## 2. Unstable systems (W. Zurek)

$$\delta q \propto \exp(\Lambda t)$$

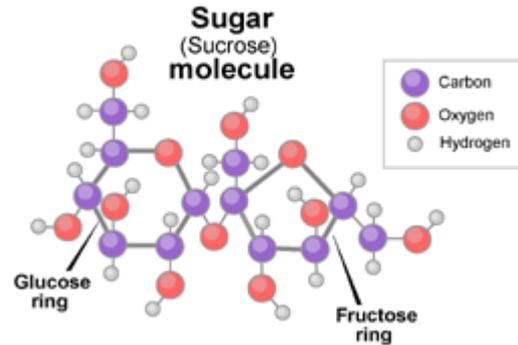
$$\delta p \propto \exp(\Lambda t)$$



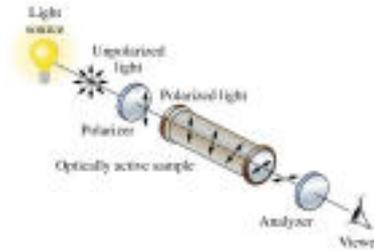
$\Lambda$  is the Kolmogorov entropy

# “Decoherence program” II

## Optical activity of biological substances



It is not equivalent to its mirror reflection → optical activity



Why it is not a superposition  $1/\sqrt{2}(|\text{left}\rangle + |\text{right}\rangle)$ ?

The “Schrödinger cat” problem!

Superposition principle does not work

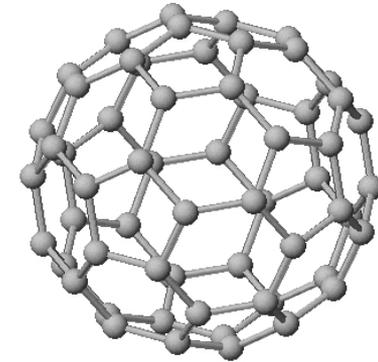
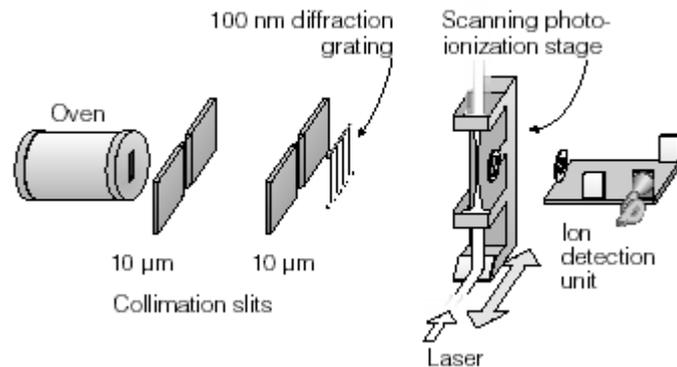
On the other hand: inverse splitting in  $\text{NH}_3$  (ammonia maser)

# “Decoherence program” III

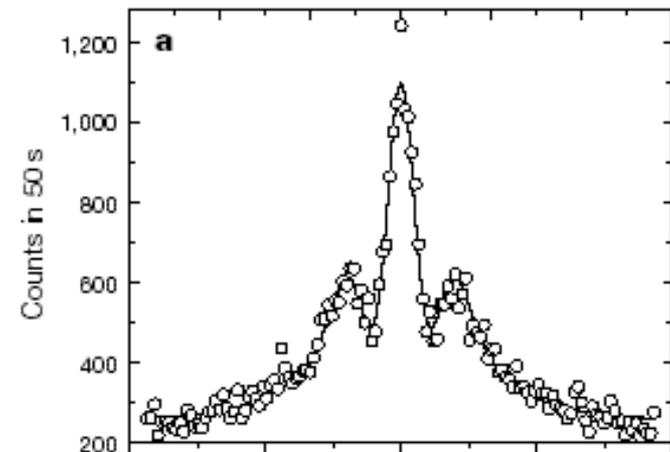
## Wave-particle duality of $C_{60}$ molecules

Markus Arndt, Olaf Nairz, Julian Vos-Andreae, Claudia Keller,  
Gerbrand van der Zouw & Anton Zeilinger

NATURE | VOL 401 | 14 OCTOBER 1999 |



$C_{60}$



Matter waves for  $C_{60}$  molecules

“Solution”: decoherence by an environment

Physics of decoherence = physics of *open*  
quantum systems

E. Wigner, R. Feynman, A. Leggett, W. Zurek,  
E. Joos, H. Zeh...

Formal solution of the Schrödinger cat paradox:  
Zurek 1982, Joos & Zeh 1985

Suppression of off-diagonal matrix elements of  
the density matrix due to scattering of air  
molecules, photons...

Very small decoherence time  $t_{decoh}^{-1} \propto N \left( \frac{\delta f}{\lambda} \right)^2$

$N$  is the number of scattering acts,  $\delta f$  is the  
difference of scattering lengths for “dead” and  
“alive” cat,  $\lambda$  is de Broglie wave-length.

Even in intergalactic space: scattering of  
background microwave radiation

Still controversial...

Key words

## 1. Superselection rules

Suppression of some quantum transitions due to  
environment rather than to symmetry (e.g., dead cat –  
alive cat, right molecule – left molecule).

## 2. Pointer states

“Robust” states with respect to the interaction with an  
environment. Only pointer states survive in the  
macroworld. **Superposition of the pointer states is  
not, in general, a pointer state!**

Mathematical status of this concept is still not clear:  
something like “attractors”, but... the Schrödinger  
equation is linear...

## 3. Difference between dissipation and dephasing

In terms of NMR: difference between  $T_1$  and  $T_2$ .

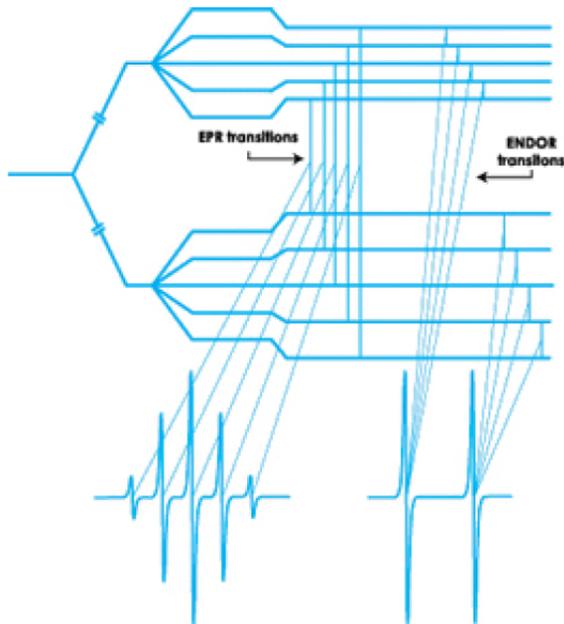
*An isolated system is always quantum*

Electron spin resonance:

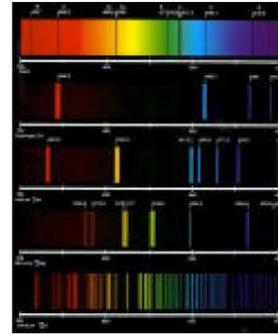
- (1) Initial electron state is known
- (2) Final electron state is known
- (3) Nuclear spin states are arbitrary

Nuclear spins is a thermal bath

ENDOR: both electron and nuclear initial and final states are known  
Nuclear spins is a part of the system



Bohr transitions in atoms



**Quantum:** energy spectrum is not equidistant so for a given frequency  $\hbar\omega_{mn} = E_m - E_n$  we know both initial and final state

**Classical resonance:** the spectrum is equidistant  $E_n = \hbar\omega_0(n + 1/2)$  + selection rules for the coordinate operator  $|n\rangle \rightarrow |n \pm 1\rangle$ :  
 $\omega = \omega_0$  means nothing

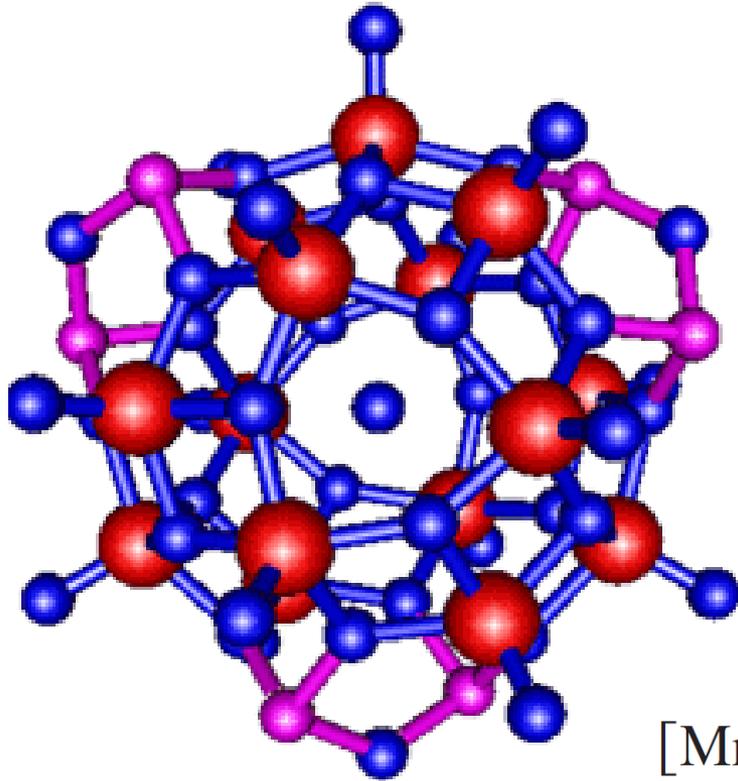


Oscillations in this system are not quantum!

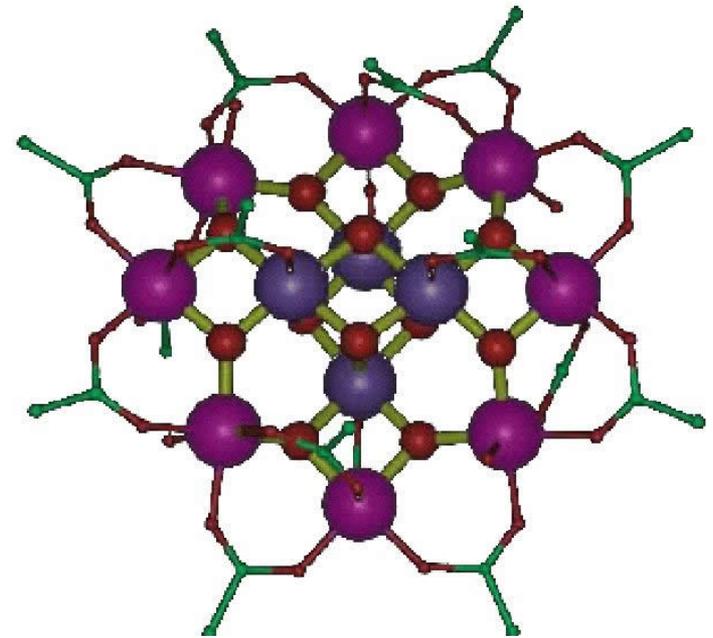
# Decoherence in quantum spin systems: Motivation

## Molecular magnets

$V_{15}$



$Mn_{12}$

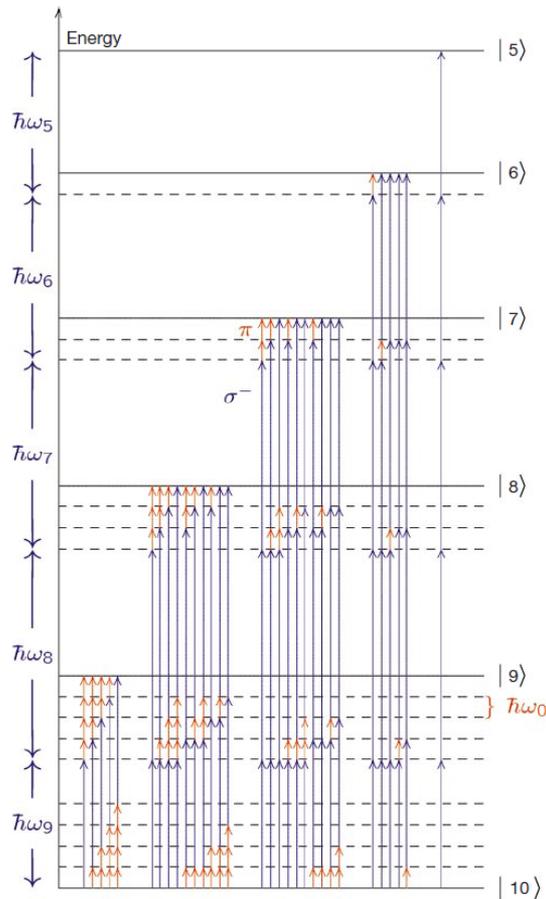


# Molecular magnets II

## Quantum computing in molecular magnets

Michael N. Leuenberger & Daniel Loss

NATURE | VOL 410 | 12 APRIL 2001 |



Very attractive but...

Decoherence by nuclear spins  
(chaotic thermal bath at any reasonable  
temperature)

VOLUME 90, NUMBER 21

PHYSICAL REVIEW LETTERS

week ending  
30 MAY 2003

### Quantum Oscillations without Quantum Coherence

V.V. Dobrovitski,<sup>1</sup> H. A. De Raedt,<sup>2</sup> M. I. Katsnelson,<sup>3</sup> and B. N. Harmon<sup>1</sup>

$$\mathcal{H} = \mathcal{H}_0 + \mathcal{V} = \mathcal{H}_S + \mathcal{H}_B + \mathcal{V};$$

$$\mathcal{H}_S = 2Js_1s_2 \quad \mathcal{V} = \sum_k A_k^{(1)}s_1 \mathbf{I}_k + A_k^{(2)}s_2 \mathbf{I}_k \quad \mathcal{H}_B = 0.$$

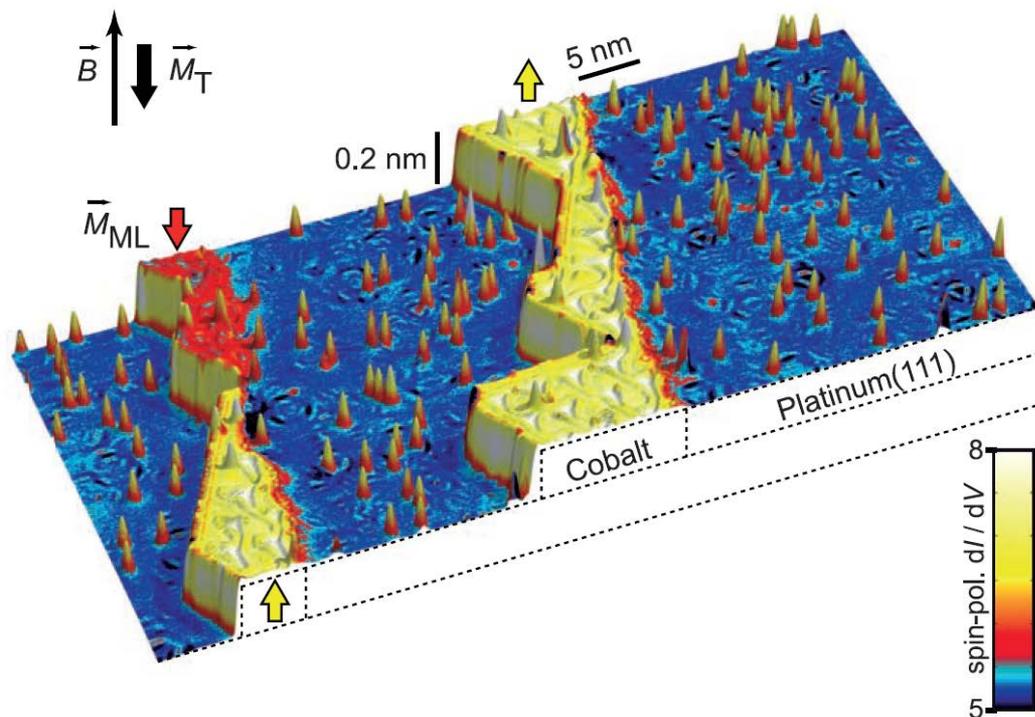
One can have “Rabi oscillations”  
but entropy is high (a very  
small part of Hilbert space is  
available for manipulations)

# STM probe of magnetic clusters

## Revealing Magnetic Interactions from Single-Atom Magnetization Curves

Focko Meier,\* Lihui Zhou, Jens Wiebe,† Roland Wiesendanger

4 APRIL 2008 VOL 320 SCIENCE

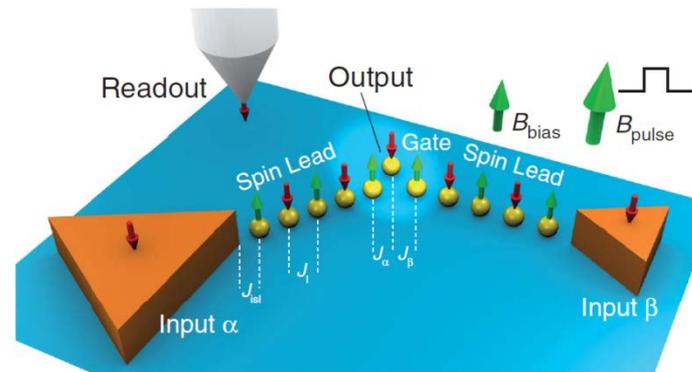


**Fig. 1.** Overview of the sample of individual Co adatoms on the Pt(111) surface (blue) and Co ML stripes (red and yellow) attached to the step edges (STM topograph colored with the simultaneously recorded spin-polarized  $dI/dV$  map measured with an STM tip magnetized antiparallel to the surface normal). An external  $\vec{B}$  can be applied perpendicular to the sample surface in order to change the magnetization of adatoms  $\vec{M}_A$ , ML stripes  $\vec{M}_{ML}$ , or tip  $\vec{M}_T$ . The ML appears red when  $\vec{M}_{ML}$  is parallel to  $\vec{M}_T$  and yellow when  $\vec{M}_{ML}$  is antiparallel to  $\vec{M}_T$ . (Tunneling parameters are as follows:  $I = 0.8$  nA,  $V = 0.3$  V, modulation voltage  $V_{mod} = 20$  mV,  $T = 0.3$  K.)

## Realizing All-Spin-Based Logic Operations Atom by Atom

Alexander Ako Khajetoorians, Jens Wiebe,\* Bruno Chilian, Roland Wiesendanger

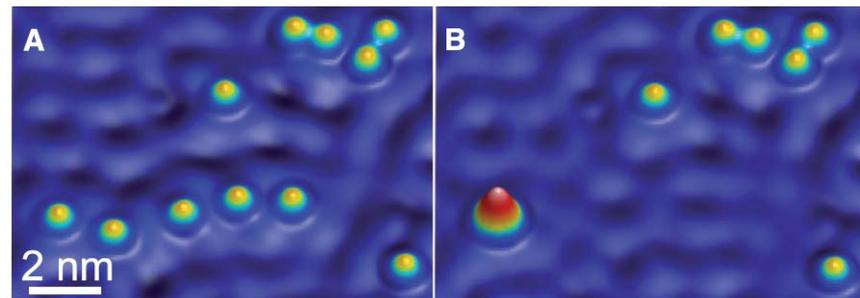
27 MAY 2011 VOL 332 SCIENCE



## Current-Driven Spin Dynamics of Artificially Constructed Quantum Magnets

Alexander Ako Khajetoorians,<sup>1\*</sup> Benjamin Baxevanis,<sup>2</sup> Christoph Hübner,<sup>2</sup> Tobias Schlenk,<sup>1</sup> Stefan Krause,<sup>1</sup> Tim Oliver Wehling,<sup>3,4</sup> Samir Lounis,<sup>5</sup> Alexander Lichtenstein,<sup>2</sup> Daniela Pfannkuche,<sup>2</sup> Jens Wiebe,<sup>1\*</sup> Roland Wiesendanger<sup>1</sup>

SCIENCE VOL 339 4 JANUARY 2013



Constant-current STM images of single Fe atoms on the surface of Cu(111)

# STM probe of magnetic clusters II

## Two-Site Kondo Effect in Atomic Chains

N. Néel and R. Berndt

*Institut für Experimentelle und Angewandte Physik, Christian-Albrechts-Universität zu Kiel, D-24098 Kiel, Germany*

J. Kröger

*Institut für Physik, Technische Universität Ilmenau, D-98693 Ilmenau, Germany*

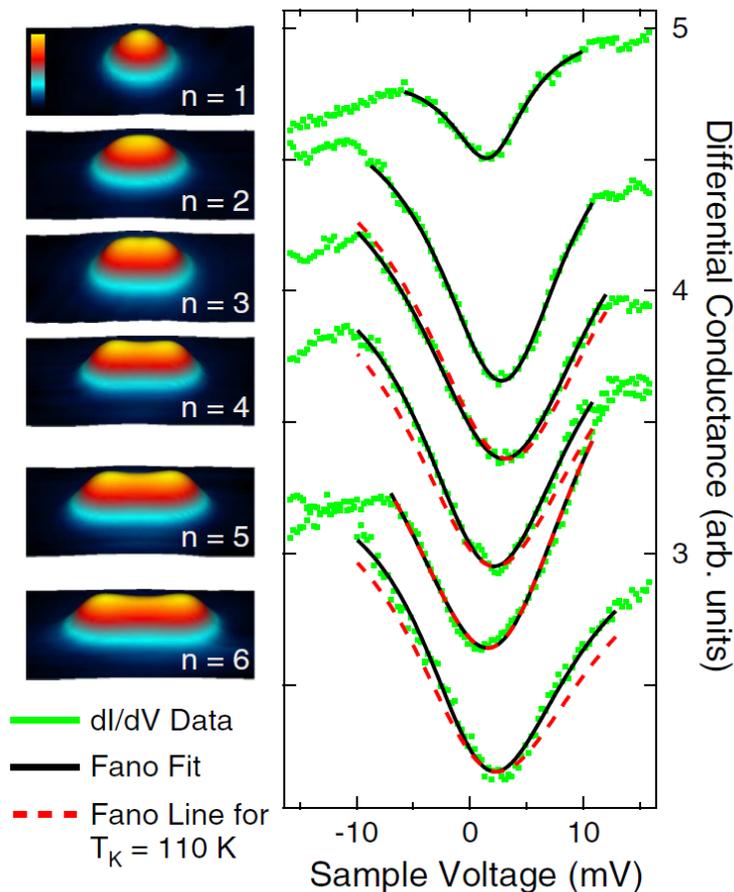
T. O. Wehling and A. I. Lichtenstein

*Institut für Theoretische Physik, Universität Hamburg, D-20355 Hamburg, Germany*

M. I. Katsnelson

*Institute for Molecules and Materials, Radboud University Nijmegen, NL-6525 AJ Nijmegen, The Netherlands*

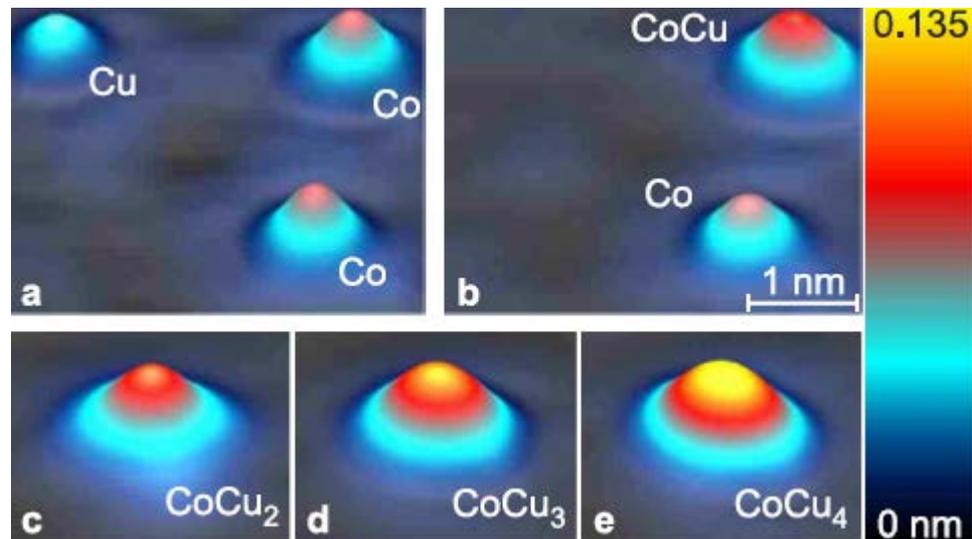
(Received 10 August 2010; published 1 September 2011)



## Controlling the Kondo Effect in $\text{CoCu}_n$ Clusters Atom by Atom

N. Néel,<sup>1</sup> J. Kröger,<sup>1,\*</sup> R. Berndt,<sup>1</sup> T. O. Wehling,<sup>2</sup> A. I. Lichtenstein,<sup>2</sup> and M. I. Katsnelson<sup>3</sup><sup>1</sup>*Institut für Experimentelle und Angewandte Physik, Christian-Albrechts-Universität zu Kiel, D-24098 Kiel, Germany*<sup>2</sup>*Institut für Theoretische Physik I, Universität Hamburg, D-20355 Hamburg, Germany*<sup>3</sup>*Institute for Molecules and Materials, Radboud University Nijmegen, NL-6525 AJ Nijmegen, The Netherlands*  
(Received 1 October 2008; published 30 December 2008)

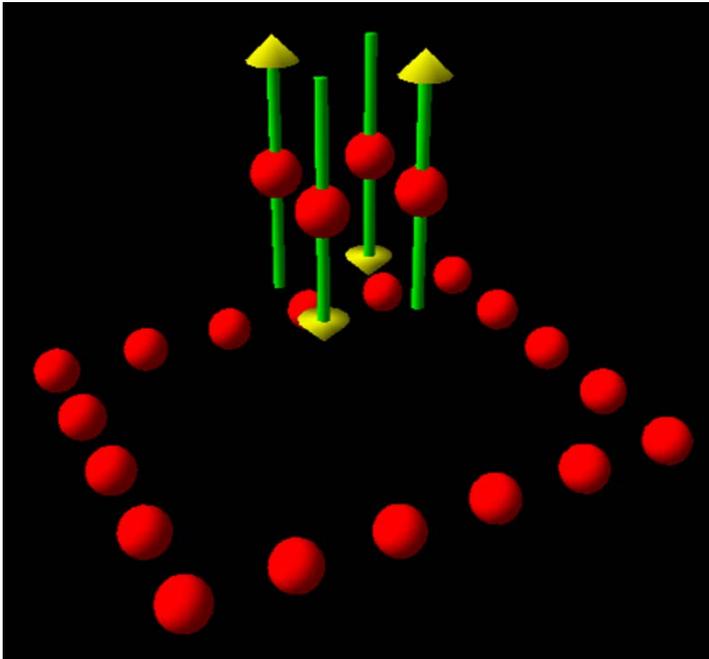
Clusters containing a single magnetic impurity were investigated by scanning tunneling microscopy, spectroscopy, and *ab initio* electronic structure calculations. The Kondo temperature of a Co atom embedded in Cu clusters on Cu(111) exhibits a nonmonotonic variation with the cluster size. Calculations model the experimental observations and demonstrate the importance of the local and anisotropic electronic structure for correlation effects in small clusters.



Decoherence by conduction  
electrons in substrate

# Simulations

S. Yuan, M. I. Katsnelson and H. De Raedt, JETP Lett. 84, 99 (2006);  
Phys. Rev. A 75, 052109 (2007); Phys. Rev. B 77, 184301 (2008);  
J. Phys. Soc. Japan 78, 094003 (2009)



$$H = H_S + H_E + H_{SE}$$

$$H_S = -\sum_{i=1}^{n_S-1} \sum_{j=i+1}^{n_S} \sum_{\alpha=x,y,z} J_{i,j}^{(\alpha)} S_i^\alpha S_j^\alpha$$

$$H_E = -\sum_{i=1}^{n_E-1} \sum_{j=i+1}^{n_E} \sum_{\alpha=x,y,z} \Omega_{i,j}^{(\alpha)} I_i^\alpha I_j^\alpha$$

$$H_{SE} = -\sum_{i=1}^{n_S} \sum_{j=1}^{n_E} \sum_{\alpha=x,y,z} \Delta_{i,j}^{(\alpha)} S_i^\alpha I_j^\alpha$$

Decoherence depends on symmetry of the Hamiltonians,  
state of the environment, etc.

## Simulations II

- In quantum mechanics, the state of a quantum system is described by a state vector (**wave function**) in the Hilbert space.
- The time evolution of wave function follows the **Time-dependent Schrodinger Equation (TDSE)**:

$$i \frac{\partial}{\partial t} |\phi(t)\rangle = H |\phi(t)\rangle, \hbar = 1$$

Formal solution

$$|\phi(t)\rangle = \tilde{U} |\phi(0)\rangle = e^{-itH} |\phi(0)\rangle$$

Operator exponent is calculated via Chebyshev polynomial expansion

# Density Matrix and Decoherence

■ The state of quantum system  $S$  is determined by its **reduced density matrix**, which is obtained by tracing out the degree of freedom of the environment  $E$ .

$$i\hbar \frac{\partial}{\partial t} |\phi(t)\rangle = H |\phi(t)\rangle \rightarrow \rho(t) = |\phi(t)\rangle\langle\phi(t)| \rightarrow \rho_S(t) = \text{Tr}_E \rho(t)$$

■ **Decoherence**: the mechanism by which quantum systems interact with their environments to lose the interference (phase relations) and exhibit the feature of “classical” physics.

*Off - Diagonal Terms are Zero*

$$\rho_{ij}(t) \rightarrow 0 \text{ for } i \neq j$$

# Computational results

Currently simulations can be done up to 40 spins  $\frac{1}{2}$   
(in that time up to 30 spins  $\frac{1}{2}$ )

*ISSN 0021-3640, JETP Letters, 2006, Vol. 84, No. 2, pp. 99–103. © Pleiades Publishing, Inc., 2006.*

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## **Giant Enhancement of Quantum Decoherence by Frustrated Environments<sup>¶</sup>**

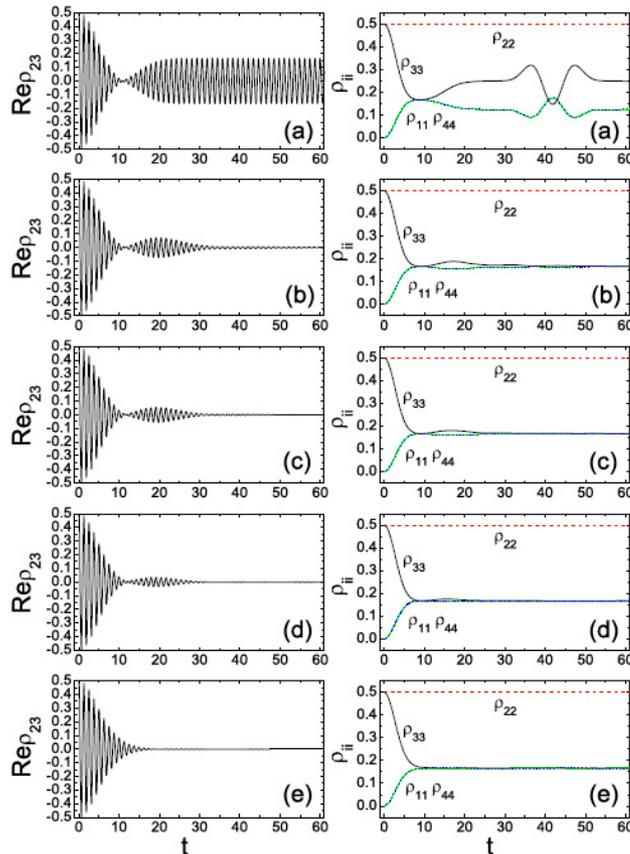
S. Yuan<sup>a</sup>, M. I. Katsnelson<sup>b</sup>, and H. De Raedt<sup>a</sup>

Important observation: decoherence is very strong if spins in the bath are highly connected and, especially, if their interactions are frustrated (spin-glass-like)

Allows to simulate “thermodynamic limit” for small enough systems!

# Examples of Decoherence

The coupling within the spins in the environment is important to the decoherence of the central system



$H_S$  : Heisenberg

$H_E$  : Heisenberg - type

$H_{SE}$  : Heisenberg

$$n_S = 2, n_E = 18$$

$$J_S = -5, \Omega_E = 0.15, \Delta_{SE} = -0.075$$

$$|\phi(0)\rangle = |UD\rangle_S \otimes |RANDOM\rangle_E$$

a)  $K = 0$ ; b)  $K = 2$ ; c)  $K = 4$ ; d)  $K = 6$

e)  $K = N - 1$

For  $K = 0$  :

$$\text{Re}[\rho_{23}(t)] = \left[ \frac{1}{6} + \frac{1-bt^2}{3} e^{-ct^2} \right] \cos \omega t$$

$$b = \frac{N\Delta^2}{4}, c = \frac{b}{2} \text{ and } \omega = J - \Delta$$

# Canonical Ensemble

- A **statistical ensemble** in statistical mechanics: representing a probability distribution of microscopic states of the system.
- A **basic postulate** in statistical mechanics: a generic system that interacts with a generic environment evolves into a state described by the **canonical ensemble**.
- The probability of finding the system  $P_n$  in a particular state  $n$  with energy level  $E_n$  is given by Boltzmann distribution:

$$\textit{Probability Distribution} : P_n = \frac{e^{-\beta E_n}}{\sum_n e^{-\beta E_n}}, \quad \beta = 1/k_B T$$

$$\textit{(Reduced) Density Matrix} : \rho_\beta = \frac{e^{-\beta H_S}}{\text{Tr}_S e^{-\beta H_S}}, \quad H_S = \sum_n |E_n\rangle\langle E_n|$$

# Origin of Canonical Ensemble

■ **Time-average-approximation:** the time average of expectation value of any operator is approximately equal to the value in a canonical ensemble. **There is no stationary state.**

$$\overline{\langle A \rangle}_t \equiv \lim_{T \rightarrow \infty} \frac{1}{T} \int_0^T A(t) dt \approx \langle A \rangle_{\beta}^{Can}$$

H. Tasaki, *Phys. Rev. Lett.* **80**, 1373 (1998).

■ **State-average-approximation (*Canonical Typicality*):** if the state of the whole system is in the subspace of the whole Hilbert space, with small energy interval, then the subsystem is approximately in the canonical ensemble. **There is no dynamics.**

S. Popescu, A. J. Short, and A. Winter, *Nature Phys.* **2**, 754-758 (2006).

# Dynamical Evolution to Canonical Ensemble

- Quantities to measure the difference between the state and the canonical distribution

## *Digonal Terms (Measurement of Energy Distribution)*

$$\delta(t) = \sqrt{\sum_{i=1}^N \left( \rho_{ii}(t) - e^{-b(t)E_i} / \sum_{i=1}^N e^{-b(t)E_i} \right)^2}$$

## *Off - Digonal Terms (Measurement of Decoherence)*

$$\sigma(t) = \sqrt{\sum_{i=1}^{N-1} \sum_{j=i+1}^N |\rho_{ij}(t)|^2}$$

## *Effective Temperature*

$$b(t) = \frac{\sum_{i < j, E_i \neq E_j} [\ln \rho_{ii}(t) - \ln \rho_{jj}(t)] / (E_j - E_i)}{\sum_{i < j, E_i \neq E_j} 1}$$

## *Canonical Ensemble*

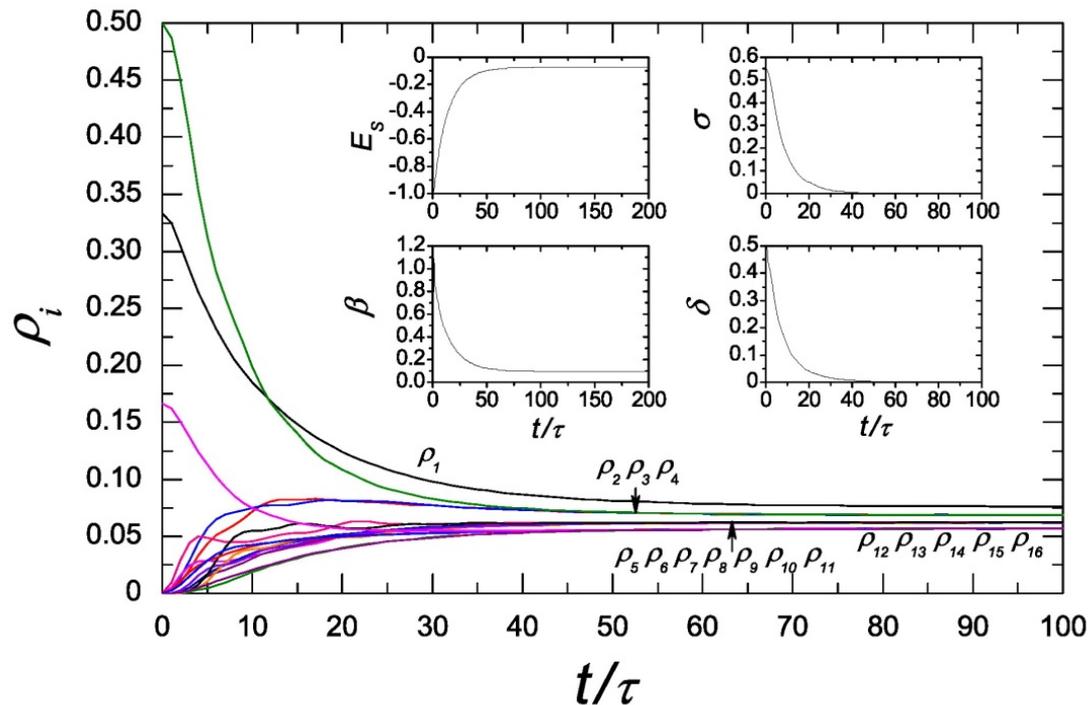
$$\delta(t) \rightarrow 0$$

$$\sigma(t) \rightarrow 0$$

$$b(t) \rightarrow \beta$$

# Dynamical Evolution to Canonical Ensemble II

For certain types of Hamiltonian and initial states, a quantum spin system can **dynamically** approach to a **stable** state which follows canonical distribution.



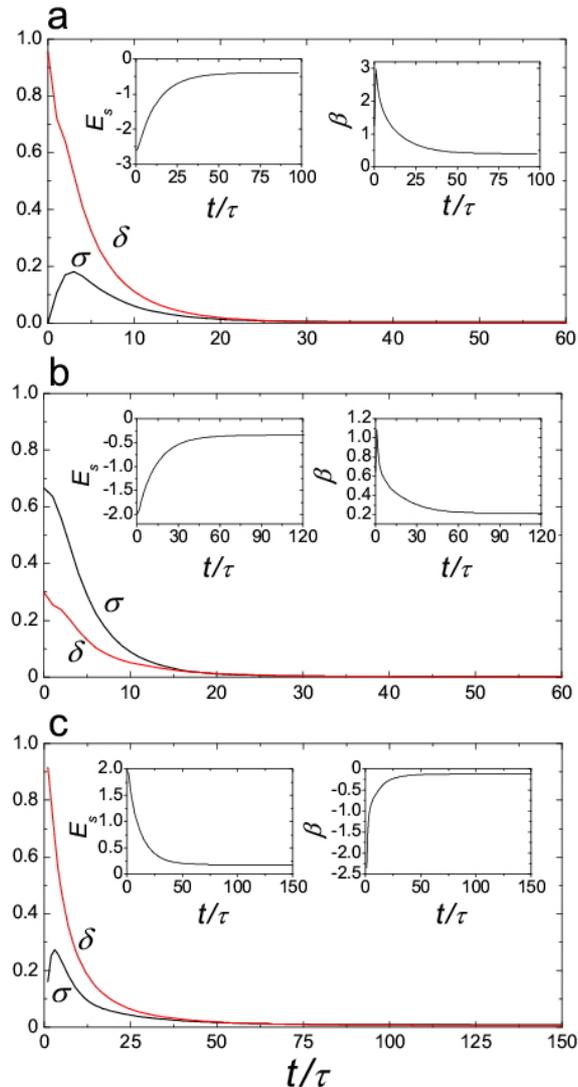
$H_S$  : Heisenberg - ring  
 $H_E$  : Heisenberg - type - spin - glass  
 $H_{SE}$  : Heisenberg - type

$$n_S = 4, n_E = 18$$

$$J_S = -1, \Omega_E = 1, \Delta_{SE} = 0.3, \tau = \pi/10$$

$$|\phi(0)\rangle = |UDUD\rangle_S \otimes |RANDOM\rangle_E$$

# Dynamical Evolution to Canonical Ensemble III



$H_S$  : (a) XY - ring

(b) Heisenberg - ring

(c) Ising - ring

$H_E$  : Heisenberg - type - spin - glass

$H_{SE}$  : Heisenberg - type

$$n_S = 8, n_E = 16$$

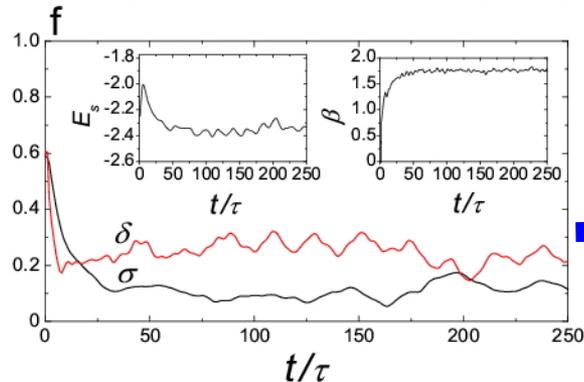
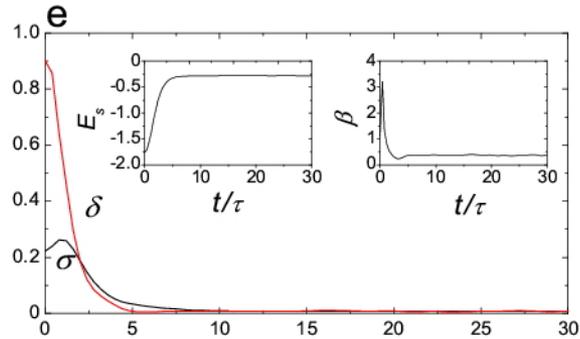
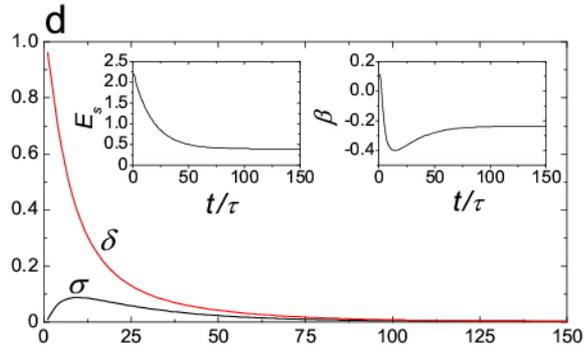
$$J = -1, \Omega = 1, \Delta = 0.3$$

$$(a) |\phi(0)\rangle = |GROUND\rangle_S \otimes |RANDOM\rangle_E$$

$$(b) |\phi(0)\rangle = |UDUD\rangle_S \otimes |RANDOM\rangle_E$$

$$(c) |\phi(0)\rangle = |UUUU\rangle_S \otimes |RRRR\rangle_E$$

# Dynamical Evolution to Canonical Ensemble IV



$H_S$  : (d) Heisenberg - triangular - lattice

(e) Heisenberg - type - spin - glass

(f) Heisenberg - ring

$H_E$  : Heisenberg - type - spin - glass

$H_{SE}$  : Heisenberg - type

$$n_S = 6, n_E = 16$$

$$J = -1, \Omega = 1, \Delta = 0.3 (\Delta = 1 \text{ in } e)$$

(d)  $|\phi(0)\rangle = |UUUU\rangle_S \otimes |RANDOM\rangle_E$

(e)  $|\phi(0)\rangle = |GROUND\rangle_S \otimes |UDUD\rangle_E$

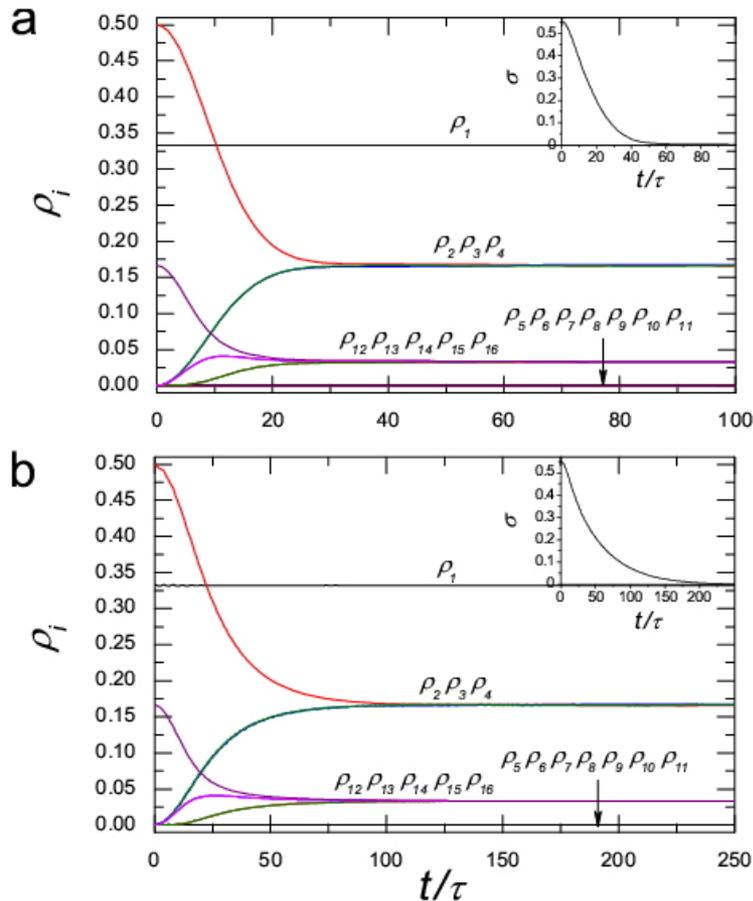
(f)  $|\phi(0)\rangle = |UDUD\rangle_S \otimes |GROUND\rangle_E$



# Full Decoherence without Canonical Distribution

A quantum system can approach a state with full decoherence but without canonical distribution if energy exchange is small

e.g.  $[H_S, H] = 0$  or  $|\Omega| \ll |J|$



$H_S$  : Heisenberg - ring

$H_E$  : Heisenberg - type - spin - glass

$H_{SE}$  : (a) Heisenberg

(b) Heisenberg - type

$n_S = 4, n_E = 16, J = -5, \Omega = 0.15$

(a)  $\Delta = 0.075$ , (b)  $\Delta = 0.15$

$|\phi(0)\rangle = |UDUD\rangle_S \otimes |RANDOM\rangle_E$

# Conditions for Dynamic Evolution to Canonical Ensemble

- The general conditions under which we observe that a quantum system  $S$  dynamically evolves to the canonical distribution are:
  - I. The system  $S$  interacts with an environment  $E$ . The whole system  $S+E$  is a closed quantum system that evolves in time according to the TDSE.
  - II. The interaction between  $S$  and  $E$  must lead to the full decoherence of  $S$ .
  - III. The system  $S$  and  $E$  can exchange energy and the range of energy distribution of  $E$  is large compared to the range of energy distribution of  $S$ .

# Summary from Simulation of Quantum Spin System

- We emphasize that our observations are

- based on the direct solution of the TDSE;
- without making any approximation;
- without performing any time averaging;
- without making the environment infinitely large;
- without introducing any special distribution such as the microcanonical ensemble, or a subspace with small energy interval.

- We suggest that the canonical ensemble, being one of the basic postulates of statistical mechanics, is a natural consequence of the dynamical evolution of a quantum system.

# Looking for pointer states in quantum spin systems

**Decoherence and pointer states in small antiferromagnets:  
A benchmark test**

What are pointer states?

Hylke C. Donker<sup>1\*</sup>, Hans De Raedt<sup>2</sup> and Mikhail I. Katsnelson<sup>1</sup>

Density matrix is Hermitian, it can always be diagonalized. The states which diagonalize it should be the most robust and reasonably time independent (if Schrödinger cat is dead it should state dead)

Predictability sieve criterion (Zurek): von Neumann entropy

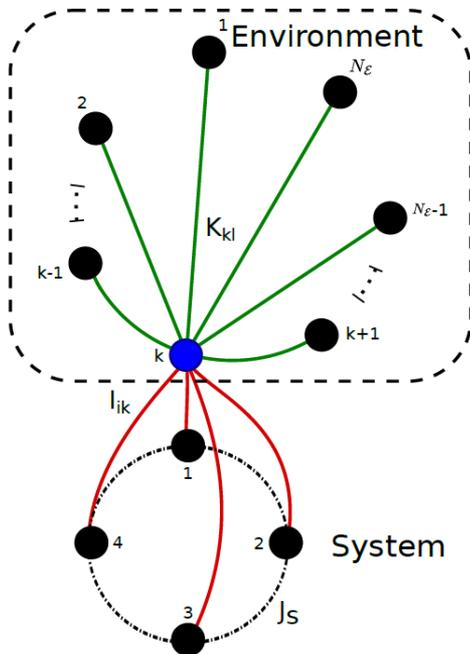
$$S(t) \equiv -\text{Tr}[\rho(t) \ln \rho(t)]$$

should be small (robustness!) and weakly time-dependent

# Looking for pointer states in quantum spin systems II

**Hypothesis:** if interaction Hamiltonian is much larger than energy distances for the central system PS are eigenstates of the interaction Hamiltonian; in the opposite limit they are eigenstates of the central system Hamiltonian

The first part is supposed to explain von Neumann measurement Prescription, the second part is supposed to explain why we have atomic transitions between discrete well-defined atomic levels



Let us check...

$$H = H_S + H_I + H_E$$

$$H_S = J_S \sum_{\langle i,j \rangle \in S} \mathbf{S}_i \cdot \mathbf{S}_j$$

$$H_E = \sum_{k,l \in \mathcal{E}} K_{kl} \mathbf{S}_k \cdot \mathbf{S}_l$$

Heisenberg

Random, each with each

$$H_I = \sum_{i \in S, k \in \mathcal{E}} I_{ik} S_i^z S_k^z$$

Should stabilize classical Neel state (eigenstate of this Hamiltonian)?!

# Looking for pointer states in quantum spin systems III

$I_{ab} = I r_{ab}$       $r_{ab} \tilde{r}_{ab}$  are uniform random numbers within  $[0,1)$

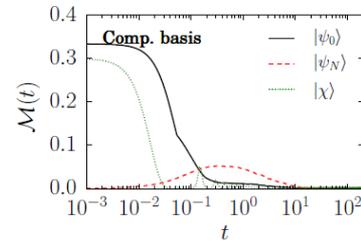
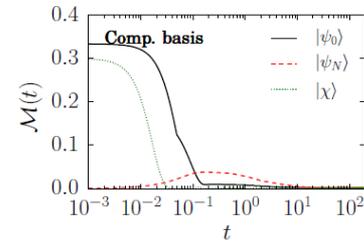
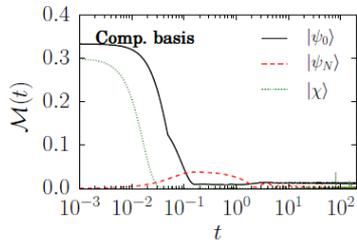
$K_{ab} = K \tilde{r}_{ab}$      Strong interaction,  $I = 20J_s$

$K = 0.02J_s$

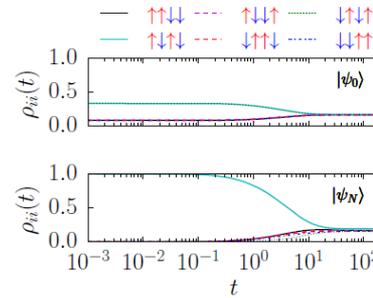
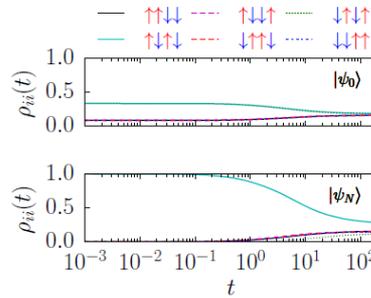
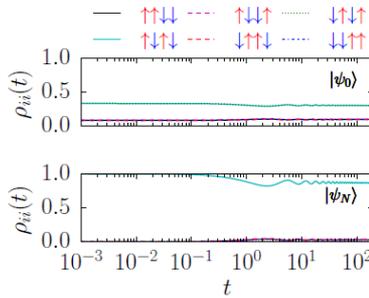
$K = J_s$

$K = 20J_s$

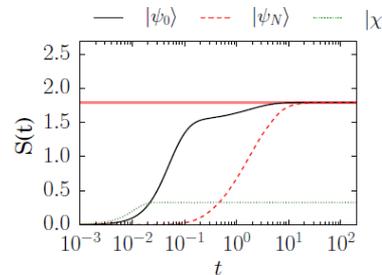
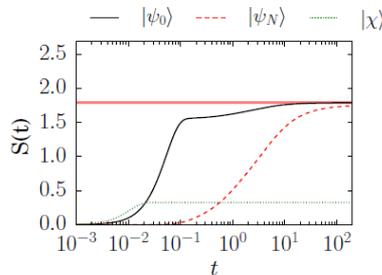
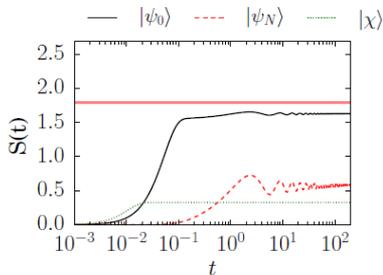
Off-diagonal



On-diagonal



Entropy



$$\mathcal{M}(t) \equiv \max[|\rho_{i \neq j}(t)|]$$

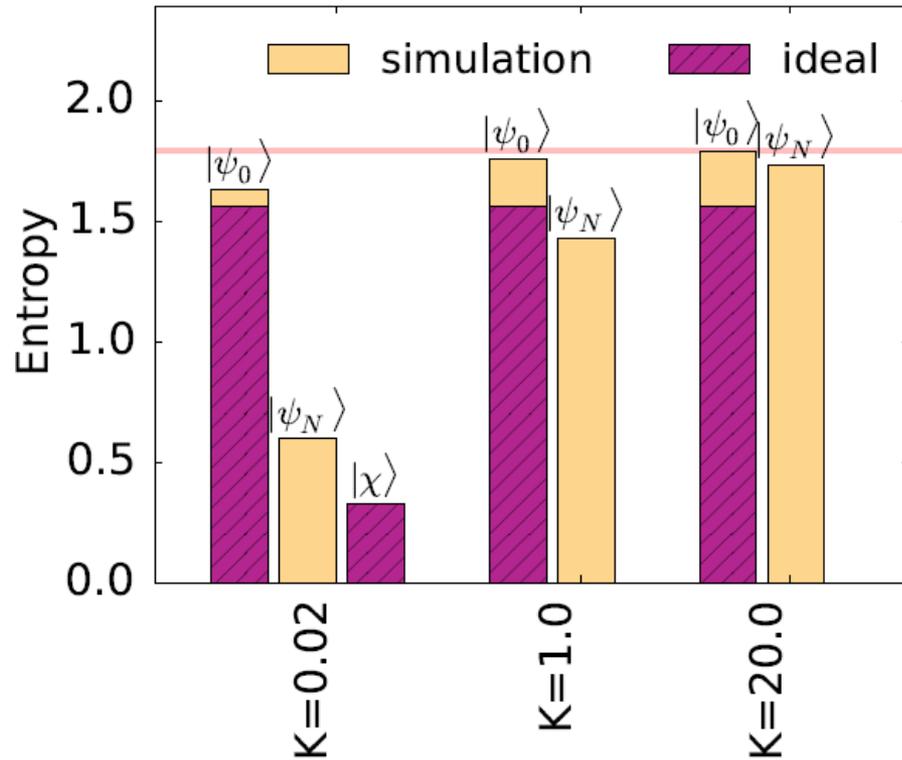
$$|\psi_N\rangle = |\uparrow\downarrow\uparrow\downarrow\rangle$$

$$|\chi\rangle = [|\uparrow\rangle + 3|\downarrow\rangle]/\sqrt{10}$$

4 spins central system,  
16 in environment

*Seems to be counterexample  
to Zurek assumption (you  
need to go to large time to  
see it!)*

# Looking for pointer states in quantum spin systems IV



Neel state is not the most robust!

Figure 3: The von Neumann entropy  $S(t = 10)$  extracted from Fig. 2 (indicated by simulation) compared to entropy for the mixed-state that corresponds to pure dephasing in the computational basis (marked by ideal). The simulations have been carried out for a central-system of  $N_S = 4$  particles strongly coupled ( $I = 20J_S$ ) to  $N_E = 16$  environment particles via random antiferromagnetic Ising coupling. The initial state of the CS and the intra-environment strength (in units of  $J_S$ ) are indicated in the panel. For initial state  $|\chi\rangle$  the simulation and ideal entropy exactly coincide and are identical for all three  $K$  values ( $K = J_S$  and  $K = 20J_S$  have been omitted in the figure). The ideal entropy for state  $|\psi_N\rangle$  is zero.

# Looking for pointer states in quantum spin systems V

Weak interaction,  $I = 0.25J_s$

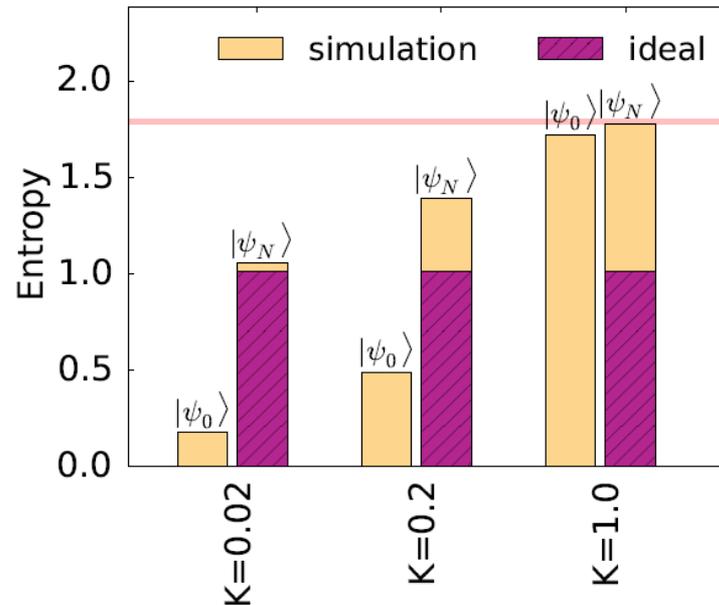


Figure 6: The von Neumann entropy  $S(t = 2500)$  extracted from Fig. 5 (indicated by simulation) compared to entropy for the mixed-state that corresponds to pure dephasing in the energy eigenbasis (marked by ideal). The simulations have been carried out for a central-system of  $N_s = 4$  particles weakly coupled ( $I = 0.25J_s$ ) to  $N_e = 16$  environment particles via random antiferromagnetic Ising coupling. The initial state of the CS and the intra-environment strength (in units of  $J_s$ ) are indicated in the panel. The ideal entropy for state  $|\psi_0\rangle$  is zero.

# Looking for pointer states in quantum spin systems VI

$$D(\rho_1, \rho_2) = \frac{1}{2} \text{Tr} |\rho_1 - \rho_2| \quad |M| = \sqrt{M^\dagger M}$$

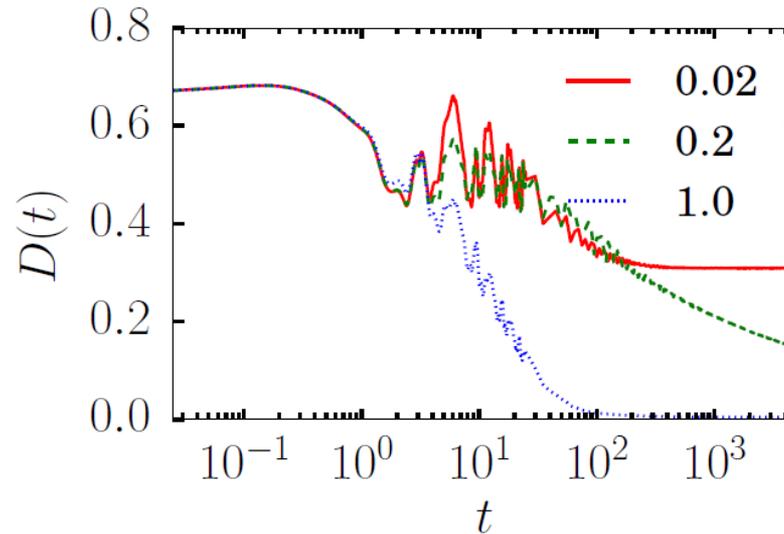


Figure 7: Trace distance [Eq. (19)] between RDMs  $\rho_1(0) = |\psi_0\rangle\langle\psi_0|$  and  $\rho_2(0) = |\psi_N\rangle\langle\psi_N|$  as a function of dimensionless time  $t$ . The antiferromagnetic Ising coupling strength is set to  $I = 0.25J_S$  (weak coupling) and the intra-environment  $K$  is indicated in the legend (in units of  $J_S$ ). The system (environment) consists of  $N_S = 4$  ( $N_E = 16$ ) particles. Time  $t$  has been made dimensionless in units of  $J_S$  and  $\hbar$ , i.e.  $t' \rightarrow t'J_S/\hbar \equiv t$ . Non-monotonicity is a signature of non-Markovian effects.

**A LOT OF QUESTIONS  
ARE STILL OPEN...**

**MANY THANKS  
FOR YOUR ATTENTION**