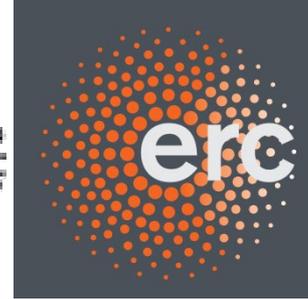
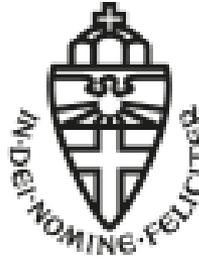


Radboud Universiteit



***Frustrations, memory, and complexity
in classical and quantum spin systems***

Mikhail Katsnelson

Main collaborators

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Uppsala University

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Alessandro Principi, Manchester University

Veronica Dudarev, UBC

Outline

Introduction

Pattern formation in physics: magnetic patterns as an example

Structural complexity from magnetic patterns to art objects

Self-induced glassiness and beyond: the role of frustration

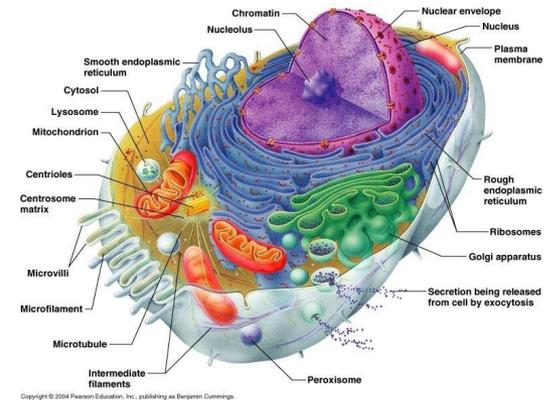
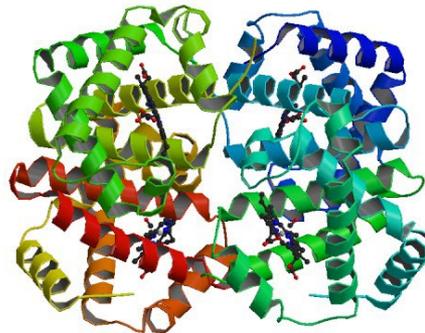
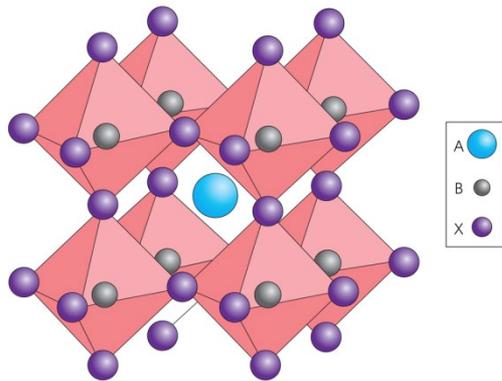
Experimental realization: elemental Nd

Complexity of quantum frustrated systems

Complexity

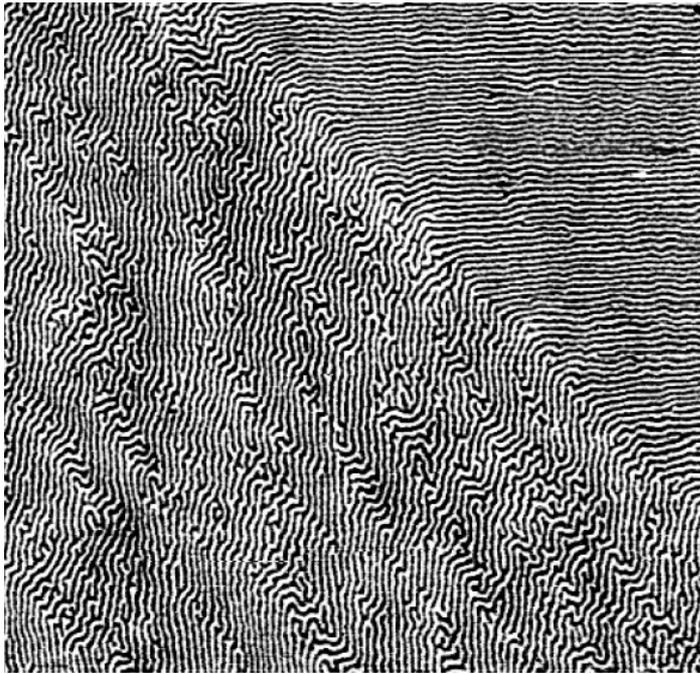
Schrödinger: life substance is “aperiodic crystal” (modern formulation – Laughlin, Pines and others – glass)

Intuitive feeling: crystals are simple, biological structures are complex



Origin and evolution of life: origin of complexity?

Complexity (“patterns”) in inorganic world

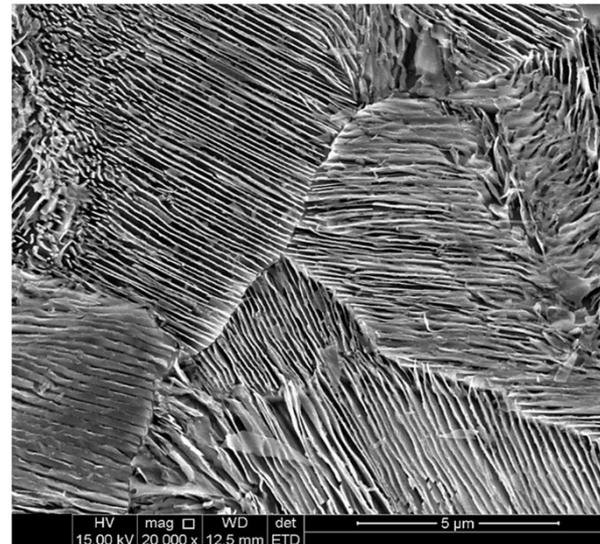


Stripe domains in ferromagnetic thin films

Microstructures in metals and alloys



Stripes on a beach in tide zone



Pearlitic structure in rail steel (Sci Rep 9, 7454 (2019))

Do we understand this? No, or, at least, not completely

Magnetic patterns

Example: strip domains in thin ferromagnetic films

PHYSICAL REVIEW B 69, 064411 (2004)

Magnetization and domain structure of bcc $\text{Fe}_{81}\text{Ni}_{19}/\text{Co}$ (001) superlattices

R. Bručas, H. Hafermann, M. I. Katsnelson, I. L. Soroka, O. Eriksson, and B. Hjörvarsson

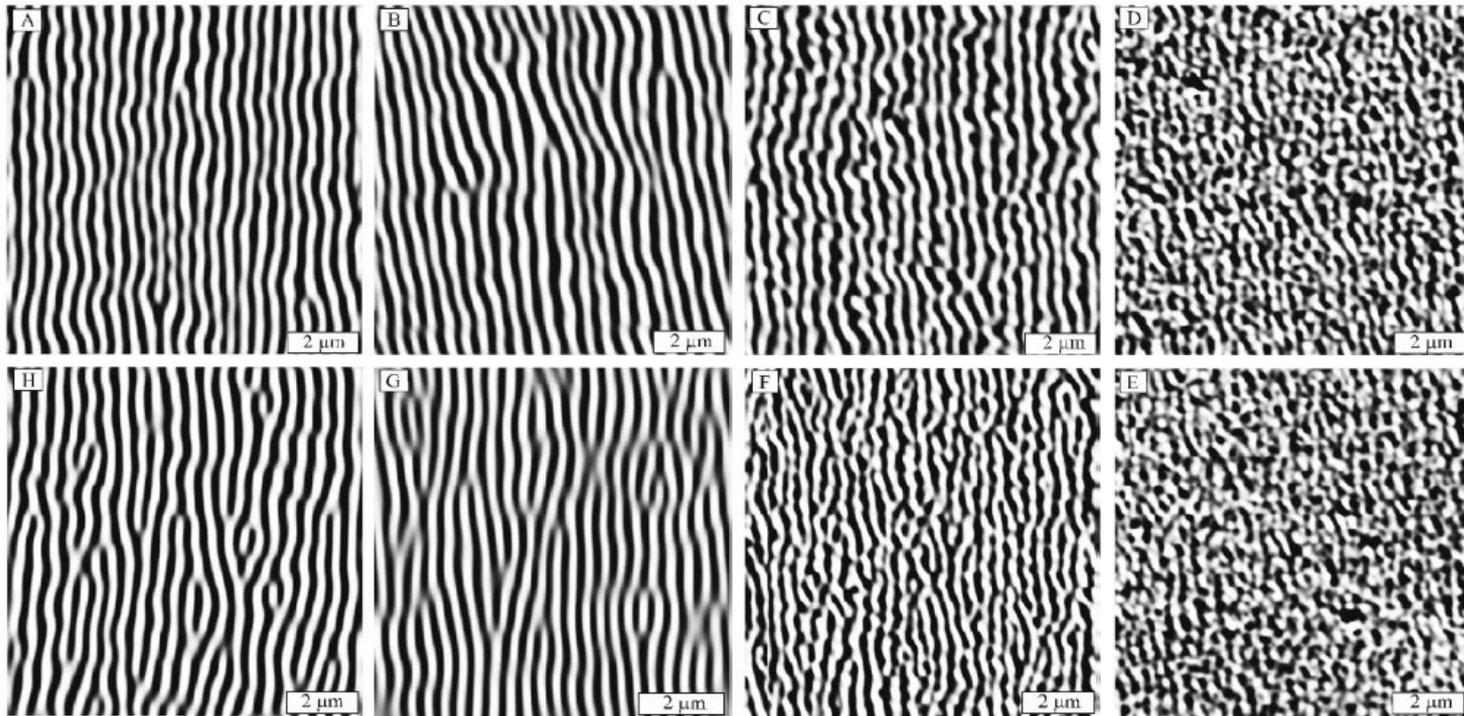
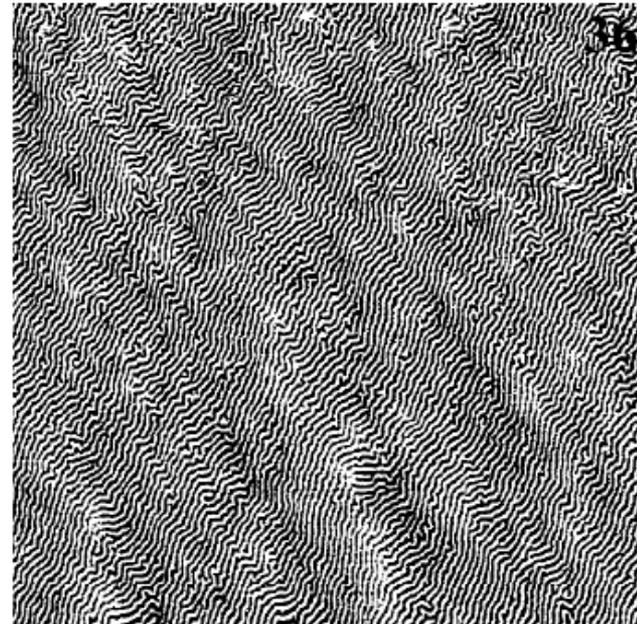
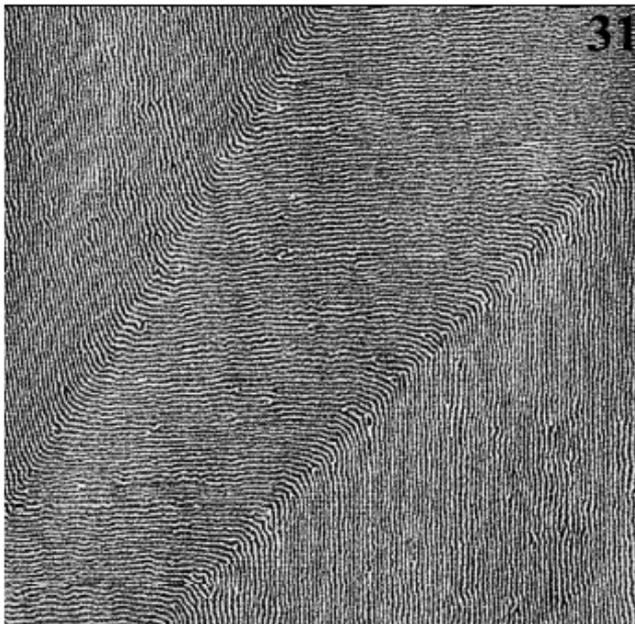
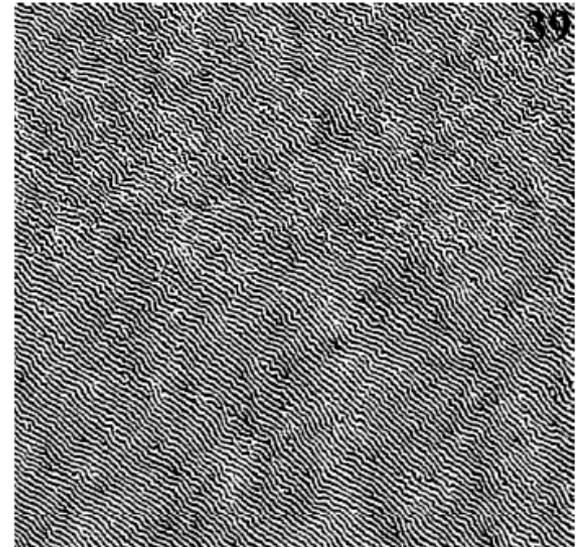
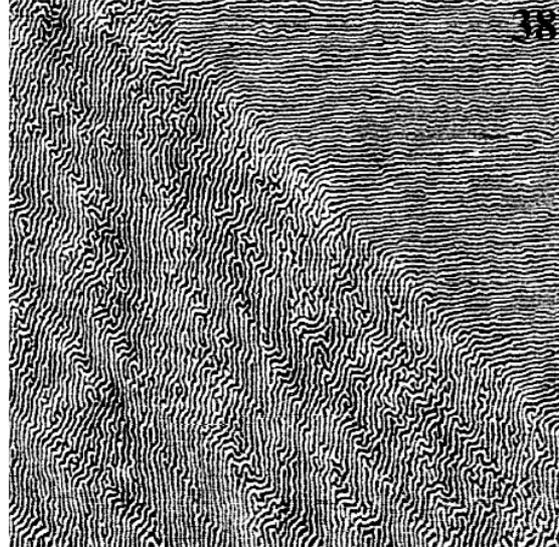
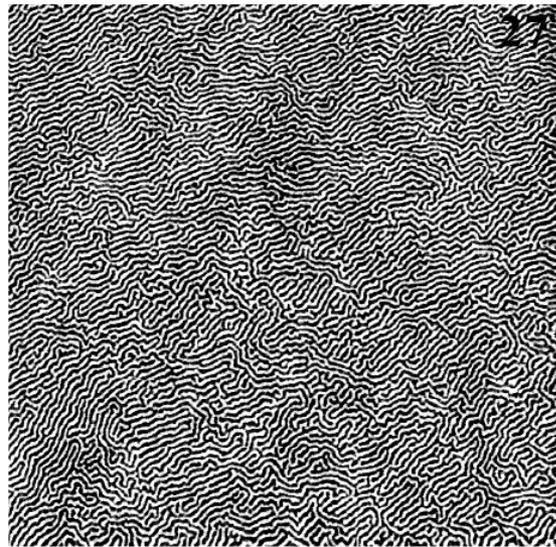


FIG. 2. The MFM images of the 420 nm thick $\text{Fe}_{81}\text{Ni}_{19}/\text{Co}$ superlattice at different externally applied in-plane magnetic fields: (a)—virgin (nonmagnetized) state; (b), (c), (d)—increasing field 8.3, 30, and 50 mT; (e), (f), (g)—decreasing field 50, 30, 8.3 mT; (h)—in remanent state.

Magnetic patterns II



Magnetic patterns III

Europhys. Lett., **73** (1), pp. 104–109 (2006)

DOI: 10.1209/epl/i2005-10367-8

Topological defects, pattern evolution, and hysteresis
in thin magnetic films

P. A. PRUDKOVSKII¹, A. N. RUBTSOV¹ and M. I. KATSNELSON²

$$H = \int \left(\frac{J_x}{2} \left(\frac{\partial \mathbf{m}}{\partial x} \right)^2 + \frac{J_y}{2} \left(\frac{\partial \mathbf{m}}{\partial y} \right)^2 - \frac{K}{2} m_z^2 - h m_y \right) d^2 r + \\ + \frac{Q^2}{2} \int \int m_z(\mathbf{r}) \left(\frac{1}{|\mathbf{r} - \mathbf{r}'|} - \frac{1}{\sqrt{d^2 + (\mathbf{r} - \mathbf{r}')^2}} \right) m_z(\mathbf{r}') d^2 r d^2 r'.$$

Competition of exchange interactions (want homogeneous ferromagnetic state) and magnetic dipole-dipole interactions (want total magnetization equal to zero)

Magnetic patterns IV

Classical Monte Carlo simulations

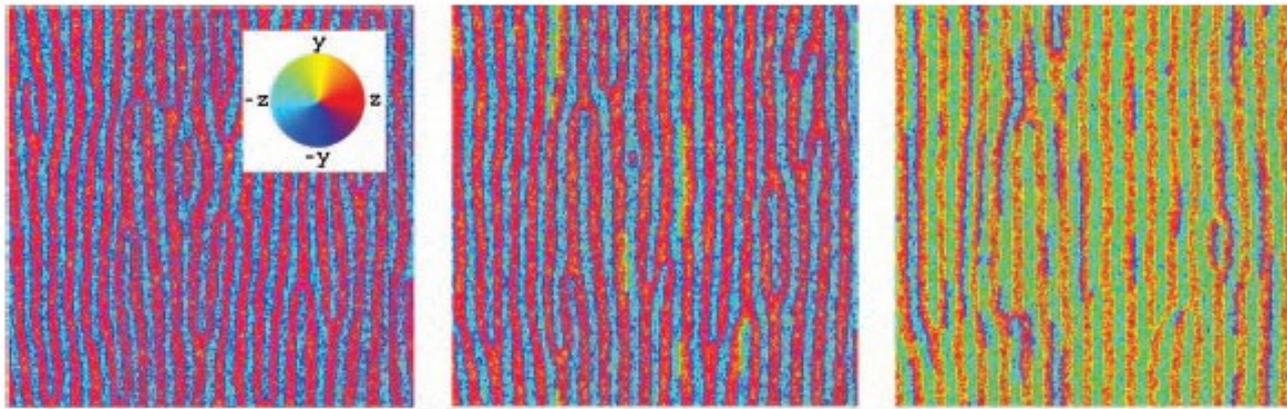


Fig. 2 – Snapshots of the stripe-domain system with the two-component order parameter at several points of the hysteresis loop for $\beta = 1$. The magnetic field is $h = 0$, $h = 0.3$, and $h = 0.6$, from left to right. The inset shows the color legend for the orientation of local magnetization.

We know the Hamiltonian and it is not very complicated

How to **describe** patterns and how to **explain** patterns?

What is complexity?

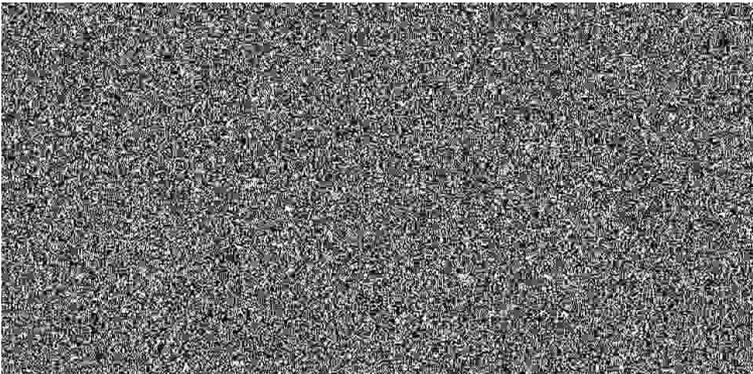
- Something that we immediately recognize when we see it, but very hard to define quantitatively
- S. Lloyd, “Measures of complexity: a non-exhaustive list” – 40 different definitions
- Can be roughly divided into two categories:
 - computational/descriptive complexities (“ultraviolet”)
 - effective/physical complexities (“infrared” or inter-scale)

Computational and descriptive complexities

- Prototype – the Kolmogorov complexity:
the length of the shortest description (in a given language) of the object of interest
- Examples:
 - Number of gates (in a predetermined basis) needed to create a given state from a reference one
 - Length of an instruction required by file compressing program to restore image

Descriptive complexity

- The more random – the more complex:



White noise

970 x 485 pixels, gray scale, 253 Kb

>



Vermeer “View of Delft”

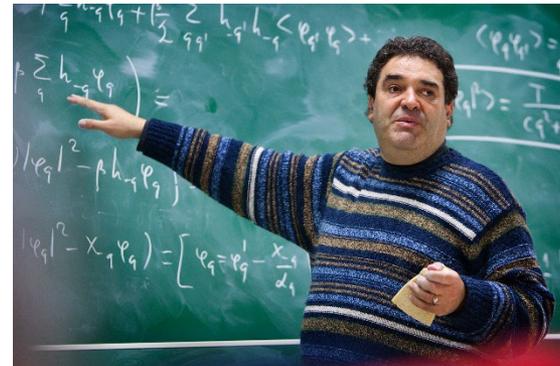
750 x 624 pixels, colored, 234 Kb

Descriptive complexity

- The more random – the more complex:

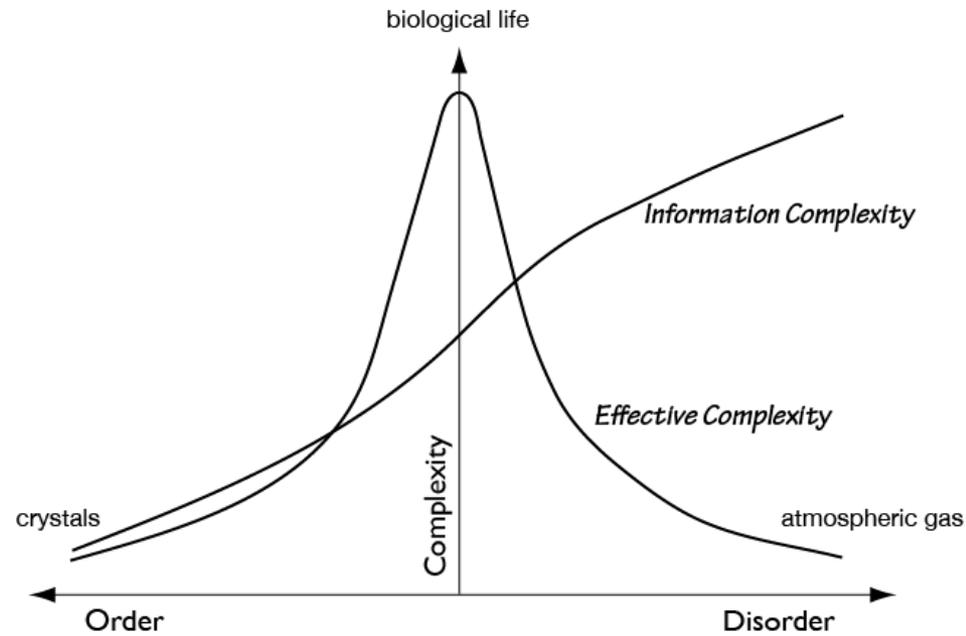


Paris japonica - 150
billion base pairs in
DNA



Homo sapiens - 3.1
billion base pairs in
DNA

Effective complexity



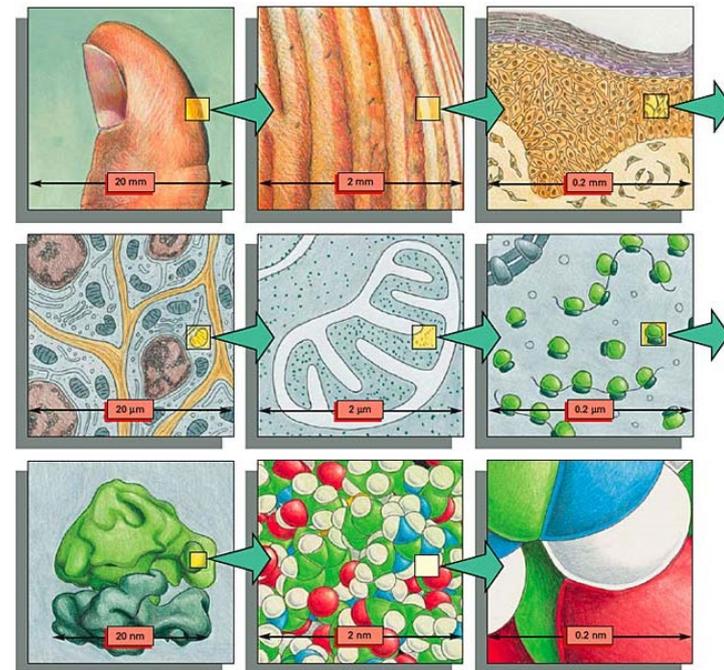
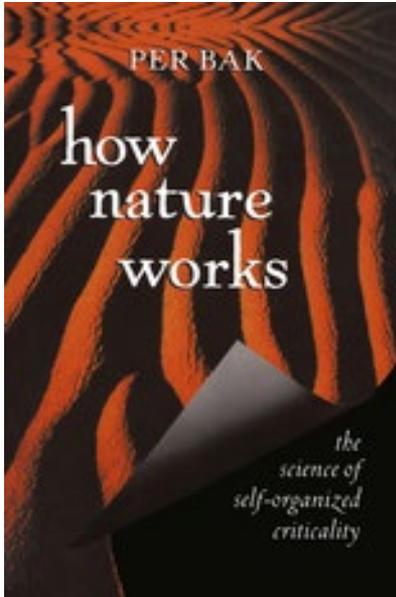
Can we come up with a quantitative measure?..

Attempts: Self-Organized Criticality

Per Bak: Complexity *is* criticality

Some complicated (marginally stable) systems demonstrate self-similarity and “fractal” structure

This is intuitively more complex behavior than just white noise but can we call it “complexity”?



I am not sure – **complexity is hierarchical**

Multiscale structural complexity

Multi-scale structural complexity of natural patterns

PNAS 117, 30241 (2020)

Andrey A. Bagrov^{a,b,1,2}, Ilia A. Iakovlev^{b,1}, Askar A. Iliasov^c, Mikhail I. Katsnelson^{c,b}, and Vladimir V. Mazurenko^b

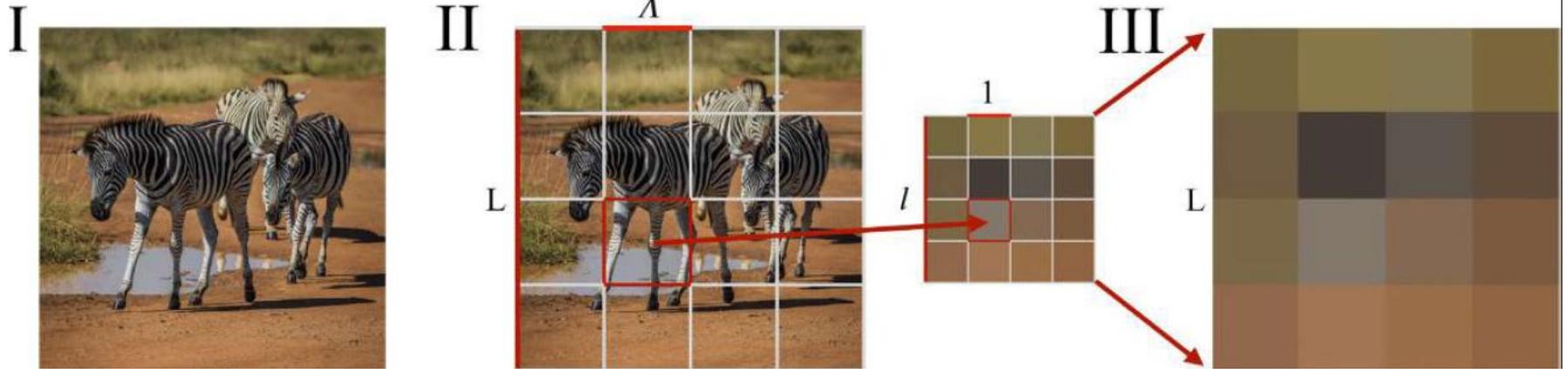
The idea (from holographic complexity and common sense):
Complexity is **dissimilarity** at various scales

Let $f(x)$ be a multidimensional pattern

$f_{\Lambda}(x)$ its coarse-grained version (Kadanoff decimation, convolution with Gaussian window functions,...)

Complexity is related to distances between $f_{\Lambda}(x)$ and $f_{\Lambda+d\Lambda}(x)$

Structural complexity II



$$\Delta_{\Lambda} = |\langle f_{\Lambda}(x) | f_{\Lambda+d\Lambda}(x) \rangle -$$

$$\frac{1}{2} (\langle f_{\Lambda}(x) | f_{\Lambda}(x) \rangle + \langle f_{\Lambda+d\Lambda}(x) | f_{\Lambda+d\Lambda}(x) \rangle) | =$$

$$\frac{1}{2} |\langle f_{\Lambda+d\Lambda}(x) - f_{\Lambda}(x) | f_{\Lambda+d\Lambda}(x) - f_{\Lambda}(x) \rangle|,$$

$$\langle f(x) | g(x) \rangle = \int_D dx f(x) g(x)$$

$$C = \sum_{\Lambda} \frac{1}{d\Lambda} \Delta_{\Lambda} \rightarrow \int |\langle \frac{\partial f}{\partial \Lambda} | \frac{\partial f}{\partial \Lambda} \rangle| d\Lambda, \text{ as } d\Lambda \rightarrow 0$$

Different ways of coarse-graining: average, “winner takes all” (Kadanoff decimation), cut-off in reciprocal space for Fourier image (Wilson RG...)

Solution of an ink drop in water

Entropy should grow, but complexity is not! And indeed...

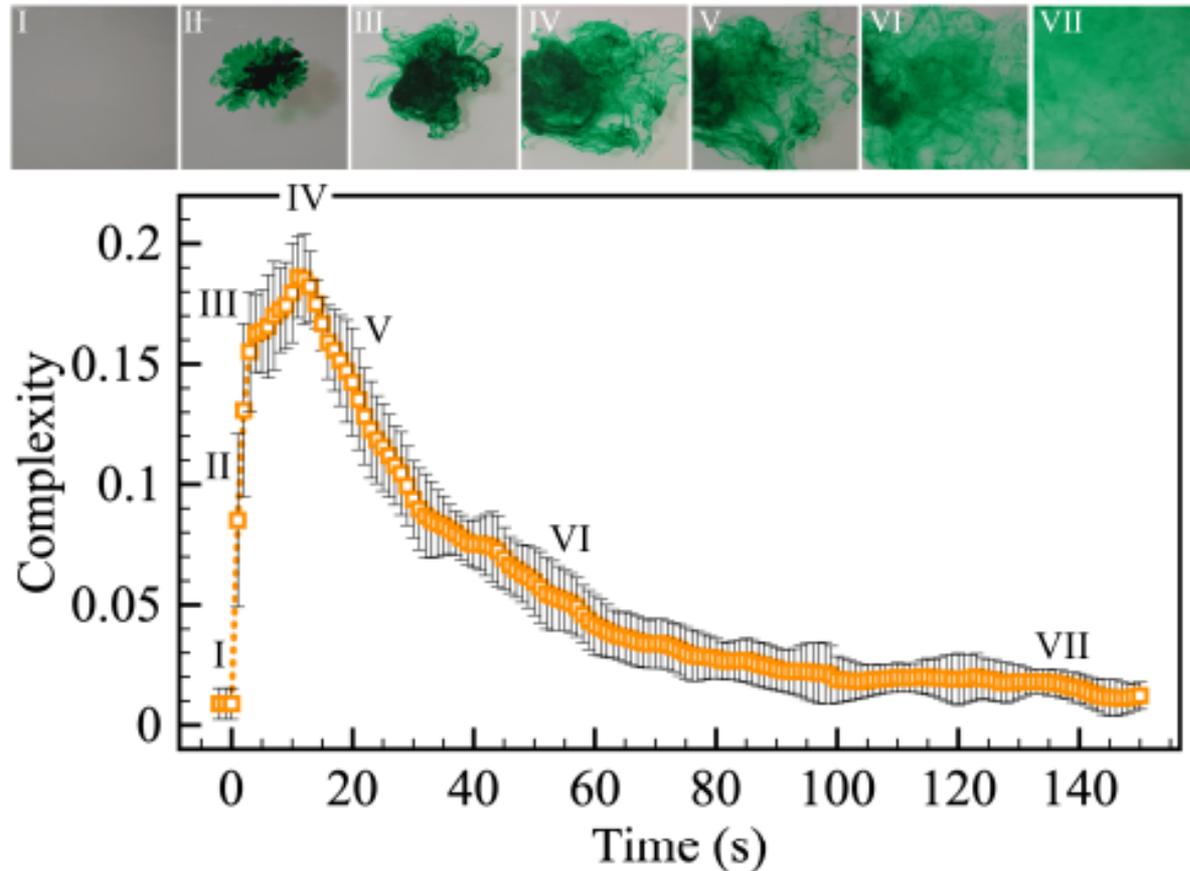


FIG. 7. The evolution of the complexity during the process of dissolving a food dye drop of 0.3 ml in water at 31°C.

Structural complexity: 2D Ising model

Can be used as a numerical tool to find T_C from finite-size simulations

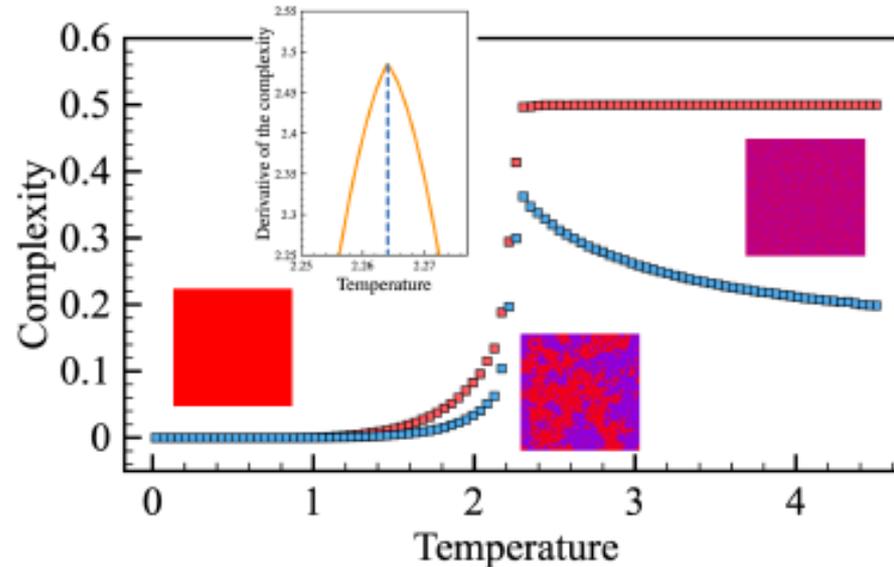


FIG. 2. Temperature dependence of the complexity obtained from the two-dimensional Ising model simulations. Red and blue squares correspond to the complexities calculated with $k \geq 0$ and $k \geq 1$, respectively. The size of error bars is smaller than the symbol size. Inset shows the first derivative of the complexity used for accurate detection of the critical temperature. Here we used $N = 8$, $\Lambda = 2$.

Structural complexity: Magnetic patterns II

Simulations of magnetic systems $H = -J \sum_{nn'} \mathbf{S}_n \mathbf{S}_{n'} - \mathbf{D} \sum_{nn'} [\mathbf{S}_n \times \mathbf{S}_{n'}] - \sum_n B S_n^z$

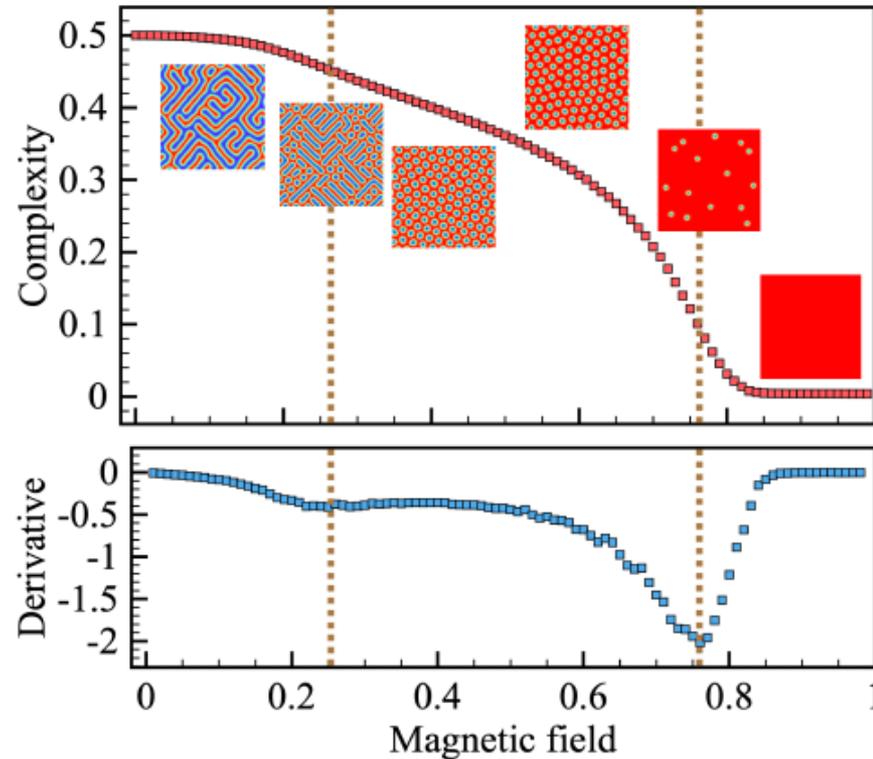


FIG. 4. (a) Magnetic field dependence of the complexity obtained from the simulations with spin Hamiltonian containing DM interaction with $J = 1$, $|\mathbf{D}| = 1$, $T = 0.02$. The error bars are smaller than the symbol size. (b) Complexity derivative we used for accurate detection of the phases boundaries.

Psychology of human visual perception

We wanted definition of complexity in agreement with our intuitive understanding of complexity – did we succeed?

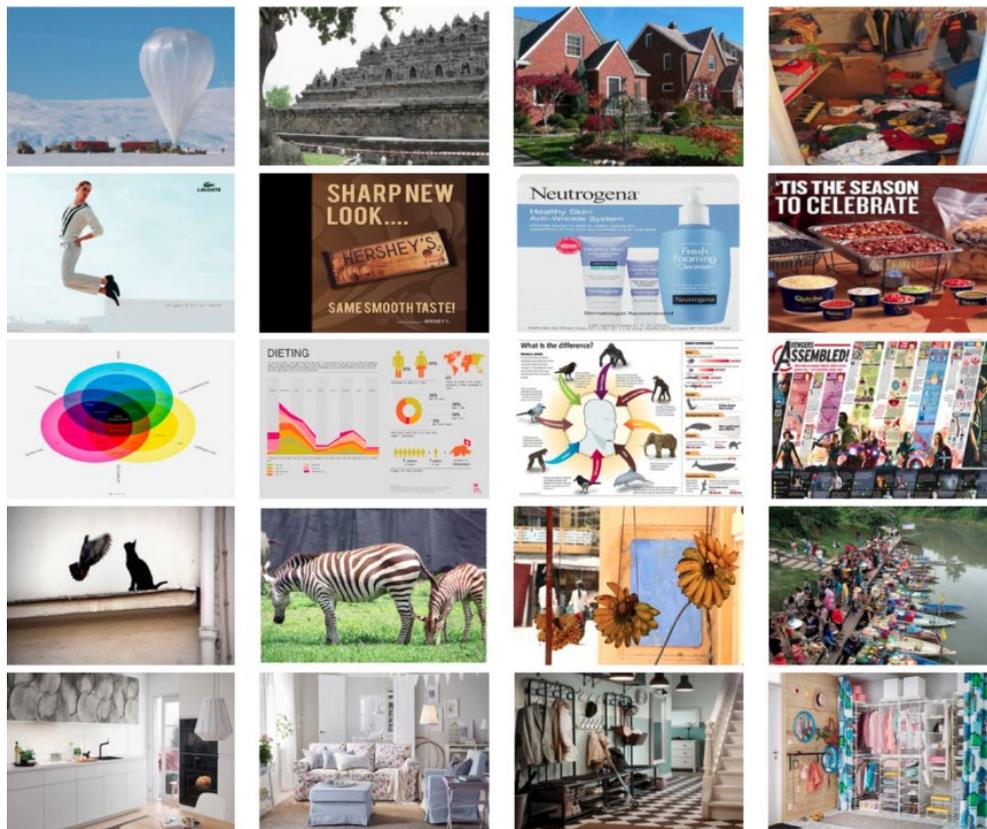
Multiscale structural dissimilarity in human perception of visual complexity

Anna Kravchenko, Andrey Bagrov, MIK, Veronica Dudarev (submitted to *Perception*)

To analyze: **SAVOIAS: A Diverse, Multi-Category Visual Complexity Dataset**

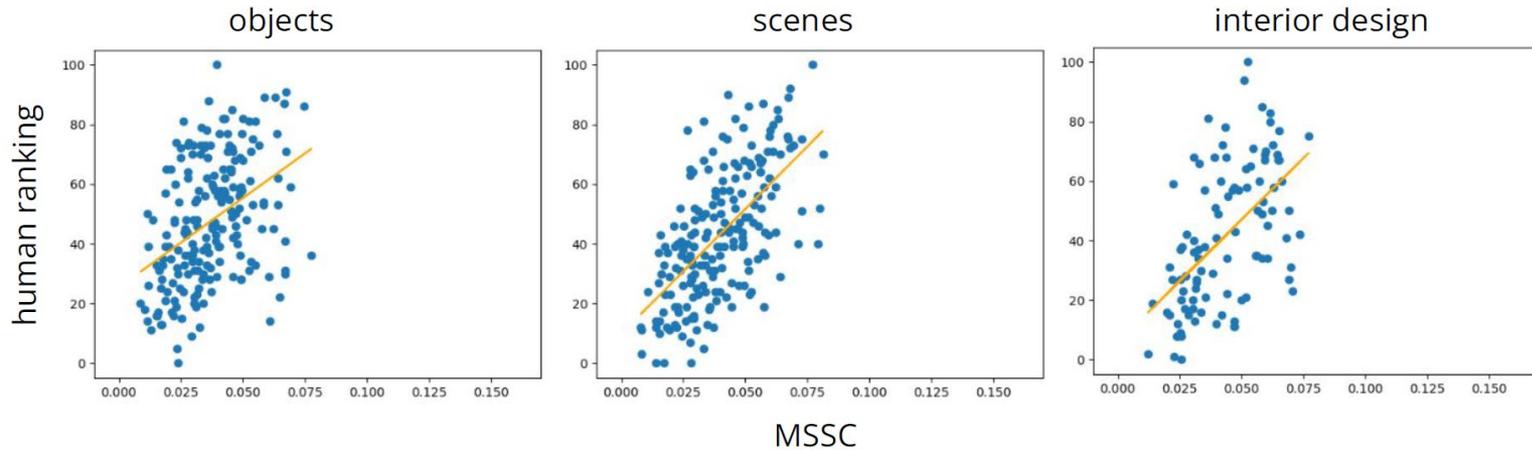
[Elham Saraei](#), [Mona Jalal](#), [Margrit Betke](#); [arXiv:1810.01771](#)

- Multiple domains: Scenes, Advertisements, Infographics, Objects, Interior design, Art, and Suprematism
- Well-studied for other existing complexity measures
- Obtained by crowdsourcing more than 37,000 pairwise comparisons of images, rankings converted into 1-100 scale

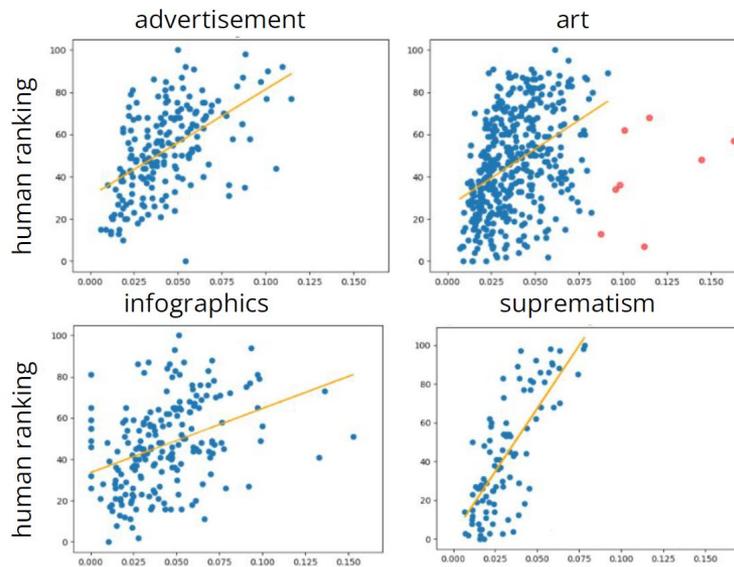


Human visual perception II

Natural scenes



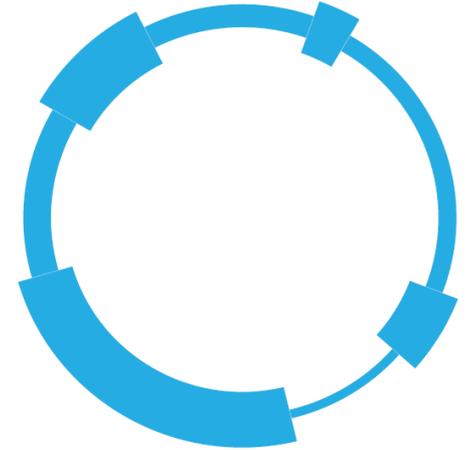
Man-made images



- Worse correlation
 - Obvious outliers
- What does this imply?

Other applications –biology

Nucleic Acids Research, 2024, **52**, 11045–11059
<https://doi.org/10.1093/nar/gkae745>
Advance access publication date: 28 August 2024
Genomics



Long range segmentation of prokaryotic genomes by gene age and functionality

Yuri I. Wolf ¹, Ilya V. Schurov ², Kira S. Makarova ¹, Mikhail I. Katsnelson ² and Eugene V. Koonin ^{1,*}

Multilevel structural complexity was used to analyze observed patterns in prokaryotic genomes vs predictions of various models

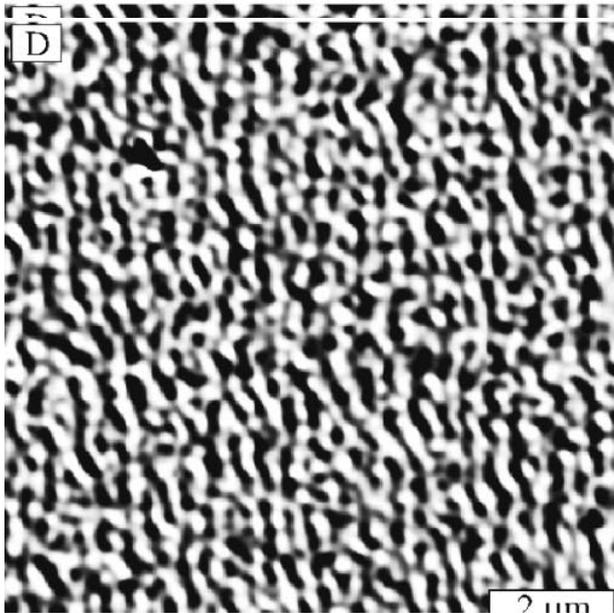
To summarize this part: computationally simple
but useful concept

Competing interactions and self-induced spin glasses

Special class of patterns: “chaotic” patterns

Hypothesis: a system wants to be modulated but cannot decide in which direction

PHYSICAL REVIEW B 69, 064411 (2004)



$$E_m = \int \int d\mathbf{r} d\mathbf{r}' m(\mathbf{r}) m(\mathbf{r}') \left[\frac{1}{|\mathbf{r} - \mathbf{r}'|} - \frac{1}{\sqrt{(\mathbf{r} - \mathbf{r}')^2 + D^2}} \right]$$
$$= 2\pi \sum_{\mathbf{q}} m_{\mathbf{q}} m_{-\mathbf{q}} \frac{1 - e^{-qD}}{q}, \quad (13)$$

where $m_{\mathbf{q}}$ is a two-dimensional Fourier component of the magnetization density. At the same time, the exchange energy can be written as

$$E_{exch} = \frac{1}{2} \alpha \sum_{\mathbf{q}} q^2 m_{\mathbf{q}} m_{-\mathbf{q}}, \quad (14)$$

so there is a finite value of the wave vector $q = q^*$ found from the condition

$$\frac{d}{dq} \left(2\pi \frac{1 - e^{-qD}}{q} + \frac{1}{2} \alpha q^2 \right) = 0 \quad (15)$$

Self-induced spin glasses II

PHYSICAL REVIEW B 93, 054410 (2016)

PRL 117, 137201 (2016)

PHYSICAL REVIEW LETTERS

week ending
23 SEPTEMBER 2016

Stripe glasses in ferromagnetic thin films

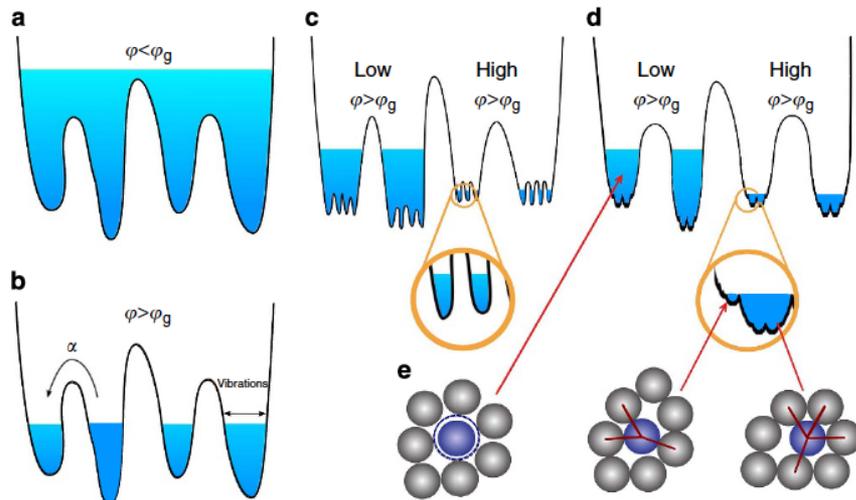
Alessandro Principi* and Mikhail I. Katsnelson

Self-Induced Glassiness and Pattern Formation in Spin Systems Subject to Long-Range Interactions

Alessandro Principi* and Mikhail I. Katsnelson

Development of idea of stripe glass, J. Schmalian and P. G. Wolynes, PRL 2000

Glass: a system with an energy landscape characterizing by infinitely many local minima, with a broad distribution of barriers, relaxation at “any” time scale and **aging** (at thermal cycling you never go back to *exactly* the same state)



Picture from P. Charbonneau et al,

DOI: 10.1038/ncomms4725

Intermediate state between equilibrium and non-equilibrium, opportunity for history and memory (“stamp collection”)

Self-induced spin glasses III

One of the ways to describe: R. Monasson, PRL 75, 2847 (1995)

$$\mathcal{H}_\psi[m, \lambda] = \mathcal{H}[m, \lambda] + g \int dr [m(r) - \psi(r)]^2$$

The second term describes attraction of our physical field $m(r)$
to some external field $\psi(r)$.

If the system can be glued, with infinitely small interaction g , to macroscopically large number of configurations it should be considered as a glass

Then we calculate $F_g = \frac{\int \mathcal{D}\psi Z[\psi] F[\psi]}{\int \mathcal{D}\psi Z[\psi]}$ and see whether the limits

$F_{\text{eq}} = \lim_{N \rightarrow \infty} \lim_{g \rightarrow 0} F_g$ and $F = \lim_{g \rightarrow 0} \lim_{N \rightarrow \infty} F_g$ are different

If yes, this is **self-induced glass**

No disorder is needed (contrary to traditional view on spin glasses)

Self-induced spin glasses IV

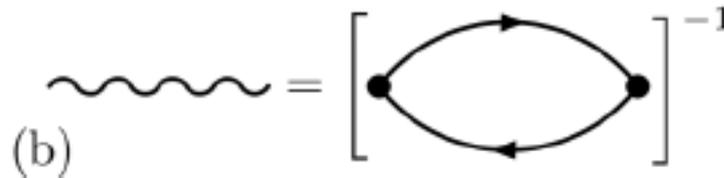
PHYSICAL REVIEW B 93, 054410 (2016)

Stripe glasses in ferromagnetic thin films

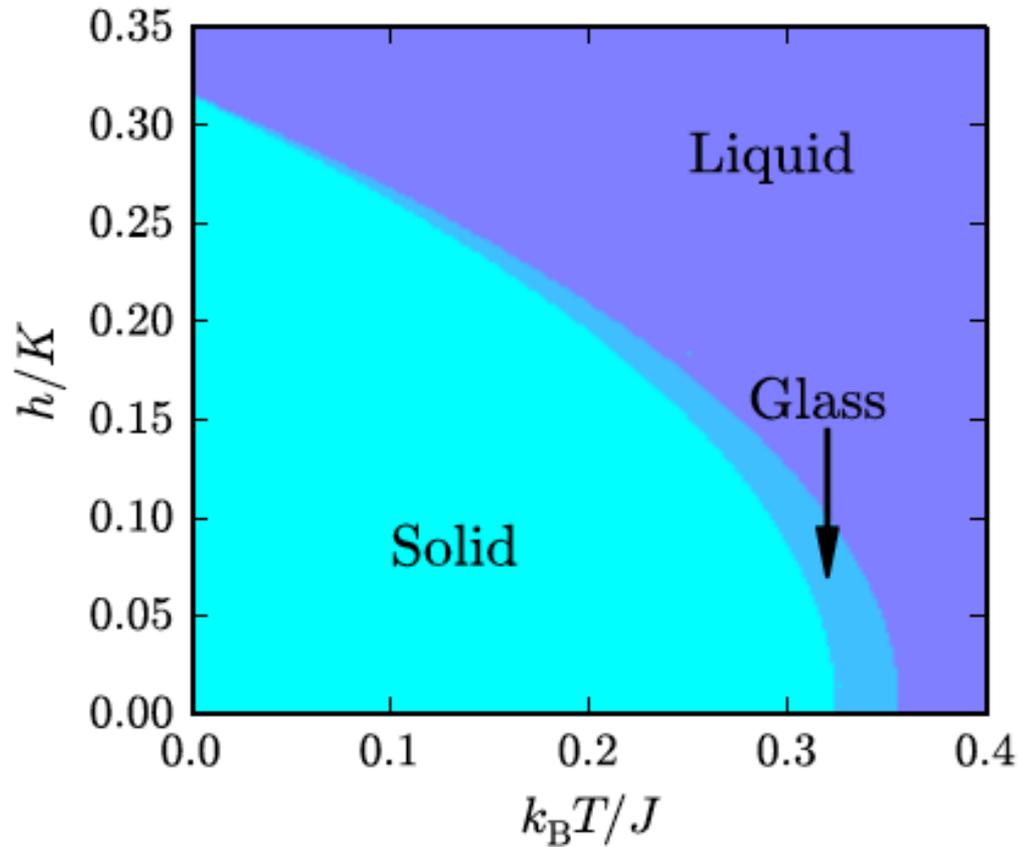
Alessandro Principi* and Mikhail I. Katsnelson

$$\begin{aligned} \mathcal{H}[m, \lambda] = & \int dr \{ J [\partial_i m_j(r)]^2 - K m_z^2(r) - 2h(r) \cdot m(r) \} \\ & + \frac{Q}{2\pi} \int dr dr' m_z(r) \\ & \times \left[\frac{1}{|r - r'|} - \frac{1}{\sqrt{d^2 + |r - r'|^2}} \right] m_z(r') \\ & + \int dr \{ \lambda(r) [m^2(r) - 1] \}. \end{aligned} \quad (1)$$

Self-consistent screening approximation for spin propagators



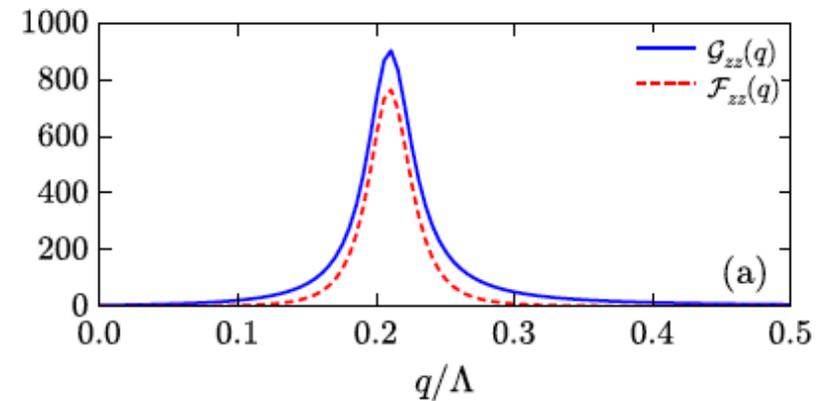
Self-induced spin glasses V



Phase diagram

Maximum at

$$q_0 \simeq [Q/(2J)]^{1/3} \neq 0$$



q -dependence of normal and anomalous (“glassy”, non-ergodic spin-spin correlators

Self-induced spin glasses VI

PRL 117, 137201 (2016)

PHYSICAL REVIEW LETTERS

week ending
23 SEPTEMBER 2016

Self-Induced Glassiness and Pattern Formation in Spin Systems Subject to Long-Range Interactions

Alessandro Principi* and Mikhail I. Katsnelson

Maximal simplification
(Brazovskii model)

$$\mathcal{F} = \frac{1}{2} \sum_{\mathbf{q}} G_0^{-1}(\mathbf{q}) s_{\mathbf{q}} \cdot s_{-\mathbf{q}} + i \sum_i \sigma_i (s_i^2 - 1)$$

$$G_0^{-1}(\mathbf{q}) = q_0^D (q^2 / q_0^2 - 1)^2 / 4 + q_0^D \varepsilon_0^2 \sin^2(\theta_q)$$

Spin-glass state exists!

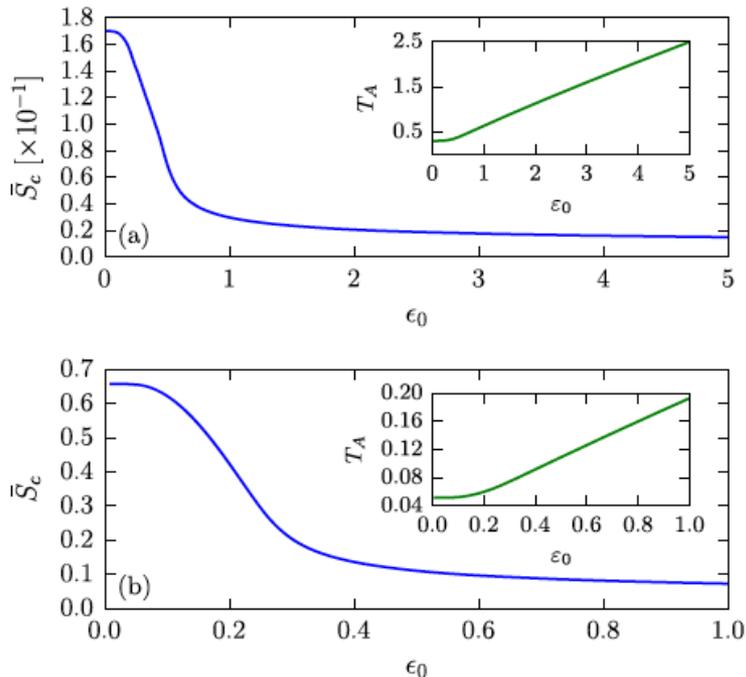


FIG. 2. Panel (a) the configurational entropy of the mean-field problem for the two-dimensional Ising model ($D=2$ and $N_s=1$). Note that this curve has been multiplied by a factor 0.1. Inset: the transition temperature T_A as a function of the anisotropy parameter ε_0 . Panel (b) same as panel (a) but for the two-dimensional Heisenberg model ($D=2$, $N_s=3$). Inset: the temperature T_A as a function of ε_0 .

Glassiness without disorder?

Giorgio Parisi, Nobel Prize in physics 2021

"for the discovery of the interplay of disorder and fluctuations in physical systems from atomic to planetary scales."



Actually, disorder may be not needed, frustrations are enough
(self-induced spin glass state in Nd)

Can we have something more or less exactly solvable?! – Yes!

PHYSICAL REVIEW B **109**, 144414 (2024)

Frustrated magnets in the limit of infinite dimensions: Dynamics and disorder-free glass transition

Achille Mauri * and Mikhail I. Katsnelson †

Institute for Molecules and Materials, Radboud University, Heijendaalseweg 135, 6525 AJ Nijmegen, The Netherlands



(Received 16 November 2023; accepted 27 March 2024; published 18 April 2024)

The prototype theory: dynamical mean-field theory (DMFT) for strongly correlated systems (Metzner, Vollhardt, Georges, Kotliar and others)

Glassiness in infinite dimensions

Frustrations are necessary

$$H = -\frac{1}{2} \sum_{i,j} J_{ij}^{\alpha\beta} S_i^\alpha S_j^\beta + \sum_i V(\mathbf{S}_i)$$

$$\mathbf{S}_i^2 = S_i^\alpha S_i^\alpha = 1$$

The limit of large dimensionality d

$$J_{ij}^{\alpha\beta} = [f^{\alpha\beta}(\hat{t}/\sqrt{2d})] \quad \text{e.g.}$$

$$f^{\alpha\beta}(x) = J_0^{\alpha\beta} + J_1^{\alpha\beta} x + J_2^{\alpha\beta} x^2 + J_4^{\alpha\beta} x^4 \quad \text{means}$$

$$J_{ij}^{\alpha\beta} = J_0^{\alpha\beta} \delta_{ij} + \frac{J_1^{\alpha\beta}}{\sqrt{2d}} t_{ij} + \frac{J_2^{\alpha\beta}}{2d} \sum_k t_{ik} t_{kj} \\ + \frac{J_4^{\alpha\beta}}{4d^2} \sum_{k,l,m} t_{ik} t_{kl} t_{lm} t_{mj} .$$

The simplest frustrated model: $f^{\alpha\beta}(\varepsilon) = \delta^{\alpha\beta} f(\varepsilon) \quad f(\varepsilon) = J(\varepsilon^2 - 1)$

Mean-field ordering temperature tends to zero at $d \rightarrow \infty$ in this model

Glassiness in infinite dimensions II

Cavity construction and mapping on effective single impurity

Purely dissipative Langevin dynamics

$$\begin{aligned}\dot{\mathbf{S}}_i &= -\mathbf{S}_i \times (\mathbf{S}_i \times (\mathbf{N}_i + \boldsymbol{\nu}_i)) \\ &= \mathbf{N}_i + \boldsymbol{\nu}_i - \mathbf{S}_i(\mathbf{S}_i \cdot (\mathbf{N}_i + \boldsymbol{\nu}_i))\end{aligned}$$

$$\mathbf{N}_i = -\frac{\partial H}{\partial \mathbf{S}_i} = \mathbf{b}_i + \mathbf{F}_i \quad b_i^\alpha = \sum_j J_{ij}^{\alpha\beta} S_j^\beta \quad F^\alpha(\mathbf{S}_i) = -\partial V(\mathbf{S}_i)/\partial S_i^\alpha$$

$$\langle \nu_i^\alpha(t) \nu_j^\beta(t') \rangle = 2k_B T \delta^{\alpha\beta} \delta_{ij} \delta(t - t')$$

Exactly mapped to a single-impurity dynamics with nonlocal in time “memory function”

Edwards-Anderson criterion of glassiness (local spin-spin correlation function tends to nonzero value in the limit of infinite time difference)

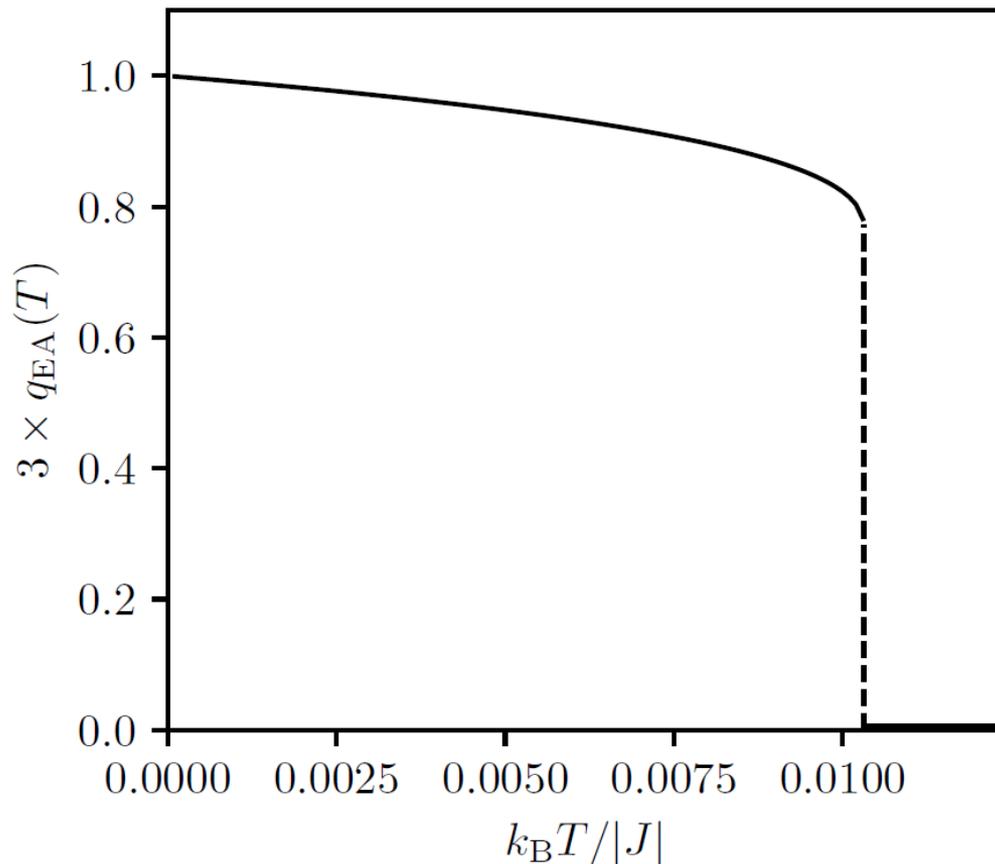
$$3q_{\text{EA}}(T) = \lim_{|t-t'| \rightarrow \infty} \langle S^\alpha(t) S^\alpha(t') \rangle$$

Glassiness in infinite dimensions III

Isotropic model $f(\varepsilon) = J(\varepsilon^2 - 1)$

nonzero below the glass transition temperature $T_g \simeq 0.0103|J|/k_B$

First-order transition $q_{EA}(T_g) \simeq 0.2575$



Glassiness without disorder is theoretically possible!

Experimental observation of self-induced spin glass state: elemental Nd

Self-induced spin glass state in elemental and crystalline neodymium

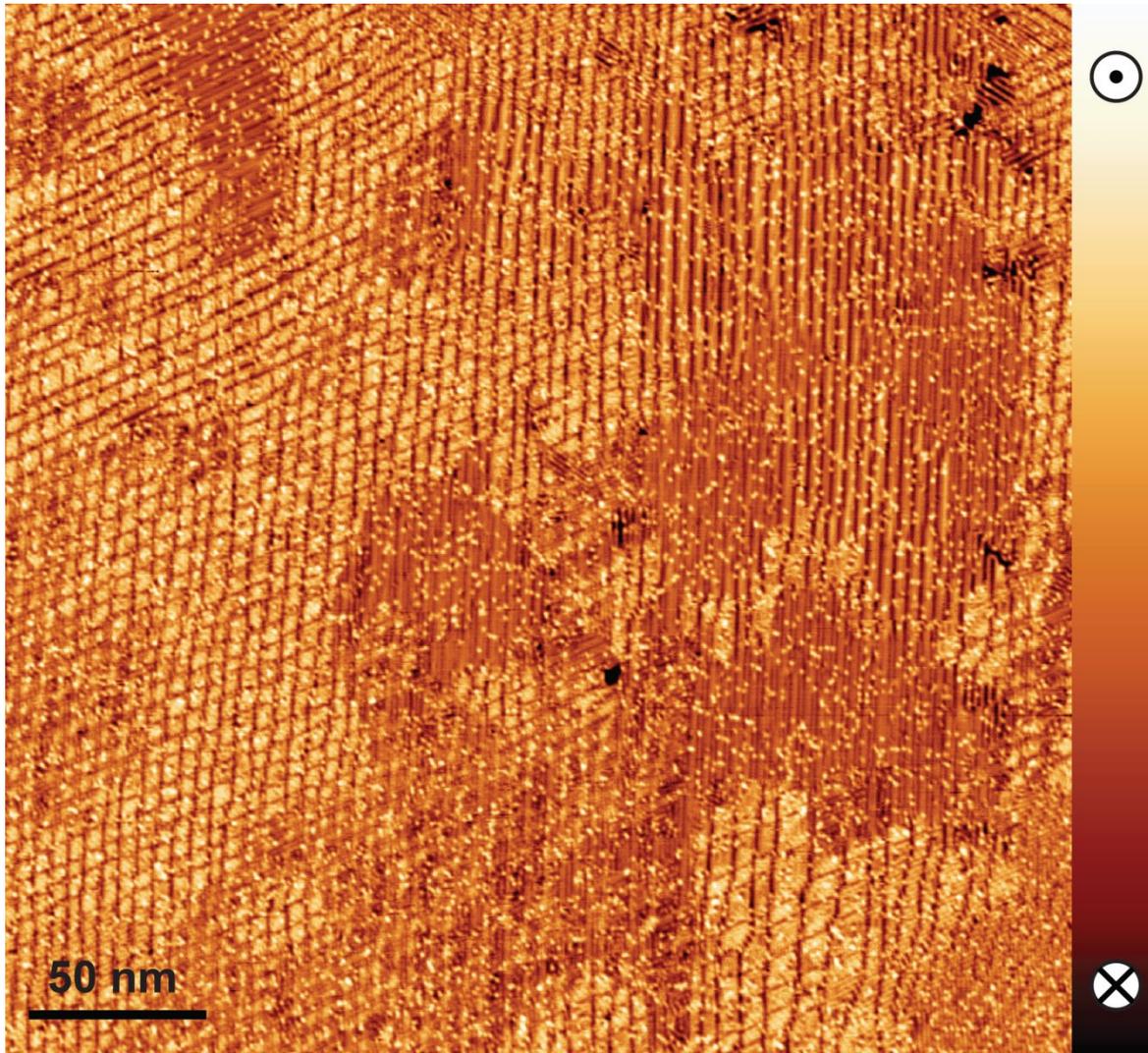
Science **368**, 966 (2020)

Umut Kamber, Anders Bergman, Andreas Eich, Diana Iuşan, Manuel Steinbrecher, Nadine Hauptmann, Lars Nordström, Mikhail I. Katsnelson, Daniel Wegner*, Olle Eriksson, Alexander A. Khajetoorians*

Spin-polarized STM experiment, Radboud University



Magnetic structure: no long-range

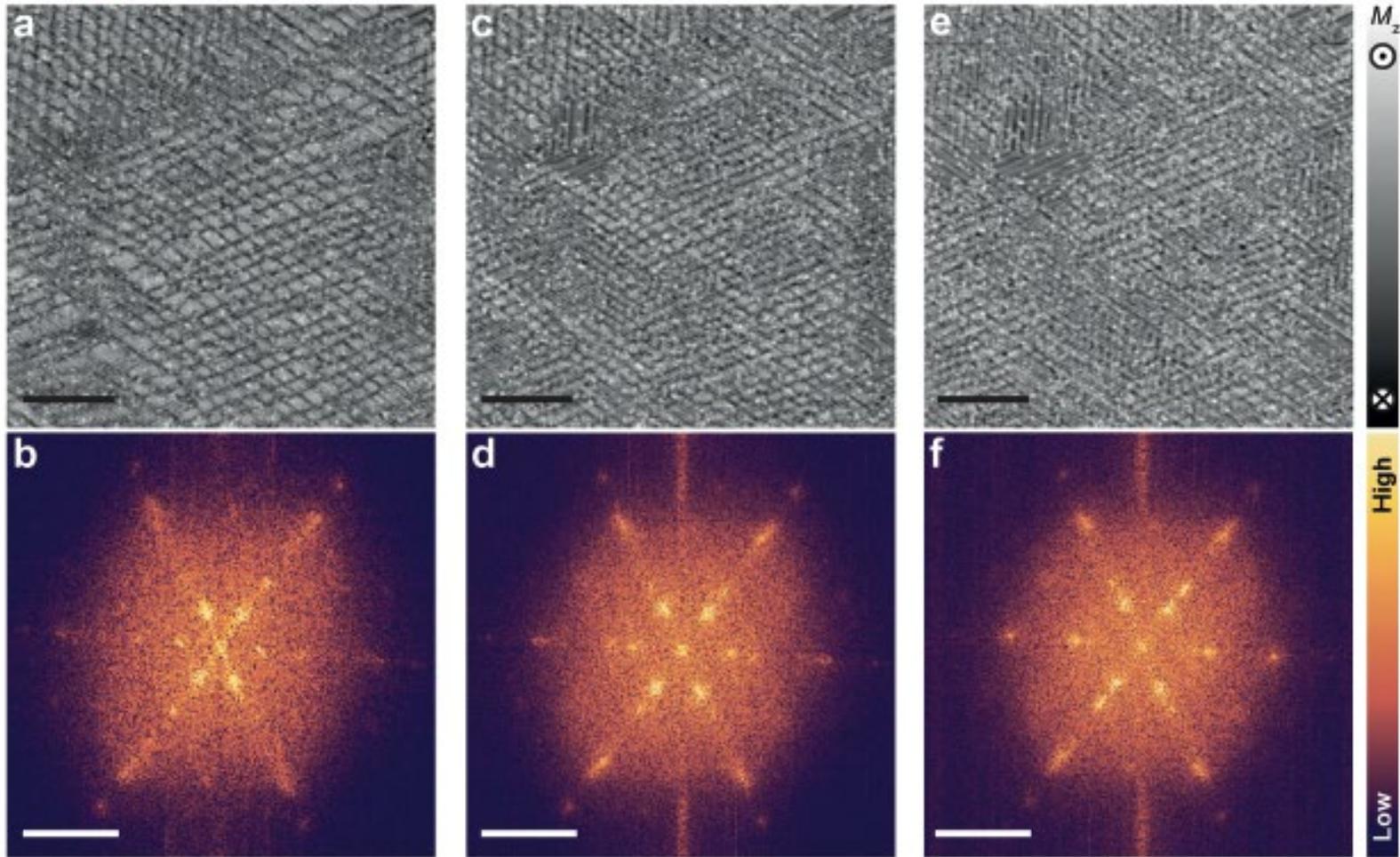


- ✓ Short-range non-collinear order
- ✗ Long-range order

Cr bulk tip

T: 1.3K
B: 0T

Magnetic structure: local correlations

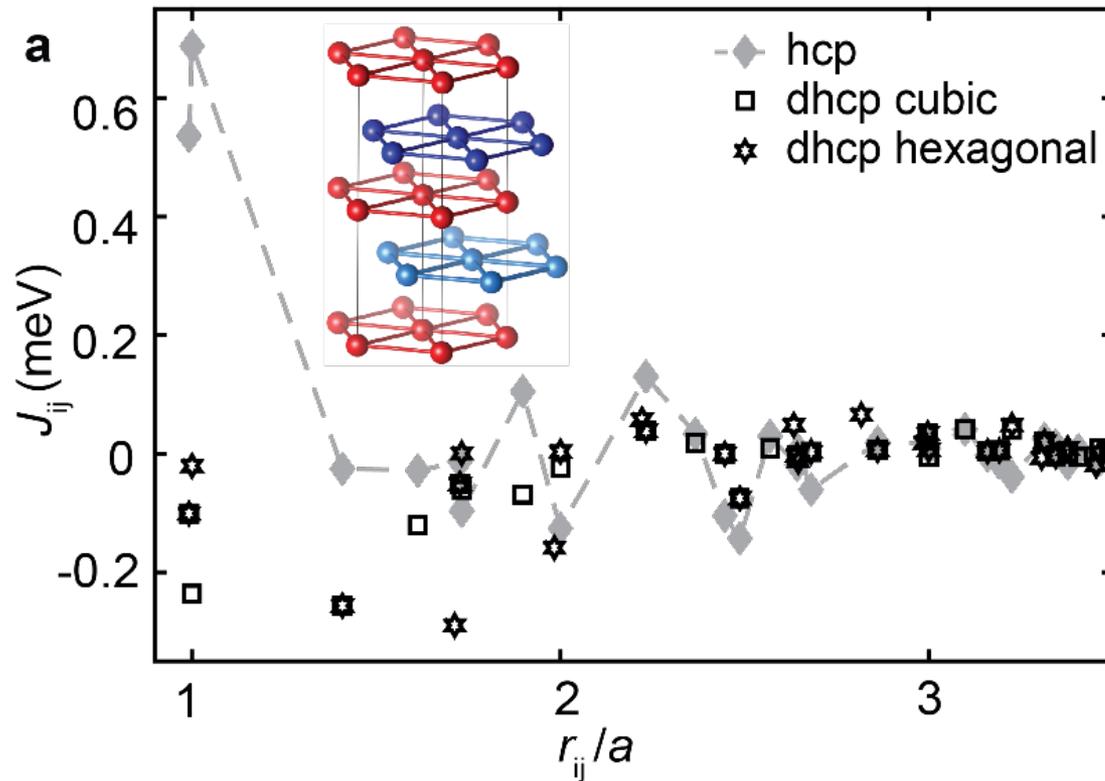


The most important observation: **aging**. At thermocycling (or cycling magnetic field) the magnetic state is not exactly reproduced

Ab initio: magnetic interactions in bulk Nd

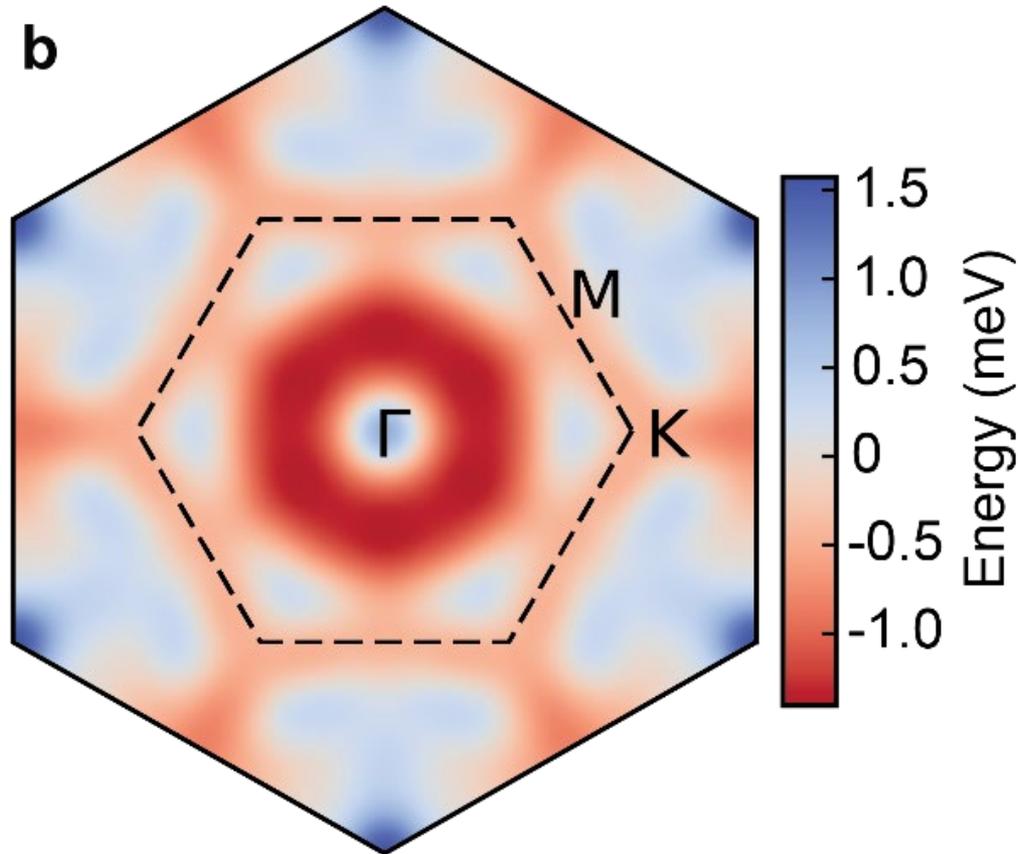
Method: magnetic force theorem (Lichtenstein, Katsnelson, Antropov, Gubanov
JMMM 1987)

Calculations: Uppsala team (Olle Eriksson group)



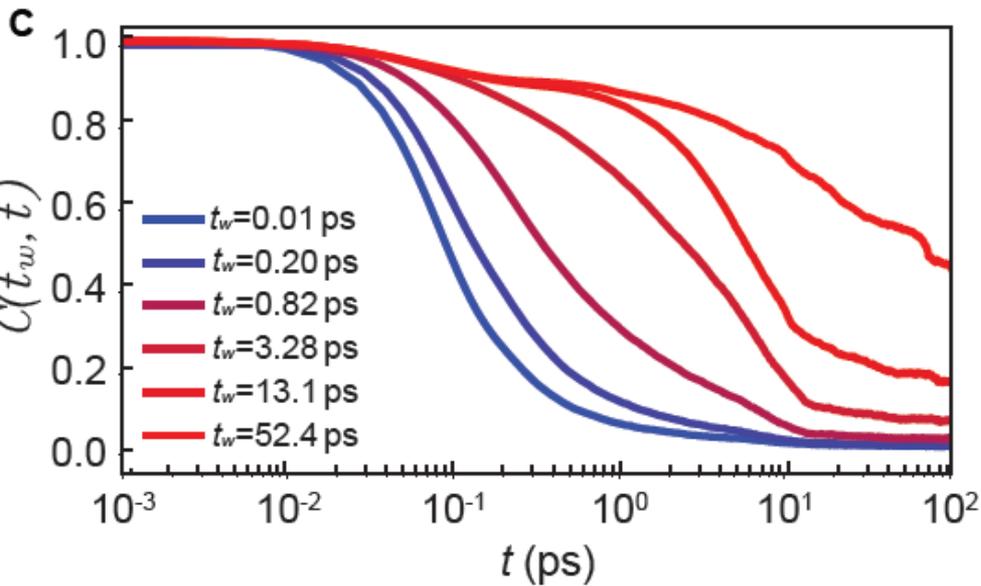
- Dhcp structure drives competing AFM interactions
- Frustrated magnetism

Ab initio bulk Nd: energy landscape



- $E(Q)$ landscape features flat valleys along high symmetry directions

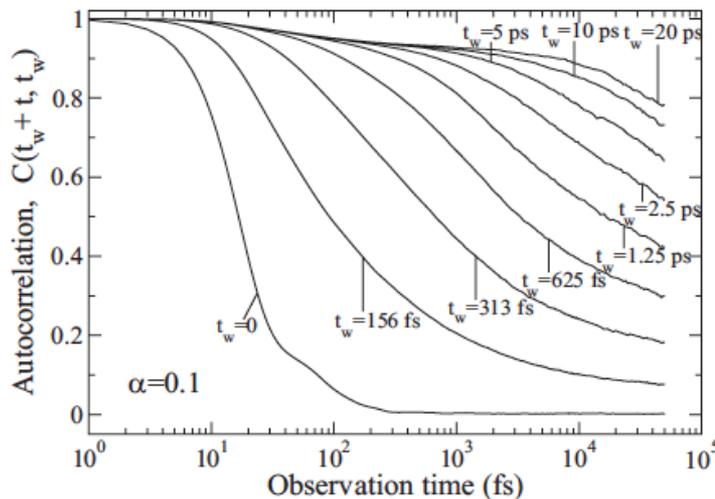
Spin-glass state in Nd: spin dynamics



Atomistic spin dynamics
simulations

Typically spin-glass
behavior

Autocorrelation function $C(t_w, t) = \langle m_i(t + t_w) \cdot m_i(t_w) \rangle$ for dhcp Nd at $T = 1$ K



To compare: the same for prototype
disordered spin-glass Cu-Mn

B. Skubic et al, PRB 79, 024411 (2009)

Order from disorder

Thermally induced magnetic order from glassiness in elemental neodymium

NATURE PHYSICS | VOL 18 | AUGUST 2022 | 905-911

Benjamin Verlhac¹, Lorena Niggli¹, Anders Bergman², Umut Kamber¹, Andrey Bagrov^{1,2}, Diana Luşan², Lars Nordström², Mikhail I. Katsnelson¹, Daniel Wegner¹, Olle Eriksson^{2,3} and Alexander A. Khajetoorians¹✉

Glassy state at low T
and long-range order
at T increase

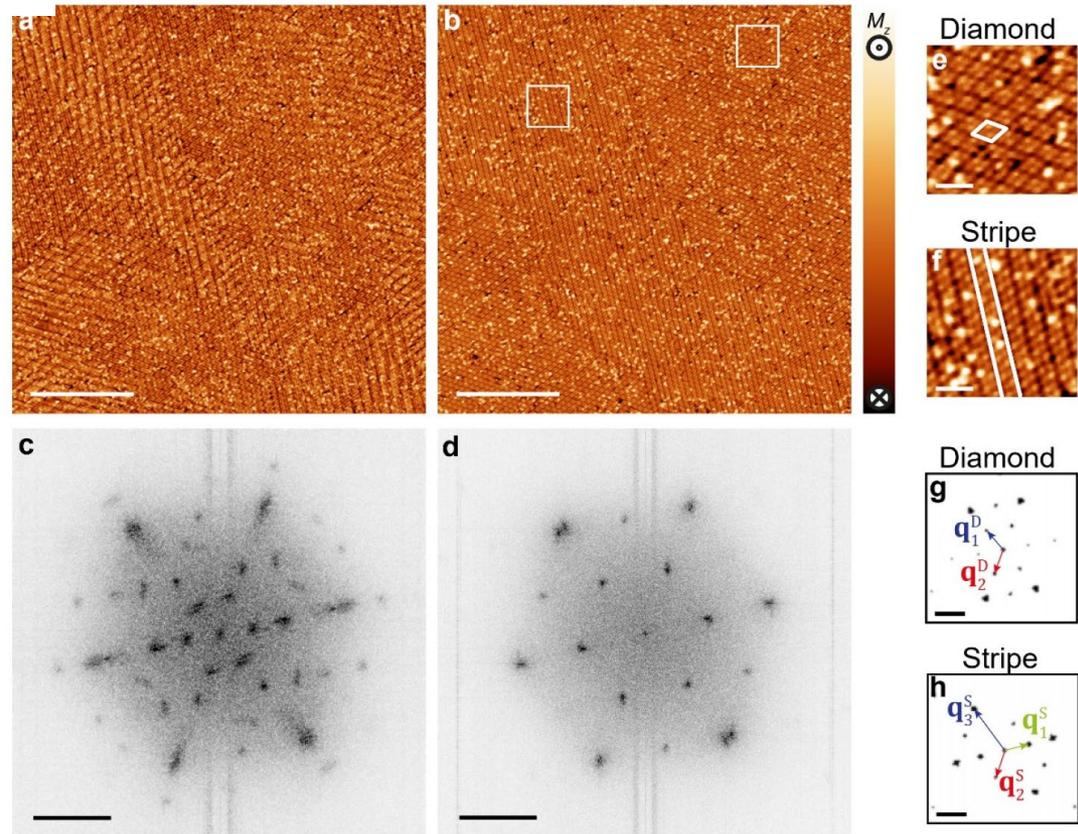
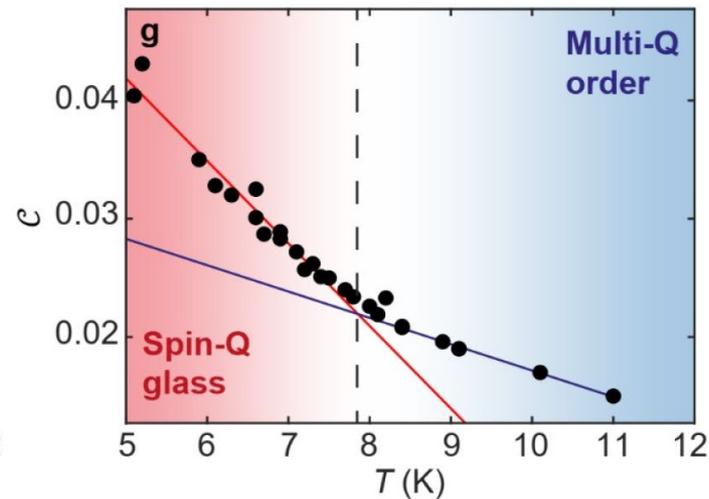
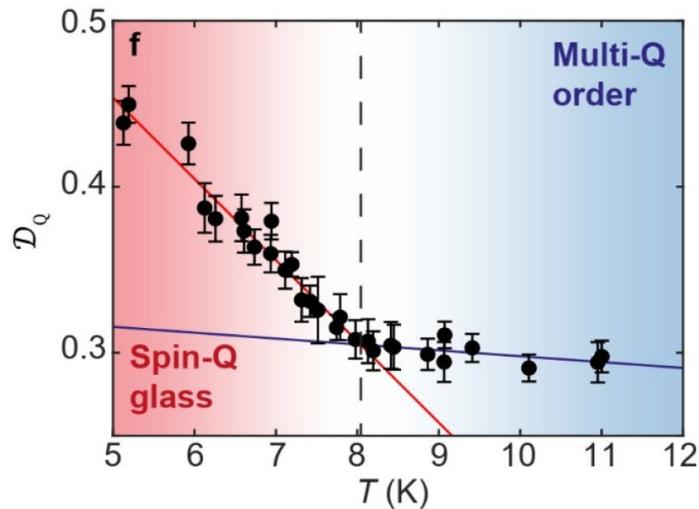
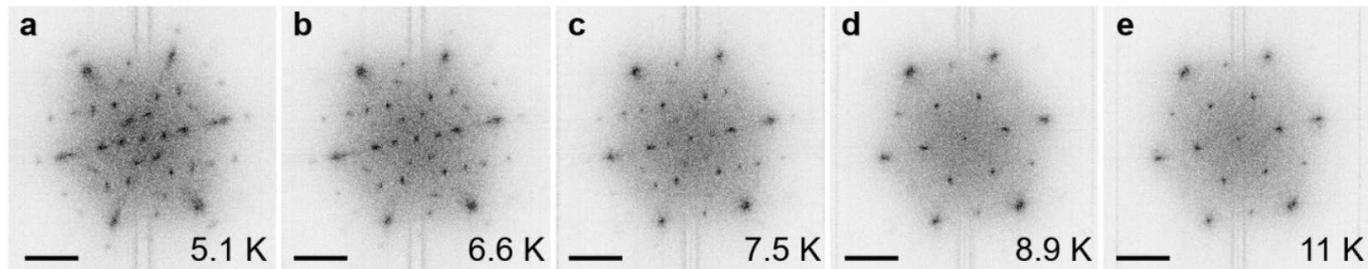


Figure 2: Emergence of long-range multi-Q order from the spin-Q glass state at elevated temperature. a,b. Magnetization images of the same region at $T = 5.1$ K and 11 K, respectively ($I_t = 100$ pA, a-b, scale bar: 50 nm). c,d. Corresponding Q-space images (scale bars: 3 nm⁻¹), illustrating the changes from strong local (i.e. lack of long-range) Q order toward multiple large-scale domains with well-defined long-range multi-Q order. e,f. Zoom-in images of the diamond-like (e) and stripe-like (f) patterns (scale bar: 5 nm). The locations of these images is shown by the white squares in b. g,h. Display of multi-Q state maps of the two apparent domains in the multi-Q ordered phase, where (g)

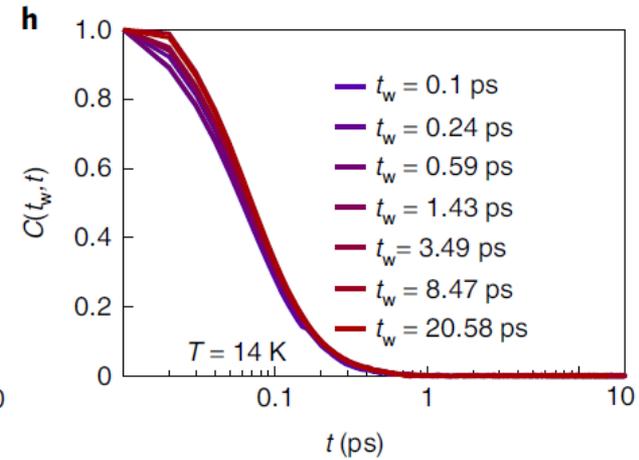
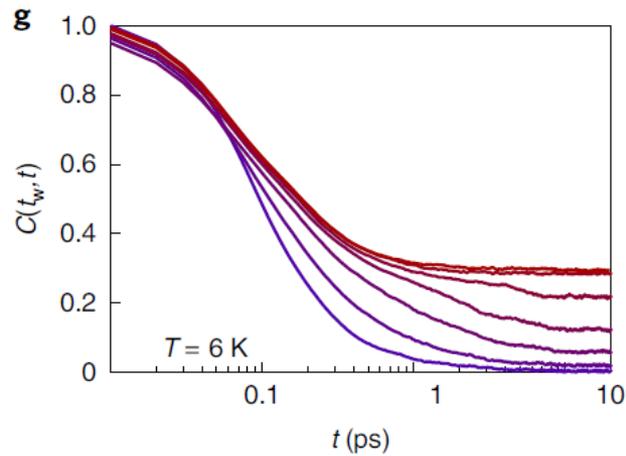
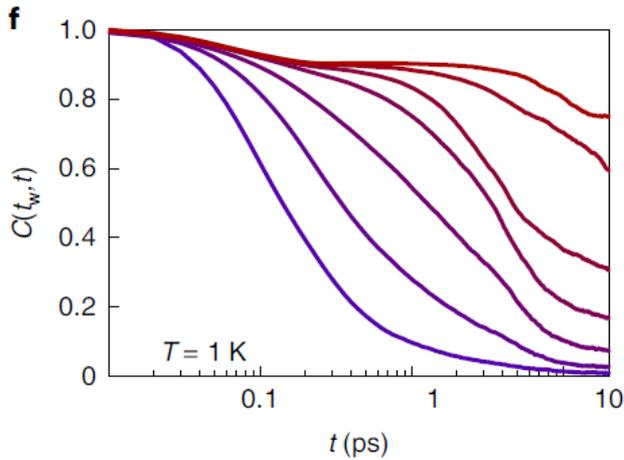
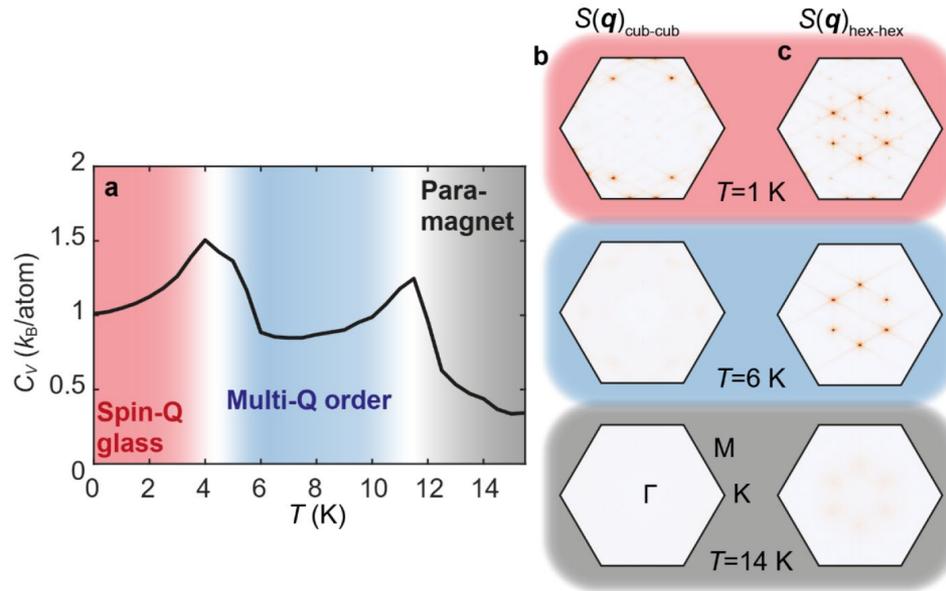
$T=5$ K (a,c): spin glass
 $T=11$ K(b,d): (noncollinear) AFM

Order from disorder II



Phase transition at approx. 8K (seen via “complexity” measures) – right one is our multiscale structural complexity!

Order from disorder III



Theory: Atomistic simulations

Frustrations and complexity: Quantum case

Generalization properties of neural network approximations to frustrated magnet ground states

NATURE COMMUNICATIONS | (2020)11:1593

Tom Westerhout¹, Nikita Astrakhantsev^{2,3,4}, Konstantin S. Tikhonov^{5,6,7}, Mikhail I. Katsnelson^{1,8} & Andrey A. Bagrov^{1,8,9}

How to find true ground state of the quantum system?

In general, a very complicated problem (difficult to solve even for quantum computer!)

Idea: use of variational approach and train neural network to find “the best” trial function (G. Carleo and M. Troyer, Science 355, 602 (2017))

$$|\Psi_{\text{GS}}\rangle = \sum_{i=1}^K \psi_i |\mathcal{S}_i\rangle = \sum_{i=1}^K s_i |\psi_i\rangle |\mathcal{S}_i\rangle$$

Generalization problem: to train NN for relatively small basis (K much smaller than total dim. of quantum space) and find good approximation to the true ground state

Frustrations and complexity: Quantum case II

Quantum $S=1/2$ Hamiltonian
NN and NNN interactions

$$\hat{H} = J_1 \sum_{\langle a,b \rangle} \hat{\sigma}_a \otimes \hat{\sigma}_b + J_2 \sum_{\langle\langle a,b \rangle\rangle} \hat{\sigma}_a \otimes \hat{\sigma}_b$$

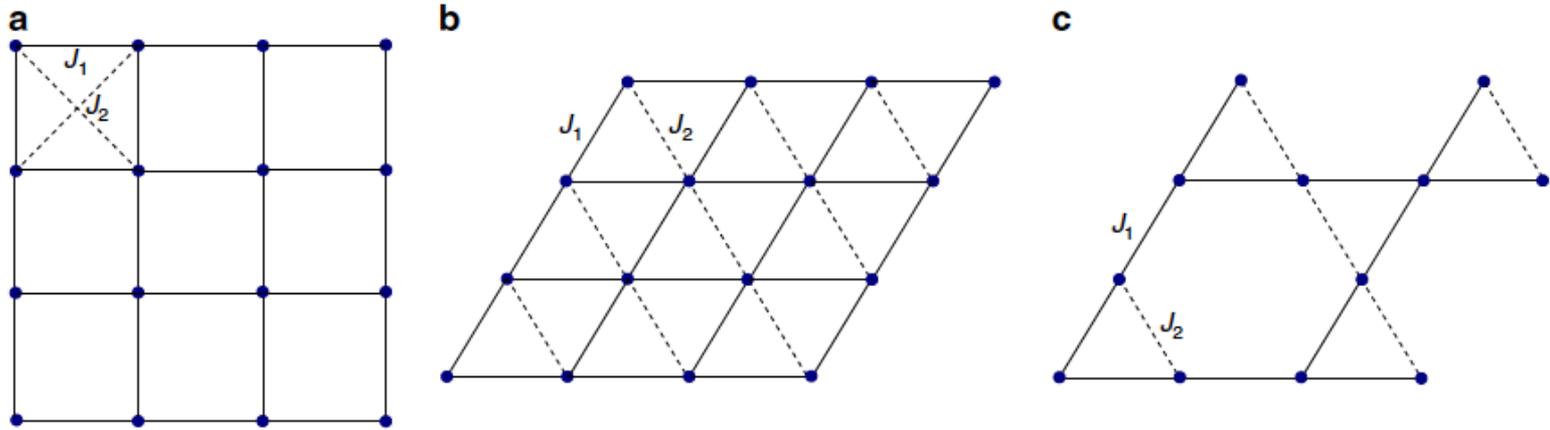


Fig. 1 Lattices considered in this work. We studied three frustrated antiferromagnetic Heisenberg models: **a** next-nearest neighbor J_1 - J_2 model on square lattice; **b** anisotropic nearest-neighbor model on triangular lattice; **c** spatially anisotropic Kagome lattice. In all cases $J_2 = 0$ corresponds to the absence of frustration.

24 spins, dimensionality of Hilbert space $d = C_{12}^{24} \simeq 2.7 \cdot 10^6$

Still possible to calculate ground state exactly
Training for $K = 0.01 d$ (small trial set)

Frustrations and complexity: Quantum case III

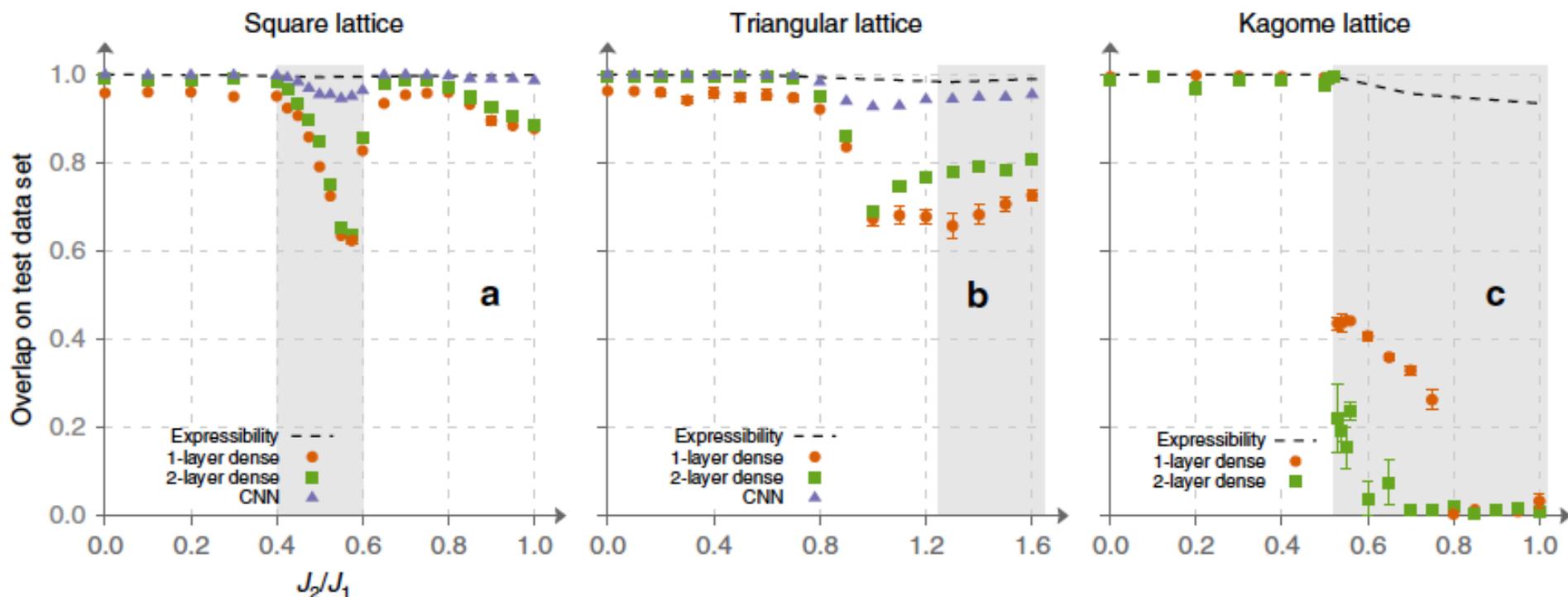
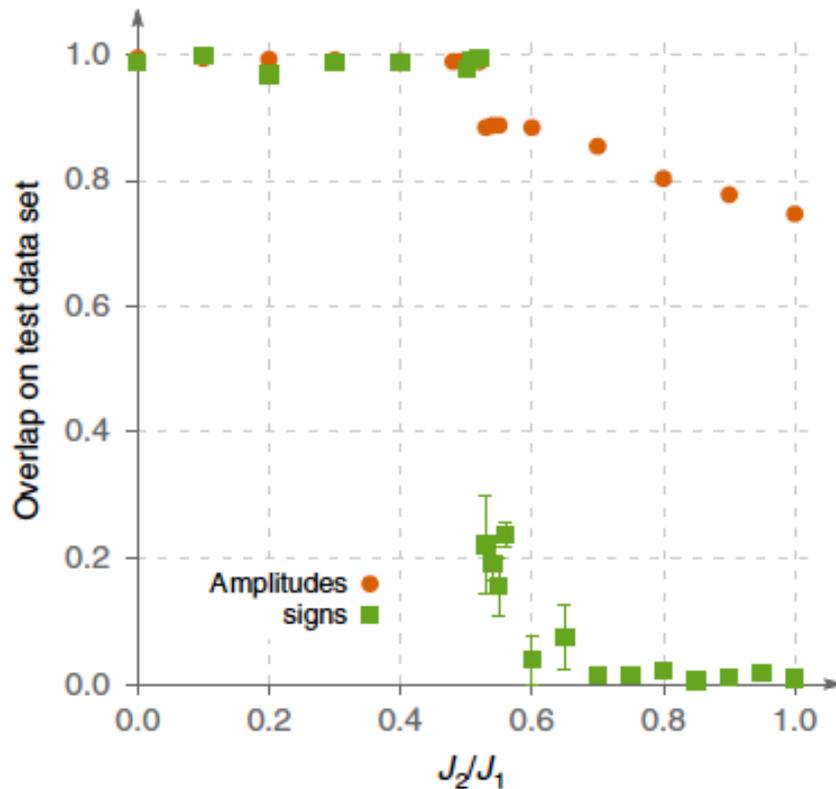


Fig. 2 Optimization results for 24-site clusters obtained with supervised learning and stochastic reconfiguration. Subfigures a-c were obtained using supervised learning of the sign structure. Overlap of the variational wave function with the exact ground state is shown as function of J_2/J_1 for square a, triangular b, and Kagome c lattices. Overlap was computed on the test dataset (not included into training and validation datasets). Note that generalization is poor in the frustrated regions (which are shaded on the plots). 1-layer dense, 2-layer dense, and convolutional neural network (CNN) architectures are described in Supplementary Note 1. Subfigures d-f show overlap between the variational wave function optimized using Stochastic Reconfiguration and the exact ground state for square, triangular, and Kagome lattices, respectively. Variational wave function was represented by two two-layer dense networks. A correlation between generalization quality and accuracy of the SR method is evident. On this figure, as well as on all the subsequent ones (both in the main text and Supplementary Notes 1 and 2), error bars represent standard error (SE) obtained by repeating simulations multiple times.

Frustrations and complexity: Quantum case IV



It is *sign* structure which is difficult to learn in frustrated case!!!

Relation to sign problem in QMC?!

Fig. 4 Generalization of signs and amplitudes. We compare generalization quality as measured by overlap for learning the sign structure (red circles) and amplitude structure (green squares) for 24-site Kagome lattice for two-layer dense architecture. Note that both curves decrease in the frustrated region, but the sign structure is much harder to learn.

"Somehow it seems to fill my head with ideas –only I don't exactly know what they are!" (Through the Looking-Glass, and What Alice Found There)

Further development

Many-body quantum sign structures as non-glassy Ising models

Tom Westerhout, Mikhail I. Katsnelson, Andrey A. Bagrov

[Communications Physics](#) volume 6, Article number: 275 (2023)

The idea: use machine learning to find amplitudes and then map onto efficient Ising model

$$|\Psi_{\text{GS}}\rangle = \sum_{i=1}^K \psi_i |\mathcal{S}_i\rangle = \sum_{i=1}^K s_i |\psi_i| |\mathcal{S}_i\rangle$$

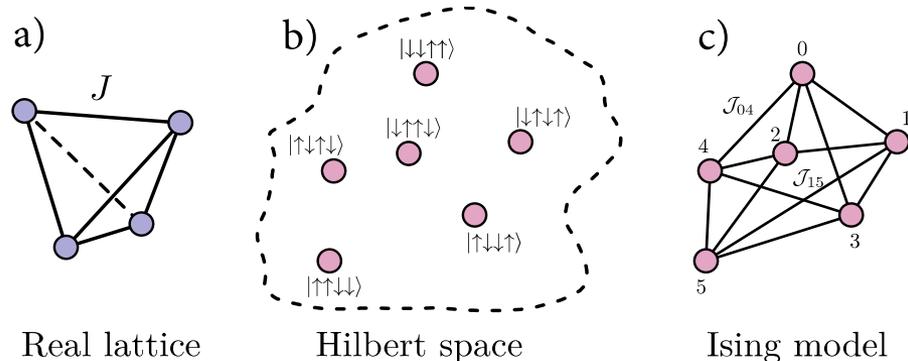
When amplitudes are known the trial ground state energy $\langle \Psi | H | \Psi \rangle$

is a bilinear function of signs s_i , and

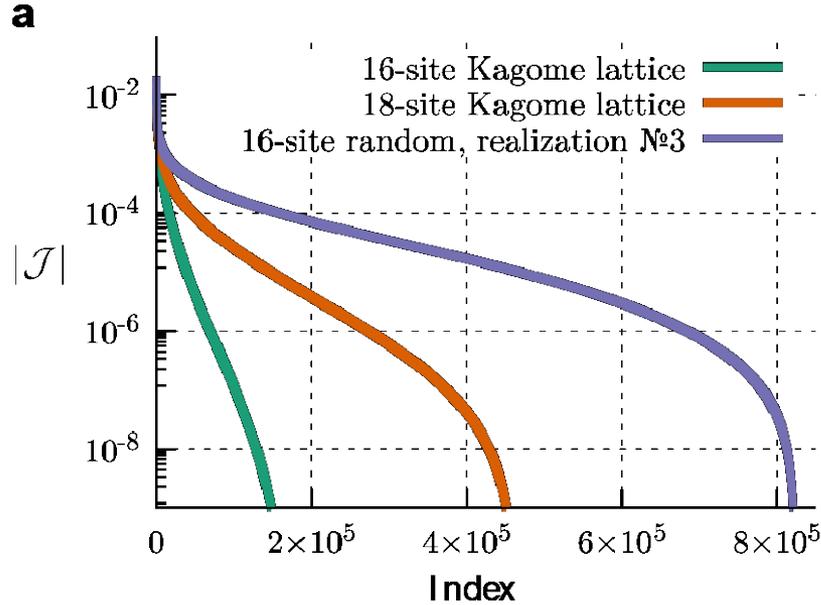
we have Ising optimization problem in K -dimensional space; K is very big but

it turns out

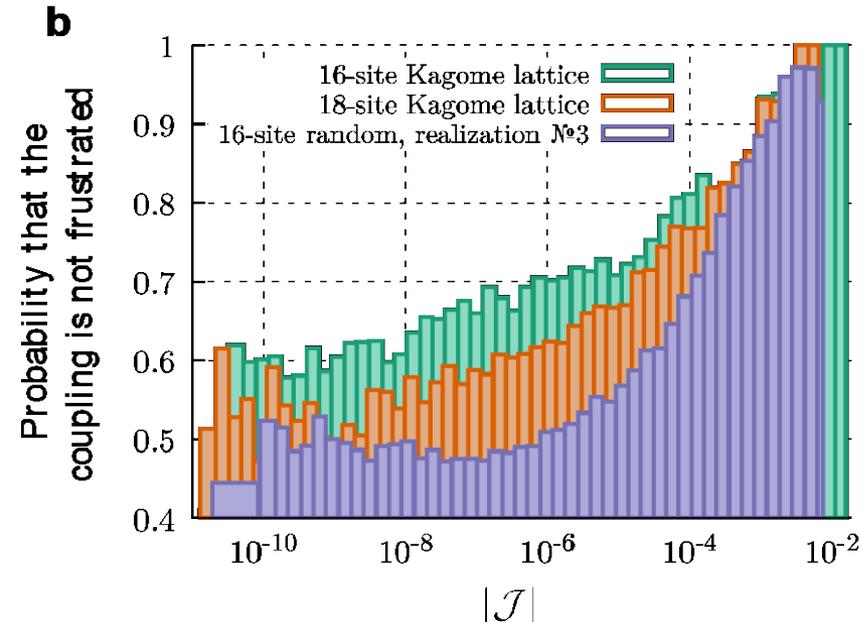
that the model is not glassy and can be optimized without too serious problems



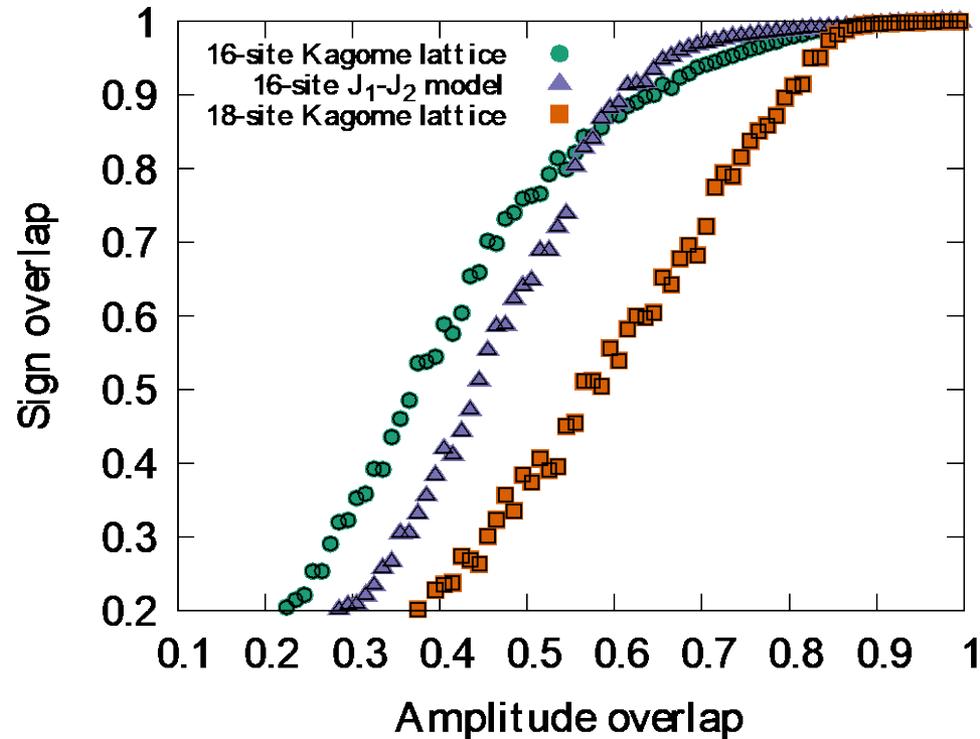
Further development II



It turns out that even for initially frustrated quantum spin models the effective Ising model is not frustrated, both couplings are small and optimization is quite efficient



Further development III



The quality of optimization is quite robust with respect to uncertainties in amplitudes (overlap with the exact ground state)

Analogies with biological evolution?

Toward a theory of evolution as multilevel learning

Vitaly Vanchurin^{a,b,1}, Yuri I. Wolf^a, Mikhail I. Katsnelson^c, and Eugene V. Koonin^{a,1}

PNAS 2022 Vol. 119 No. 6 e2120037119

Thermodynamics of evolution and the origin of life

Vitaly Vanchurin^{a,b,1}, Yuri I. Wolf^a, Eugene V. Koonin^{a,1}, and Mikhail I. Katsnelson^{c,1}

PNAS 2022 Vol. 119 No. 6 e2120042119

Table 1. Corresponding quantities in thermodynamics, machine learning, and evolutionary biology

| | Thermodynamics | Machine learning | Evolutionary biology |
|-----------------------------|---|--|--|
| \mathbf{x} | Microscopic physical degrees of freedom | Variables describing training dataset (nontrainable variables) | Variables describing environment |
| \mathbf{q} | Generalized coordinates (e.g., volume) | Weight matrix and bias vector (trainable variables) | Trainable variables (genotype, phenotype) |
| $H(\mathbf{x}, \mathbf{q})$ | Energy | Loss function | Additive fitness, $H(\mathbf{x}, \mathbf{q}) = -T \log f(\mathbf{q})$ |
| $S(\mathbf{q})$ | Entropy of physical system | Entropy of nontrainable variables | Entropy of biological system |
| $U(\mathbf{q})$ | Internal energy | Average loss function | Average additive fitness |
| $Z(T, \mathbf{q})$ | Partition function | Partition function | Macroscopic fitness |
| $F(T, \mathbf{q})$ | Helmholtz free energy | Free energy | Adaptive potential (macroscopic additive fitness) |
| $\Omega(T, \mu)$ | Grand potential, $\Omega_p(\mathcal{T}, \mathcal{M})$ | Grand potential | Grand potential, $\Omega_b(T, \mu)$ |
| T or \mathcal{T} | Physical temperature, \mathcal{T} | Temperature | Evolutionary temperature, T |
| μ or \mathcal{M} | Chemical potential, \mathcal{M} | Absent in conventional machine learning | Evolutionary potential, μ |
| N_e or N | Number of molecules, N | Number of neurons, N | Effective population size, N_e |
| K | Absent in conventional physics | Number of trainable variables | Number of adaptable variables |

Energy landscape in physics is similar to fitness landscape in biology

Analogies with biological evolution II

Can the change of e.g. biological temperature switch fitness landscape from a few well-defined peaks to a glassy-like with many directions of possible evolution?

Explaining the Cambrian “Explosion” of Animals

Charles R. Marshall

Annu. Rev. Earth Planet. Sci.
2006. 34:355–84

Australian Journal of Zoology
<http://dx.doi.org/10.1071/ZO13052>

**The evolution of morphogenetic fitness landscapes:
conceptualising the interplay between the developmental
and ecological drivers of morphological innovation**

Charles R. Marshall

Cambrian Explosion as an analog of magnetic phase transitions
in neodymium?!

Well... for me (as a physicist) it is a good place to stop

To summarize

Whether you can observe a thing or not
depends on the theory which you use.
It is theory which decides what can be observed
(A. Einstein)

MANY THANKS FOR YOUR
ATTENTION